



Council of the
European Union

Brussels, 19 January 2021
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COVER NOTE

From: Secretary-General of the European Commission, signed by Ms Martine DEPREZ, Director

date of receipt: 4 January 2021

To: Mr Jeppe TRANHOLM-MIKKELSEN, Secretary-General of the Council of the European Union

No. prev. doc.: C(2014) 1537 Final - ST 7832/14

No. Cion doc.: C(2020) 9609 final

Subject: CORRIGENDUM to Commission Delegated Regulation 667/2014 supplementing Regulation 648/2012 of the European Parliament and of the Council with regards to rules of procedure for penalties imposed on trade repositories by the European Securities and Markets Authority (*Official Journal of the European Union L 179 of 19 June 2014*)

Delegations will find attached document C(2020) 9609 final. The Commission in its covering letter states that this correction concerns errors that are not substantial and that it does not give rise to a new objection period.

Encl.: C(2020) 9609 final



Brussels, 22.12.2020
C(2020) 9609 final

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On page 34, in Article 6(5)

for: 'The limitation period for imposing fines and periodic penalty payments shall be suspended for as long as the decision of ESMA is the subject of proceedings pending before the Board of Appeal, in accordance with Article 58 of Regulation (EU) No 1095/2010, and before the Court of Justice of the European Union, in accordance with Article 69 of Regulation (EU) No 648/2012.'

read: 'The limitation period for imposing fines and periodic penalty payments shall be suspended for as long as the decision of ESMA is the subject of proceedings pending before the Board of Appeal, in accordance with Article 60 of Regulation (EU) No 1095/2010, and before the Court of Justice of the European Union, in accordance with Article 69 of Regulation (EU) No 648/2012.'

On page 34, in Article 7(5) point (b)

for: 'enforcement of payment is suspended pursuant to a pending decision of ESMA Board of Appeal, in accordance with Article 58 of Regulation (EU) No 1095/2010, and the Court of Justice of the European Union, in accordance with Article 69 of Regulation (EU) No 648/2012.'

read: 'enforcement of payment is suspended pursuant to a pending decision of ESMA Board of Appeal, in accordance with Article 60 of Regulation (EU) No 1095/2010, and the Court of Justice of the European Union, in accordance with Article 69 of Regulation (EU) No 648/2012.'