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INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
No. Cion doc.:	COM(2018) 99 final
Subject:	Proposal for a DIRECTIVE OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Directive 2014/65/EU on markets in financial instruments - list of working papers (WK) distributed

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file¹:

Reference No.	Creation Date	Subject
WK 7253 2019 INIT	12/06/2019	Presidency Note - Amendments to the proposals for a Regulation and a Directive on Crowdfunding
WK 7265 2019 INIT	12/06/2019	Presidency compromise text
WK 7365 2019 INIT	14/06/2019	Working Party on Financial Services - Crowdfunding Agenda - 18 June 2019
WK 7585 2019 INIT	20/06/2019	Presidency compromise text
WK 7585 2019 REV 1	20/06/2019	Amendments to the proposal
WK 8335 2019 INIT	09/07/2019	Who is who - Crowdfunding - FI Presidency team
WK 10184 2019 INIT	19/09/2019	Working Party on Financial Services - Crowdfunding Agenda - 25 September 2019
WK 11567 2019 INIT	21/10/2019	Four-column table for first trilogue
WK 12232 2019 INIT	31/10/2019	Working Party on Financial Services - Crowdfunding Agenda - 5 November 2019
WK 12235 2019 INIT	31/10/2019	Presidency Note - Preparation of the second political trilogue

¹ Please also refer to document 9862/20, which relates to the accompanying Regulation.

Reference No.	Creation Date	Subject
WK 12967 2019 INIT	15/11/2019	European Commission non-paper - Scope of the Crowdfunding Regulation
WK 12968 2019 INIT	15/11/2019	European Commission non-paper - The role of ESMA in the Crowdfunding Regulation
WK 13097 2019 INIT	18/11/2019	Finnish Presidency non-paper - preparation of the third political trilogue
WK 13146 2019 INIT	19/11/2019	European Commission non-paper on threshold and investor protection
WK 13151 2019 INIT	19/11/2019	Working Party on Financial Services - Crowdfunding Agenda - 21 November 2019
WK 13255 2019 INIT	20/11/2019	Estonian non-paper on scope and inclusion of "individual portfolio management of loans"
WK 13341 2019 INIT	22/11/2019	European Commission non-paper - operation of bulletin boards
WK 13841 2019 INIT	04/12/2019	European Commission non-paper - overview
WK 13854 2019 INIT	04/12/2019	Updated European Commission non-paper - Scope of the Crowdfunding Regulation
WK 13856 2019 INIT	04/12/2019	Updated European Commission non-paper - The role of ESMA in the Crowdfunding Regulation
WK 13858 2019 INIT	04/12/2019	Updated European Commission non-paper - Threshold and Investor Protection
WK 13859 2019 INIT	04/12/2019	Updated European Commission non-paper - Bulletin Board and other items
WK 13909 2019 INIT	05/12/2019	UK Non-Paper - discretionary crowdfunding models
WK 13923 2019 INIT	05/12/2019	Presidency note - Preparation of the fourth political trilogue on crowdfunding on 11 December 2019
WK 13995 2019 INIT	06/12/2019	Working Party on Financial Services - Crowdfunding Agenda - 10 December 2019
WK 14036 2019 INIT	09/12/2019	Presidency non-paper on Lending-based crowdfunding and individual portfolio management of loans
WK 14038 2019 INIT	09/12/2019	French non-paper on Subjection of crowdfunding service providers to European AML-FT obligations
WK 14040 2019 INIT	09/12/2019	Non-paper from the French delegation on Marketing Communications – Article 19 of the Crowdfunding Regulation
WK 14054 2019 INIT	09/12/2019	Written comments from the Portuguese delegation on the reflection period
WK 14109 2019 INIT	10/12/2019	Commission presentation on the pre-contractual reflection period
WK 14139 2019 INIT	11/12/2019	Presidency non-paper on the individual investment limit
WK 14140 2019 INIT	11/12/2019	Presidency non-paper on the reflection period
WK 14306 2019 INIT	16/12/2019	Presidency note - preparation of the fifth political trilogue

Reference No.	Creation Date	Subject
WK 14307 2019 INIT	16/12/2019	Presidency non-paper on admitted instruments
WK 14308 2019 INIT	16/12/2019	Presidency non-paper on investor protection
WK 14310 2019 INIT	16/12/2019	Presidency non-paper on individual portfolio management of loans (IPML)
WK 14312 2019 INIT	16/12/2019	Presidency non-paper on marketing communications
WK 14313 2019 INIT	16/12/2019	Working Party on Financial Services - Crowdfunding Agenda - 17 December 2019
WK 1735 2020 INIT	12/02/2020	Final four-column table after technical meetings - 15 January 2020
WK 1741 2020 INIT	12/02/2020	Consolidated text - 15 January 2020
WK 2603 2020 INIT	05/03/2020	Consolidated text - 26 February 2020