



Council of the  
European Union

Brussels, 11 November 2020  
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**NOTE**

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|-----------------|--|
| From:           | General Secretariat of the Council   |
| To:             | Delegations  |
| No. prev. doc.: | 12455/20   |
| Subject:        | Amendment No 2 to the 2019-2020 Action Programme of the African Peace Facility |

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1. On 11 November 2020, the Permanent Representatives Committee approved Amendment No 2 to the 2019-2020 Action Programme of the African Peace Facility (APF).
2. In line with Article 15(b) of Council Regulation (EU) 2015/322 of 2 March 2015 on the implementation of the 11th European Development Fund, Amendment No 2 to the Action Programme annexed to this note can now be adopted by the Commission.

**2019-2020 Action Programme of the African Peace Facility****Amendment No. 2****MULTIANNUAL<sup>1</sup> PROGRAMME**

This document constitutes the multiannual work programme in the sense of Article 110(2) of the Financial Regulation and action programme/measure in the sense of Articles 2 and 3 of Regulation N° 236/2014.

|  |   |   |
|--|---|---|
| <b>1. Title/basic act/<br/>CRIS number</b>                 | 2019-2020 Action Programme of the African Peace Facility<br>CRIS number: FED/2018/041-568<br>financed under the European Development Fund (EDF)   |   |
| <b>2. Zone benefiting<br/>from the<br/>action/location</b> | African continent   |   |
| <b>3. Programming<br/>document</b>                         | 2019-2020 Action Programme of the African Peace Facility  |   |
| <b>4. Sustainable<br/>Development<br/>Goals (SDGs)</b>     | SDG 16: “Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels” |   |
| <b>5. Sector of<br/>intervention/<br/>thematic area</b>    | Peace and Security  | DEV. Assistance: YES <sup>2</sup> (results 1 and 2) |

<sup>1</sup> Within the maximum contribution of the European Union, the authorising officer responsible may adjust the allocation to the respective budgetary years subject to the availability of the commitment appropriations.

<sup>2</sup> Official Development Assistance is administered with the promotion of the economic development and welfare of developing countries as its main objective.

|   |   |
|---|---|
| <p><b>6. Amounts concerned</b></p>                            | <p><i>The following paragraph is modified as follows.</i></p> <p>Total estimated cost: EUR 929 217 744.45</p> <p>Total amount of EDF contribution: EUR 929 000 000<sup>3</sup></p> <p>The Commission has been entrusted with the responsibility of managing the following contributions:</p> <p>EUR 75 522.39 from the Grand Duchy of Luxembourg, as per Transfer Agreement ACP/FED/2019/41568/LU signed on 9 April 2019.</p> <p>EUR 69 284.06 from the Czech Republic, as per Transfer Agreement ACP/FED/2019/41568/CZ signed on 28 February 2019.</p> <p>EUR 72 938<sup>4</sup> from Romania, as per Transfer Agreement ACP/FED/2019/41568/RO signed on 24 February 2020.</p> |
| <p><b>7. Aid modalities and implementation modalities</b></p> | <p>Project Modality</p> <p><b>Direct management</b> through:</p> <ul style="list-style-type: none"> <li>- Grants</li> <li>- Procurement</li> </ul> <p><b>Indirect management</b> with the African Union Commission, the United Nations Office of the High Commissioner for Human Rights, the United Nations Secretariat, the United Nations Office for Project Services, the United Nations Support Office in Somalia, and the Member State Organisation(s) to be selected in accordance with the criteria set out in section 5.4.3.2.</p>  |

<sup>3</sup> In addition, EUR 14 860 000 will be allocated for support expenditure incurred by the Commission. This amount does not form part of the Action Programme.

<sup>4</sup> Excluding a lump sum for administrative costs of EUR 2 062.

|  |   |                          |                              |                            |
|--|---|--------------------------|------------------------------|----------------------------|
| <b>8 a) DAC code<sup>5</sup></b>           | 15220   |                          |                              |                            |
| <b>b) Main Delivery Channel</b>            | African Union (excluding peacekeeping facilities) – 47005               |                          |                              |                            |
| <b>9. Markers<br/>(from CRIS DAC form)</b> | <b>General policy objective</b>   | <b>Not targeted</b>      | <b>Significant objective</b> | <b>Principal objective</b> |
|  | Participation development/good governance                               | <input type="checkbox"/> | X                            | <input type="checkbox"/>   |
|  | Aid to environment  | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | Gender equality and Women's and Girl's Empowerment                      | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | Trade Development   | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | Reproductive, Maternal, New born and child health                       | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | <b>RIO Convention markers</b>   | <b>Not targeted</b>      | <b>Significant objective</b> | <b>Principal objective</b> |
|  | Biological diversity  | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | Combat desertification  | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | Climate change mitigation   | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | Climate change adaptation   | X                        | <input type="checkbox"/>     | <input type="checkbox"/>   |
|  | <b>10. Global Public Goods and Challenges (GPGC) thematic flagships</b> | N/A                      |                              |                            |

<sup>5</sup> Applicable to specific objectives 1 and 2.

## **SUMMARY**

*The first paragraph of the summary is modified as follows.*

*This Action Programme contributes to the peace and security component of the Africa-EU Partnership through the implementation of actions funded by the African Peace Facility under the European Development Fund during the period 2019-2021.*

## **CONTEXT ANALYSIS**

*This point remains unchanged.*

## **RISKS AND ASSUMPTIONS**

*The first point in the table is modified as follows.*

| <b>Risks</b>   | <b>Risk level (H/M/L)</b> | <b>Mitigating measures</b>   |
|--|---------------------------|--|
| 1. RECs/RMs financial management is not strong enough to pass the EC Institutional Assessment ("pillar assessment"). | M                         | No indirect management with RECs/RMs that have not passed the pillar assessment. |

## **LESSONS LEARNT AND COMPLEMENTARITY**

### **Lessons learnt**

*The last paragraph of this sub-point is modified as follows.*

The results of the Institutional Assessment ("pillar assessment") of the AUC carried out in 2015 highlighted that AUC's financial management systems required to be strengthened. In an Aide-Mémoire signed in 2016, the AUC and the Commission agreed on a number of measures to this end. A new Institutional Assessment took place in 2019, following the successful implementation of the activities foreseen in the Aide-Mémoire.

### **3.2 Complementarity, synergy and donor coordination**

*This sub-point remains unchanged.*

#### **DESCRIPTION OF THE ACTION**

*This point remains unchanged.*

#### **IMPLEMENTATION**

##### **Financing agreement**

*This sub-point remains unchanged.*

##### **Indicative implementation period**

*This sub-point remains unchanged.*

##### **Implementation of the budget support component**

*This sub-point remains unchanged.*

##### **Implementation modalities**

*This sub-point remains unchanged.*

**1.1.1 Specific Objective 1: 1. *The conflict prevention, management and resolution structures and mechanisms of the African Peace and Security Architecture are improved.***

**1.1.1.1 Procurement (direct management)**

*This sub-point remains unchanged.*

**1.1.1.2 Indirect management with an international organisation: United Nations Office of the High Commissioner for Human Rights**

*This sub-point remains unchanged.*

### 1.1.1.3 Indirect management with a regional organisation

*The last paragraph of this sub-point is modified as follows.*

The AUC underwent an Institutional Assessment under Regulation (EU, Euratom) 966/2012 in 2015. The results of this assessment were not satisfactory for the procurement, accountancy and sub-delegation pillars. The Commission therefore negotiated a new AUC-EC Aide-Mémoire on measures to strengthen financial management of the AUC that contains a series of engagements, including remedial measures that had been agreed in previous Aide-Mémoires signed in 2009 and 2011. The new Aide-Mémoire was signed on 14 April 2016 and revised on 24 May 2017 to account for progress achieved by the AUC. Its provisions include the implementation of a “pillar assessment” roadmap, and regular communication of the AUC’s audited financial statements as well as information on actual expenditures, accounts and the detailed financial situation of the AUC as an organisation to the EC. Annex II of the revised Aide-Mémoire contains remedial measures that are to be included in the Special Conditions of all contracts signed between the Commission, on behalf of the European Union, and the AUC. The AUC subsequently passed an assessment in line with Article 154 of Regulation (EU, Euratom) 2018/1046, applicable in accordance with Article 30 of Council Regulation (EU) 2018/1877, in December 2019. The Commission and the AUC therefore agreed to no longer include the aforementioned remedial measures in contracts signed between them from January 2020 onwards.

**1.1.2 Specific Objective 2: Conflict prevention and early response by the AUC and the RECs/RMs are improved.**

**1.1.2.1 Indirect management with a regional organisation**

*The last paragraph of this sub-point is modified as follows.*

The AUC underwent an Institutional Assessment under Regulation (EU, Euratom) 966/2012 in 2015. The results of this assessment were not satisfactory for the procurement, accountancy and sub-delegation pillars. The Commission therefore negotiated a new AUC-EC Aide-Mémoire on measures to strengthen financial management of the AUC that contains a series of engagements, including remedial measures that had been agreed in previous Aide-Mémoires signed in 2009 and 2011. The new Aide-Mémoire was signed on 14 April 2016 and revised on 24 May 2017 to account for progress achieved by the AUC. Its provisions include the implementation of a “pillar assessment” roadmap, and regular communication of the AUC’s audited financial statements as well as information on actual expenditures, accounts and the detailed financial situation of the AUC as an organisation to the EC. Annex II of the revised Aide-Mémoire contains remedial measures that are to be included in the Special Conditions of all contracts signed between the Commission, on behalf of the European Union, and the AUC. The AUC subsequently passed an assessment in line with Article 154 of Regulation (EU, Euratom) 2018/1046, applicable in accordance with Article 30 of Council Regulation (EU) 2018/1877, in December 2019. The Commission and the AUC therefore agreed to no longer include the aforementioned remedial measures in contracts signed between them from January 2020 onwards.

**1.1.3 Specific Objective 3: Conflict management through the deployment of peace support operations by the AUC and African regional organisations is improved.**

*This sub-point remains unchanged.*

**1.1.3.1 Grants: (direct management)**

*This sub-point remains unchanged.*

**1.1.3.2 Indirect management with a Member State Organisation**

*This sub-point remains unchanged.*

**1.1.3.3 Indirect management with an international organisation: United Nations Office of the High Commissioner for Human Rights**

*This sub-point remains unchanged.*



**1.1.3.4** Indirect management with an international organisation: United Nations Secretariat

*This sub-point remains unchanged.*

**1.1.3.5** Indirect management with an international organisation: United Nations Office for Project Services

*This sub-point remains unchanged.*

**1.1.3.6** Indirect management with an international organisation: United Nations Support Office in Somalia

*This sub-point remains unchanged.*

**1.1.3.7** Indirect management with a regional organisation

*The last paragraph of this sub-point is modified as follows.*

The AUC underwent an Institutional Assessment under Regulation (EU, Euratom) 966/2012 in 2015. The results of this assessment were not satisfactory for the procurement, accountancy and sub-delegation pillars. The Commission therefore negotiated a new AUC-EC Aide-Mémoire on measures to strengthen financial management of the AUC that contains a series of engagements, including remedial measures that had been agreed in previous Aide-Mémoires signed in 2009 and 2011. The new Aide-Mémoire was signed on 14 April 2016 and revised on 24 May 2017 to account for progress achieved by the AUC. Its provisions include the implementation of a “pillar assessment” roadmap, and regular communication of the AUC’s audited financial statements as well as information on actual expenditures, accounts and the detailed financial situation of the AUC as an organisation to the EC. Annex II of the revised Aide-Mémoire contains remedial measures that are to be included in the Special Conditions of all contracts signed between the Commission, on behalf of the European Union, and the AUC. The AUC subsequently passed an assessment in line with Article 154 of Regulation (EU, Euratom) 2018/1046, applicable in accordance with Article 30 of Council Regulation (EU) 2018/1877, in December 2019. The Commission and the AUC therefore agreed to no longer include the aforementioned remedial measures in contracts signed between them from January 2020 onwards.

**1.1.3.8** Changes from indirect to direct management mode due to exceptional circumstances

*This sub-point remains unchanged.*

**Scope of geographical eligibility for procurement and grants**

*This sub-point remains unchanged.*

**Indicative budget**

*The budget table is changed as follows.*

|  | <b>EU contribution<br/>(amount in EUR)</b><br><br>of which:  |
|--|--|
|  | <ul style="list-style-type: none"> <li>• 75 522.39 from the Grand Duchy of Luxembourg as per Transfer Agreement ACP/FED/2019/41568/LU</li> <li>• 69 284.06 from the Czech Republic as per Transfer Agreement ACP/FED/2019/41568/CZ</li> <li>• 72 938<sup>6</sup> from Romania as per Transfer Agreement ACP/FED/2019/41568/RO</li> </ul> |
| <b>Specific Objective 1: The conflict prevention, management and resolution structures and mechanisms of the African Peace and Security Architecture are improved, composed of</b> | <b>38 569 284.06</b>   |
| 5.4.1.1 Procurement (direct management)  | 1 500 000  |
| 5.4.1.2 Indirect management with a regional organisation   | 27 069 284.06  |
| 5.4.1.3 Indirect management with an international organisation – OHCHR   | 10 000 000   |
| <b>Specific Objective 2: Conflict prevention and early response by the AUC and the RECs/RMs are improved, composed of</b>  | <b>25 000 000</b>  |
| 5.4.2.1 Indirect management with a regional organisation   | 25 000 000   |

<sup>6</sup> Excluding a lump sum for administrative costs of EUR 2 062.

|   |                       |
|---|-----------------------|
| <p><b>Specific objective 3: Conflict management through the deployment of peace support operations by the AUC and African regional organisations is improved, composed of</b></p> <p>5.4.3.1 Grants (direct management)</p> <p>5.4.3.2 Indirect management with a Member State Organisation</p> <p>5.4.3.3 Indirect management with an international organisation – UN OHCHR</p> <p>5.4.3.4 Indirect management with an international organisation – UN Secretariat</p> <p>5.4.3.5 Indirect management with an international organisation – UNOPS</p> <p>5.4.3.6 Indirect management with an international organisation – UNSOS</p> <p>5.4.3.7 Indirect management with a regional organisation</p> | <b>862 648 460.39</b> |
| <b>Evaluation, (cf. section 5.9), Audit / Expenditure Verification (cf. section 5.10)</b>   | <b>2 000 000</b>      |
| <b>Communication and visibility (cf. section 5.11)</b>  | <b>1 000 000</b>      |
| <b>Contingencies</b>  | <b>0</b>              |
| <b>Totals</b>   | <b>929 217 744.45</b> |

### **Retroactive financing**

*This sub-point remains unchanged.*

### **Eligibility of costs**

*This sub-point remains unchanged.*

### **Organisational set-up and responsibilities**

*This sub-point remains unchanged.*

## **Performance and Results monitoring and reporting**

*This sub-point remains unchanged.*

## **Evaluation**

*This sub-point remains unchanged.*

## **Audit**

*This sub-point remains unchanged.*

## **Communication and visibility**

*This sub-point remains unchanged.*

## **APPENDIX I and II**

*The appendices remain unchanged.*

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