



Council of the
European Union

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RELEX 254
FIN 180
COAFR 101
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NOTE

From:	General Secretariat of the Council
To:	Delegations
No. prev. doc.:	7046/20
Subject:	Amendment No 1 to the 2019-2020 Action Programme of the African Peace Facility

1. On 1 April 2020, the Permanent Representatives Committee approved Amendment No 1 to the 2019-2020 Action Programme of the African Peace Facility (APF).
2. In line with Article 15(b) of Council Regulation (EU) **2015/322** of 2 March 2015 on the implementation of the 11th European Development Fund, Amendment No 1 to the Action Programme annexed to this note can now be adopted by the Commission.

2019-2020 Action Programme of the African Peace Facility**Amendment No. 1****MULTIANNUAL¹ PROGRAMME/MEASURE**

This document constitutes the multiannual work programme in the sense of Article 110(2) of the Financial Regulation and action programme/measure in the sense of Articles 2 and 3 of Regulation N° 236/2014.

1. Title/basic act/ CRIS number	2019-2020 Action Programme of the African Peace Facility CRIS number: FED/2018/041-568 financed under the European Development Fund (EDF)	
2. Zone benefiting from the action/location	African continent	
3. Programming document	2019-2020 Action Programme of the African Peace Facility	
4. Sustainable Development Goals (SDGs)	SDG 16: “Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels”	
5. Sector of intervention/ thematic area	Peace and Security	DEV. Assistance: YES ² (results 1 and 2)

¹ Within the maximum contribution of the European Union, the authorising officer responsible may adjust the allocation to the respective budgetary years subject to the availability of the commitment appropriations.

² Official Development Assistance is administered with the promotion of the economic development and welfare of developing countries as its main objective.

6. Amounts concerned	<p>Total estimated cost: EUR 800 144 806.45</p> <p>Total amount of EDF contribution: EUR 800 000 000³</p> <p><i>The following paragraph is modified as follows.</i></p> <p>The Commission has been entrusted with the responsibility of managing the following contributions:</p> <p>EUR 75 522.39 from the Grand Duchy of Luxembourg, as per Transfer Agreement ACP/FED/2019/41568/LU signed on 9 April 2019.</p> <p>EUR 69 284.06 from the Czech Republic, as per Transfer Agreement ACP/FED/2019/41568/CZ signed on 28 February 2019.</p>
7. Aid modalities and implementation modalities	<p>Project Modality</p> <p>Direct management through:</p> <ul style="list-style-type: none"> - Grants - Procurement <p>Indirect management with the African Union Commission, the United Nations Office of the High Commissioner for Human Rights, the United Nations Secretariat, the United Nations Office for Project Services, the United Nations Support Office in Somalia, and the Member State Organisation(s) to be selected in accordance with the criteria set out in section 5.4.3.2.</p>
8 a) DAC code⁴	15220
b) Main Delivery Channel	African Union (excluding peacekeeping facilities) – 47005

³ In addition, EUR 14 860 000 will be allocated for support expenditure incurred by the Commission.

This amount does not form part of the Action Programme.

⁴ Applicable to specific objectives 1 and 2.

9. Markers (from CRIS DAC form)	General policy objective	Not targeted	Significant objective	Principal objective
	Participation development/good governance	<input type="checkbox"/>	X	<input type="checkbox"/>
	Aid to environment	X	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality and Women's and Girl's Empowerment	X	<input type="checkbox"/>	<input type="checkbox"/>
	Trade Development	X	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, Maternal, New born and child health	X	<input type="checkbox"/>	<input type="checkbox"/>
	RIO Convention markers	Not targeted	Significant objective	Principal objective
	Biological diversity	X	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification	X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation	X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation	X	<input type="checkbox"/>	<input type="checkbox"/>
10. Global Public Goods and Challenges (GPGC) thematic flagships	N/A			

SUMMARY

The last paragraph of the summary is modified as follows.

The Action Programme will be implemented primarily through indirect management with the AU, EU Member States agencies, and bodies and specialised agencies of the United Nations, as well as grants with RECs/RMs and the G5 Sahel. In exceptional and duly justified circumstances, grants may be awarded to other applicants in the context of the relevant action. Service contracts will be concluded for technical assistance, communication, audit and evaluation. Furthermore, an administrative arrangement with the JRC is foreseen to provide technical support to the AU on its continental conflict early warning system.

CONTEXT ANALYSIS

This point remains unchanged.

RISKS AND ASSUMPTIONS

This point remains unchanged.

LESSONS LEARNT AND COMPLEMENTARITY

This point remains unchanged.

DESCRIPTION OF THE ACTION

Overall objective, specific objective(s), expected outputs and indicative activities

*The **overall objective** remains unchanged.*

*The **specific objectives** remain unchanged.*

The **expected outputs** and related **indicative activities** of this Action Programme are the following:

Specific Objective 1 remains unchanged.

Specific Objective 2: Conflict prevention and early response by the AUC and the RECs/RMs are improved.

The last paragraph of this sub-point is modified as follows.

Responding to the findings of the 2015 evaluation of the ERM, the maximum duration of mediation and political negotiation initiatives will be extended from 12 to 24 months, to respond to the need for reliable support to long-term engagement in complex conflict environments. The maximum duration of any other urgent or unforeseeable action will be extended from 9 to 12 months. In both cases, extensions beyond the initial duration of an initiative will have to be duly justified.

Specific Objective 3 remains unchanged.

Intervention Logic

This sub-point remains unchanged.

Mainstreaming

This sub-point remains unchanged.

1.4 Contribution to SDGs

This sub-point remains unchanged.

IMPLEMENTATION

Financing agreement

This sub-point remains unchanged.

Indicative implementation period

This sub-point remains unchanged.

Implementation of the budget support component

This sub-point remains unchanged.

Implementation modalities

This sub-point remains unchanged.

1.4.1 Specific Objective 1: 1. The conflict prevention, management and resolution structures and mechanisms of the African Peace and Security Architecture are improved.

1.4.1.1 Procurement (direct management)

This sub-point remains unchanged.

1.4.1.2 Indirect management with an international organisation: United Nations Office of the High Commissioner for Human Rights

This sub-point remains unchanged.

1.4.1.3 Indirect management with a regional organisation

This sub-point remains unchanged.

1.4.2 Specific Objective 2: Conflict prevention and early response by the AUC and the RECs/RMs are improved.

1.4.2.1 Indirect management with a regional organisation

This sub-point remains unchanged.

1.4.3 Specific Objective 3: Conflict management through the deployment of peace support operations by the AUC and African regional organisations is improved.

This sub-point remains unchanged.

1.4.3.1 Grants: (direct management)

This sub-point is modified as follows.

(a) Purpose of the grants

The purpose of the grants is to improve conflict management through the deployment of peace support operations by the AUC and African regional organisations.

(b) Type of applicants targeted

Grants may be awarded without a call for proposals to the RECs/RMs which currently are part of the APSA by virtue of having signed a Memorandum of Understanding with the AU in this regard: the Arab Maghreb Union (AMU), the Economic Community of Sahelo-Saharan States (CEN-SAD), the Common Market of East and Southern Africa (COMESA), the East African Community (EAC), the Eastern Africa Stand-by Force (EASF), the Economic Community of Central African States (ECCAS), the Economic Community of West African States (ECOWAS), the Inter-Governmental Authority for Development (IGAD), the North Africa Regional Capability (NARC), and the South African Development Community (SADC).

Subject to relevant mitigating measures, grants may be awarded without a call for proposal to the G5 Sahel by virtue of its regional mandate to coordinate regional cooperation in security matters among its Member States (Burkina Faso, Mali, Mauritania, Niger, Chad), notably through its Joint Force. The AU has endorsed the mandate of the G5 Sahel Joint Force.

Furthermore, in exceptional and duly justified circumstances, grants may be awarded to legal entities based on their operational capacity such as presence on the ground, previous experience in the field of the relevant action, and demonstrated experience to deliver the required outputs in a conflict-sensitive manner. Such grants may be awarded by direct award if the conditions set in Art. 195 of Regulation (EU, Euratom) 2018/1046, applicable as per Council Regulation (EU) 2018/1877, are fulfilled.

(c) Justification of a direct grant

Under the responsibility of the Commission's authorising officer responsible, grants may be awarded without a call for proposals to the RECs/RMs mentioned under section 5.4.3.1 (b). Under the responsibility of the Commission's authorising officer responsible, the recourse to an award of a grant without a call for proposal is justified because of the RECs/RMs' legal and factual monopoly over the implementation of peace and security actions in their respective regions within the context of the APSA, and because of the crisis-management character of the foreseen peace and security actions.

Under the responsibility of the Commission's authorising officer responsible, grants with relevant mitigating measures may be awarded without a call for proposals to the G5 Sahel. Under the responsibility of the Commission's authorising officer responsible, the recourse to an award of a grant without a call for proposal is justified because of the role of the G5 Sahel's institutional framework in coordinating regional cooperation in security matters among its Member States (Burkina Faso, Mali, Mauritania, Niger, Chad). In this context, the G5 Sahel Joint Force was set up and the AU has endorsed its mandate.

1.4.3.2 Indirect management with a Member State Organisation

This sub-point remains unchanged.

1.4.3.3 Indirect management with an international organisation: United Nations Office of the High Commissioner for Human Rights

This sub-point remains unchanged.

1.4.3.4 Indirect management with an international organisation: United Nations Secretariat

This sub-point remains unchanged.

1.4.3.5 Indirect management with an international organisation: United Nations Office for Project Services

This sub-point remains unchanged.

1.4.3.6 Indirect management with an international organisation: United Nations Support Office in Somalia

This sub-point remains unchanged.

1.4.3.7 Indirect management with a regional organisation

This sub-point remains unchanged.

1.4.3.8 Changes from indirect to direct management mode due to exceptional circumstances

This sub-point remains unchanged.

Scope of geographical eligibility for procurement and grants

This sub-point remains unchanged.

Indicative budget

The budget table is changed as follows. This sub-point remains otherwise unchanged.

	<p>EU contribution (amount in EUR)</p> <p>of which:</p> <ul style="list-style-type: none">• 75 522.39 from the Grand Duchy of Luxembourg as per Transfer Agreement ACP/FED/2019/41568/LU• 69 284.06 from the Czech Republic as per Transfer Agreement ACP/FED/2019/41568/CZ
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Specific Objective 1: The conflict prevention, management and resolution structures and mechanisms of the African Peace and Security Architecture are improved, composed of	52 069 284.06
5.4.1.1 Procurement (direct management)	1 500 000
5.4.1.2 Indirect management with a regional organisation	40 569 284.06
5.4.1.3 Indirect management with an international organisation – OHCHR	10 000 000
Specific Objective 2: Conflict prevention and early response by the AUC and the RECs/RMs are improved, composed of	25 000 000
5.4.2.1 Indirect management with a regional organisation	25 000 000
Specific objective 3: Conflict management through the deployment of peace support operations by the AUC and African regional organisations is improved, composed of	705 075 522.39
5.4.3.1 Grants (direct management)	
5.4.3.2 Indirect management with a Member State Organisation	
5.4.3.3 Indirect management with an international organisation – UN OHCHR	
5.4.3.4 Indirect management with an international organisation – UN Secretariat	
5.4.3.5 Indirect management with an international organisation – UNOPS	
5.4.3.6 Indirect management with an international organisation – UNSOS	
5.4.3.7 Indirect management with a regional organisation	
Evaluation, (cf. section 5.9), Audit / Expenditure Verification (cf. section 5.10)	2 000 000
Communication and visibility (cf. section 5.11)	1 000 000
Contingencies	15 000 000
Totals	800 144 806.45

Retroactive financing

This sub-point remains unchanged.

Eligibility of costs

This sub-point remains unchanged.

Organisational set-up and responsibilities

This sub-point remains unchanged.

Performance and Results monitoring and reporting

This sub-point remains unchanged.

Evaluation

This sub-point remains unchanged.

Audit

This sub-point remains unchanged.

Communication and visibility

This sub-point remains unchanged.

APPENDIX I - DECISION-MAKING PROCEDURES FOR THE AFRICAN PEACE FACILITY, 2019-2020

Point 2 of this appendix is modified as follows.

2) Early Response Mechanism (ERM)

The ERM will finance interventions that require immediate financial support due to their nature of responding to urgent and unforeseen needs in crisis situations. The maximum duration of mediation and political negotiation initiatives is 24 months. The maximum duration of any other urgent or unforeseeable action is 12 months. In both cases, extensions beyond the initial duration of an initiative have to be duly justified.

Upon receipt of a request from the AU or a REC/RM, the EEAS and Commission services will assess the request and decide jointly on the appropriateness of funding the requested initiative. No new Commission Decision will be required to finance individual initiatives under the ERM. The PSC and the relevant Council working groups will be kept informed on activities supported by the ERM.

Further details on the scope of the ERM, as well as the principles and procedures for its implementation are set out in the ERM Implementing Guidelines.

APPENDIX II - INDICATIVE LOGFRAME MATRIX

This appendix remains unchanged.

