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INFORMATION NOTE

From: General Secretariat of the Council
To: Delegations
Subject: Retail Investment Strategy: Proposal for a Directive of the European Parliament and of the Council amending Directives (EU) 2009/65/EC, 2009/138/EC, 2011/61/EU, 2014/65/EU and (EU) 2016/97 as regards the Union retail investor protection rules
- List of Wks published from 1 January to 30 June 2024

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file between 1 January and 30 June 2024:

Reference	Date	Subject
WK 786 2024 INIT	18/01/2024	Retail Investment Strategy - VTC Working Party of 1 December 2023 - Revised drafting proposals (Omnibus Directive) : Replies from 15 MS
WK 786 2024 REV 1	01/02/2024	Retail Investment Strategy - VTC Working Party of 1 December 2023 - Revised drafting proposals (Omnibus Directive) : Replies from 17 MS
WK 3224 2024 INIT	28/02/2024	Retail Investment Package - CWP 7 and 8 March - draft agenda
WK 3222 2024 INIT	28/02/2024	Retail Investment Package - CWP 7 and 8 March - Presidency note on drafting suggestions for supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial

		literacy and professional qualifications
WK 3221 2024 INIT	28/02/2024	Retail Investment Package - CWP on 7 and 8 March - Presidency discussion paper on Value for Money
WK 3890 2024 INIT	11/03/2024	Retail Investment Strategy - CWP 18 and 19 March 2024 - Presidency note on drafting suggestions about marketing
WK 3845 2024 INIT	11/03/2024	Retail Investment Strategy - CWP 18 and 19 March 2024 - Presidency discussion paper on inducements
WK 3844 2024 INIT	11/03/2024	Retail Investment Strategy - CWP 18 and 19 March 2024 - draft agenda
WK 3951 2024 INIT	12/03/2024	Retail Investment Strategy - CWP 18 and 19 March 2024 - Presidency note on drafting suggestions for disclosures
WK 4388 2024 INIT	20/03/2024	Retail Investment Strategy - CWP 7-8 March - Value for money; supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications; PRIIPs - replies from 24 MS
WK 4388 2024 REV 1	22/03/2024	Retail Investment Strategy - CWP 7-8 March - Value for money; supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications; PRIIPs - replies from 25 MS
WK 4752 2024 INIT	27/03/2024	Retail Investment Strategy - CWP 8 April - Presidency note on drafting suggestions for suitability and appropriateness
WK 4751 2024 INIT	27/03/2024	Retail Investment Strategy - CWP 8 April - draft agenda
WK 4756 2024 INIT	28/03/2024	Retail Investment Strategy - Presidency note on drafting suggestions for supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications

WK 4781 2024 INIT	02/04/2024	Retail Investment Strategy - CWP 18-19 March - Presidency discussion paper on inducements; Presidency note on drafting suggestions about marketing; Presidency note on drafting suggestions on disclosures - replies from 25 MS
WK 4922 2024 INIT	04/04/2024	Retail Investment Strategy - Presentation by Germany: Value for money: a national approach for the insurance sector
WK 4921 2024 INIT	04/04/2024	Retail Investment Strategy - Presentation: Evaluation of cost and performance in Danish UCITS
WK 4918 2024 INIT	04/04/2024	Retail Investment Strategy - Danish model and practices regarding Value for Money
WK 5185 2024 INIT	10/04/2024	Retail Investment Strategy - CWP 8 April 2024 - Commission presentation "The need for strengthened rules for the assessment of Value for Money"
WK 5353 2024 INIT	15/04/2024	Retail Investment Strategy - CWP 22 and 23 April 2024 - Presidency note on drafting suggestions on Value for Money
WK 5332 2024 INIT	15/04/2024	Retail Investment Strategy - CWP 22 and 23 April 2024 - draft agenda
WK 5487 2024 INIT	16/04/2024	Retail Investment Strategy - CWP 22 and 23 April 2024 - Presidency note on drafting suggestions about inducements
WK 5477 2024 INIT	16/04/2024	Retail Investment Strategy - CWP 22 and 23 April 2024 - Presidency note on drafting suggestions about remaining topics, which include reporting of cross-border activities, complaints & general information to be provided to the customer
WK 5518 2024 INIT	17/04/2024	Retail Investment Strategy - CWP 8 April 2024 - Suitability and appropriateness; PRIIPs; drafting suggestions for supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications - replies from 20 MS

WK 5515 2024 INIT	17/04/2024	Retail Investment Strategy - CWP 22 and 23 April 2024 - Presidency note on drafting suggestions for disclosures
WK 5518 2024 REV 1	22/04/2024	Retail Investment Strategy - CWP 8 April 2024 - Suitability and appropriateness; PRIIPs; drafting suggestions for supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications - replies from 23 MS
WK 5518 2024 REV 2	30/04/2024	Retail Investment Strategy - CWP 8 April 2024 - Suitability and appropriateness; PRIIPs; drafting suggestions for supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications - replies from 24 MS
WK 6578 2024 INIT	06/05/2024	Retail Investment Strategy - CWP 22 and 23 April 2024 - drafting suggestions on Value for Money; Inducements; Disclosures; remaining topics, including reporting of cross-border activities, complaints & general information to be provided to the customer - replies from 25 MS
WK 5518 2024 REV 3	06/05/2024	Retail Investment Strategy - CWP 8 April 2024 - Suitability and appropriateness; PRIIPs; drafting suggestions for supervisory enforcement, deadlines and application of acts, client categorisation, promotion of financial literacy and professional qualifications - replies from 24 MS
WK 6746 2024 INIT	08/05/2024	Retail Investment Strategy - VTC 14 May 2024 - Presidency note on common data for value for money
WK 6745 2024 INIT	08/05/2024	Retail Investment Strategy - VTC 14 May 2024 - draft agenda
WK 6825 2024 INIT	13/05/2024	Retail Investment Strategy - CWP (Attachés) of 21 and 22 May 2024 - Presidency compromise proposal on Omnibus Directive - Part I (Recitals)

WK 6825 2024 ADD 3	13/05/2024	Retail Investment Strategy - CWP (Attachés) of 21 and 22 May 2024 - Presidency compromise proposal on Omnibus Directive - Part IV (miscellaneous amendments)
WK 6825 2024 ADD 2	13/05/2024	Retail Investment Strategy - CWP (Attachés) of 21 and 22 May 2024 - Presidency compromise proposal on Omnibus Directive - Part III (IDD amendments)
WK 6825 2024 ADD 1	13/05/2024	Retail Investment Strategy - CWP (Attachés) of 21 and 22 May 2024 - Presidency compromise proposal on Omnibus Directive - Part II (MIFID amendments)
WK 6824 2024 INIT	13/05/2024	Retail Investment Strategy - CWP (Attachés) 21 and 22 May 2024 - Presidency note on drafting suggestions for the compromise text
WK 6823 2024 INIT	13/05/2024	Retail Investment Strategy - CWP (Attachés) of 21 and 22 May 2024 - draft agenda
WK 7251 2024 INIT	21/05/2024	Retail Investment Strategy - CWP (Attachés) of 21 May 2024 - Presidency presentation
WK 6825 2024 REV 1	29/05/2024	Retail Investment Strategy - CWP (Attachés) of 4 June 2024 - revised Presidency compromise proposal on Omnibus Directive - Part I (Recitals)
WK 6825 2024 ADD 3 REV 1	29/05/2024	Retail Investment Strategy - CWP (Attachés) of 4 June 2024 - revised Presidency compromise proposal on Omnibus Directive - Part IV (miscellaneous amendments)
WK 6825 2024 ADD 2 REV 1	29/05/2024	Retail Investment Strategy - CWP (Attachés) of 4 June 2024 - revised Presidency compromise proposal on Omnibus Directive - Part III (IDD amendments)
WK 6825 2024 ADD 1 REV 1	29/05/2024	Retail Investment Strategy - CWP (Attachés) of 4 June 2024 - revised Presidency compromise proposal on Omnibus Directive - Part II (MIFID amendments)

WK 7720 2024 INIT	30/05/2024	Retail Investment Strategy - informal VTC of 14 May 2024 - Omnibus Directive (Part I - Recitals) - Presidency compromise proposal - inputs from 13 MS
WK 7720 2024 ADD 3	30/05/2024	Retail Investment Strategy - informal VTC of 14 May 2024 - Omnibus Directive (Part IV - miscellaneous provisions) - Presidency compromise proposal - inputs from 13 MS
WK 7720 2024 ADD 2	30/05/2024	Retail Investment Strategy - informal VTC of 14 May 2024 - Omnibus Directive (Part III - IDD) - Presidency compromise proposal - inputs from 20 MS
WK 7720 2024 ADD 1	30/05/2024	Retail Investment Strategy - informal VTC of 14 May 2024 - Omnibus Directive (Part II - MIFID) - Presidency compromise proposal - inputs from 17 MS
WK 7691 2024 REV 1	30/05/2024	Retail Investment Strategy - CWP of 21 May 2024 - additional Presidency compromise drafting suggestions on Value for Money and Inducements - inputs from 17 MS
WK 7691 2024 INIT	30/05/2024	Retail Investment Strategy - CWP of 21 May 2024 - additional Presidency compromise drafting suggestions on Value for Money and Inducements - inputs from 16 MS
WK 7658 2024 INIT	30/05/2024	Retail Investment Strategy - CWP (Attachés) of 4 June - draft agenda
WK 8013 2024 INIT	04/06/2024	Retail Investment Strategy - Presidency compromise texts - non-objection procedure - deadline 6 June COB
WK 8012 2024 INIT	04/06/2024	Retail Investment Strategy - CWP 4 June - Presidency presentation
WK 8013 2024 ADD 1	05/06/2024	Retail Investment Strategy - Presidency compromise texts - non-objection procedure - deadline 6 June COB -corrected table II
WK 8013 2024	07/06/2024	Retail Investment Strategy - Presidency compromise texts - closure

REV 2		of second non-objection procedure on updated Omnibus Directive
WK 8013 2024 REV 1	07/06/2024	Retail Investment Strategy - Presidency compromise texts - second non-objection procedure on updated Omnibus Directive - deadline 7 June 15h00