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INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
No. Cion doc.:	COM(2018) 113 final
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on European Crowdfunding Service Providers (ECSP) for Business - list of working papers (WK) distributed

The following working papers (WK) were distributed by the General Secretariat of the Council with regard to the above file¹:

Reference No.	Creation Date	Subject
WK 10897 2018 INIT	20/09/2018	Working Party on Financial Services - Crowdfunding Agenda - 28 September 2018
WK 13116 2018 INIT	29/10/2018	Working Party on Financial Services - Crowdfunding Agenda - 5 November 2018
WK 13118 2018 INIT	29/10/2018	Presidency Non-Paper
WK 13826 2018 INIT	13/11/2018	Working Party on Financial Services - Crowdfunding Agenda - 27 June 2018
WK 15491 2018 INIT	12/12/2018	Working Party on Financial Services - Crowdfunding Agenda - 18 December 2018
WK 15492 2018 INIT	12/12/2018	Presidency Note - Amendments to the proposal
WK 15494 2018 INIT	12/12/2018	Presidency compromise proposal
WK 2169 2019 INIT	14/02/2019	Presidency Note - Amendments to the proposal
WK 2170 2019 INIT	14/02/2019	Presidency compromise text
WK 3831 2019 INIT	18/03/2019	Working Party on Financial Services - Crowdfunding Agenda - 20 March 2019

¹ Please also refer to document 9861/20, which relates to the accompanying Directive.

Reference No.	Creation Date	Subject
WK 3832 2019 INIT	18/03/2019	Presidency Note - Amendments to the proposal
WK 3833 2019 INIT	18/03/2019	Presidency compromise text
WK 3948 2019 INIT	19/03/2019	UK Non-Paper - Scope of the European Commission proposal
WK 5473 2019 INIT	29/04/2019	Presidency compromise text
WK 5474 2019 INIT	29/04/2019	Presidency Note - Amendments to the proposal
WK 5476 2019 INIT	29/04/2019	Presidency issues note on crowdfunding platforms and discretionary services
WK 5478 2019 INIT	29/04/2019	Presidency background non-paper on interaction with other legislation
WK 5479 2019 INIT	29/04/2019	Comments from Member States on Presidency compromise text
WK 5535 2019 INIT	30/04/2019	Working Party on Financial Services - Crowdfunding Agenda - 6 May 2019
WK 6712 2019 INIT	28/05/2019	Presidency compromise text
WK 6717 2019 INIT	28/05/2019	Working Party on Financial Services - Crowdfunding Agenda - 4 June 2019
WK 6719 2019 INIT	28/05/2019	Presidency Note - Amendments to the proposal
WK 7253 2019 INIT	12/06/2019	Presidency Note - Amendments to the proposal
WK 7318 2019 INIT	13/06/2019	Presidency compromise text
WK 7365 2019 INIT	14/06/2019	Working Party Financial Services - Crowdfunding Agenda - 18 June 2019
WK 7583 2019 INIT	20/06/2019	Presidency Note - Amendments to the proposal
WK 7583 2019 REV 1	20/06/2019	Presidency Note - Amendments to the proposal
WK 8335 2019 INIT	09/07/2019	Who is who - Crowdfunding - Finnish Presidency team
WK 10184 2019 INIT	19/09/2019	Working Party on Financial Services - Crowdfunding Agenda - 25 September 2019
WK 10191 2019 INIT	19/09/2019	Presidency Note
WK 11564 2019 INIT	21/10/2019	Four-column table for first trilogue
WK 11564 2019 COR 1	21/10/2019	Four-column table for first trilogue
WK 12232 2019 INIT	31/10/2019	Working Party on Financial Services - Crowdfunding Agenda - 5 November 2019
WK 12235 2019 INIT	31/10/2019	Presidency Note - Preparation of the second political trilogue on crowdfunding
WK 12738 2019 INIT	11/11/2019	Four-column table after technical meeting of 7 November 2019
WK 12967 2019 INIT	15/11/2019	European Commission non-paper - Scope of the Crowdfunding Regulation

Reference No.	Creation Date	Subject
WK 12968 2019 INIT	15/11/2019	European Commission non-paper - The role of ESMA in the Crowdfunding Regulation
WK 13097 2019 INIT	18/11/2019	Finnish Presidency non-paper - preparation of the third political trilogue
WK 13146 2019 INIT	19/11/2019	European Commission non-paper - threshold and investor protection
WK 13151 2019 INIT	19/11/2019	Working Party on Financial Services - Crowdfunding Agenda - 21 November 2019
WK 13255 2019 INIT	20/11/2019	Estonian non-paper - scope and inclusion of "individual portfolio management of loans"
WK 13341 2019 INIT	22/11/2019	European Commission non-paper - operation of bulletin boards
WK 13841 2019 INIT	04/12/2019	European Commission non-paper - overview
WK 13854 2019 INIT	04/12/2019	Updated European Commission non-paper - Scope of the Crowdfunding Regulation
WK 13856 2019 INIT	04/12/2019	Updated European Commission non-paper - The role of ESMA in the Crowdfunding Regulation
WK 13858 2019 INIT	04/12/2019	Updated European Commission non-paper - Threshold and Investor Protection
WK 13859 2019 INIT	04/12/2019	Updated European Commission non-paper - Bulletin Board and other items
WK 13897 2019 INIT	04/12/2019	Four-column table after technical meetings of 20 and 29 November 2019
WK 13909 2019 INIT	05/12/2019	UK Non-Paper - discretionary crowdfunding models
WK 13923 2019 INIT	05/12/2019	Presidency note - preparation of the fourth political trilogue on crowdfunding on 11 December 2019
WK 13995 2019 INIT	06/12/2019	Working Party on Financial Services - Crowdfunding Agenda - 10 December 2019
WK 14036 2019 INIT	09/12/2019	Presidency non-paper - lending-based crowdfunding and individual portfolio management of loans
WK 14038 2019 INIT	09/12/2019	French non-paper - subjection of crowdfunding service providers to European AML-FT obligations
WK 14040 2019 INIT	09/12/2019	Non-paper from the French delegation - Marketing Communications: Article 19 of the Crowdfunding Regulation
WK 14054 2019 INIT	09/12/2019	Written comments from the Portuguese delegation on the reflection period
WK 14109 2019 INIT	10/12/2019	Commission presentation on the pre-contractual reflection period
WK 14139 2019 INIT	11/12/2019	Presidency non-paper on the individual investment limit

Reference No.	Creation Date	Subject
WK 14140 2019 INIT	11/12/2019	Presidency non-paper on the reflection period
WK 14306 2019 INIT	16/12/2019	Presidency note - preparation of the fifth political trilogue
WK 14307 2019 INIT	16/12/2019	Presidency non-paper on admitted instruments
WK 14308 2019 INIT	16/12/2019	Presidency non-paper on investor protection
WK 14310 2019 INIT	16/12/2019	Presidency non-paper on individual portfolio management of loans (IPML)
WK 14312 2019 INIT	16/12/2019	Presidency non-paper on marketing communications
WK 14313 2019 INIT	16/12/2019	Working Party on Financial Services - Crowdfunding Agenda - 17 December 2019
WK 14421 2019 INIT	19/12/2019	Presidency compromise proposal submitted to trilogue on the "Scope of the Crowdfunding Regulation"
WK 14427 2019 INIT	19/12/2019	Presidency compromise proposal submitted to trilogue on the "The role of ESMA in the Crowdfunding Regulation"
WK 14430 2019 INIT	19/12/2019	Presidency compromise proposal submitted to trilogue on the "Threshold and investor protection "
WK 14431 2019 INIT	19/12/2019	Presidency compromise proposal submitted to trilogue on the "Bulletin board and other items"
WK 23 2020 INIT	06/01/2020	Working Party on Financial Services - Crowdfunding Agenda - Tuesday, 7 January 2020
WK 14421 2019 REV 1	07/02/2020	Presidency compromise proposal submitted to trilogue on the "Scope of the Crowdfunding Regulation"
WK 1742 2020 INIT	12/02/2020	Final four-column table after technical meetings - 11 February 2020
WK 1744 2020 INIT	12/02/2020	Consolidated text - 11 February 2020
WK 2602 2020 INIT	05/03/2020	Consolidated text - 26 February 2020
WK 2768 2020 INIT	10/03/2020	Consolidated text - 9 March 2020