



Council of the
European Union

Brussels, 31 May 2021
(OR. en)

Interinstitutional File:
2018/0196(COD)

6674/21
ADD 1 REV 1

FSTR 21
REGIO 36
FC 9
SOC 122
PECHE 75
CADREFIN 122
JAI 237
SAN 119
CODEC 295
PARLNAT 127

LEGISLATIVE ACTS AND OTHER INSTRUMENTS

Subject: Position of the Council at first reading with a view to the adoption of a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL laying down common provisions on the European Regional Development Fund, the European Social Fund Plus, the Cohesion Fund, the Just Transition Fund and the European Maritime, Fisheries and Aquaculture Fund and financial rules for those and for the Asylum, Migration and Integration Fund, the Internal Security Fund and the Instrument for Financial Support for Border Management and Visa Policy
- Adopted by the Council on 27 May 2021

ANNEX I

Dimensions and codes for the types of intervention for the ERDF, the ESF+, the Cohesion Fund and the JTF - Article 22(5)

TABLE 1: DIMENSIONS AND CODES FOR THE TYPES OF INTERVENTION^{1,2}

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
POLICY OBJECTIVE 1: A MORE COMPETITIVE AND SMARTER EUROPE BY PROMOTING INNOVATIVE AND SMART ECONOMIC TRANSFORMATION AND REGIONAL ICT CONNECTIVITY			
001	Investment in fixed assets, including research infrastructure, in micro enterprises directly linked to research and innovation activities	0 %	0 %

¹ For the specific objective ‘enabling regions and people to address the social, employment, economic and environmental impacts of the transition towards the Union’s 2030 targets for energy and climate and a climate-neutral economy of the Union by 2050, based on the Paris Agreement’ supported by the JTF, intervention fields under any policy objectives may be used, provided they are consistent with Articles 8 and 9 of the JTF Regulation and are in accordance with the relevant territorial just transition plan. For that specific objective, the coefficient for the calculation of support to climate change objectives is set at 100 % for all intervention fields used.

² Where a Member State’s recognised amount in support for climate objectives under its Recovery and Resilience Plan has been increased following the application of Article 18(4)(e) of Regulation (EU) 2021/241, an identical proportionate increase in the level of that Member State’s contribution to its support for climate objectives shall also be applied under cohesion policy.

³ The intervention fields are grouped by policy objectives, but their use is not limited to them. Any intervention field can be used under any policy objective. Especially for policy objective 5 all dimension codes under policy objectives 1 to 4 may be chosen in addition to those listed under policy objective 5.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
002	Investment in fixed assets, including research infrastructure, in small and medium-sized enterprises (including private research centres) directly linked to research and innovation activities	0 %	0 %
003	Investment in fixed assets, including research infrastructure, in large enterprises ¹ directly linked to research and innovation activities	0 %	0 %
004	Investment in fixed assets, including research infrastructure, in public research centres and higher education directly linked to research and innovation activities	0 %	0 %
005	Investment in intangible assets in micro enterprises directly linked to research and innovation activities	0 %	0 %
006	Investment in intangible assets in SMEs (including private research centres) directly linked to research and innovation activities	0 %	0 %
007	Investment in intangible assets in large enterprises directly linked to research and innovation activities	0 %	0 %
008	Investment in intangible assets in public research centres and higher education directly linked to research and innovation activities	0 %	0 %
009	Research and innovation activities in micro enterprises including networking (industrial research, experimental development, feasibility studies)	0 %	0 %

¹ Large enterprises are all enterprises other than SMEs, including small mid-cap companies.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
010	Research and innovation activities in SMEs, including networking	0 %	0 %
011	Research and innovation activities in large enterprises, including networking	0 %	0 %
012	Research and innovation activities in public research centres, higher education and centres of competence including networking (industrial research, experimental development, feasibility studies)	0 %	0 %
013	Digitising SMEs (including e-Commerce, e-Business and networked business processes, digital innovation hubs, living labs, web entrepreneurs and ICT start-ups, B2B)	0 %	0 %
014	Digitising large enterprises (including e-Commerce, e-Business and networked business processes, digital innovation hubs, living labs, web entrepreneurs and ICT start-ups, B2B)	0 %	0 %
015	Digitising SMEs or large enterprises (including e-Commerce, e-Business and networked business processes, digital innovation hubs, living labs, web entrepreneurs and ICT start-ups, B2B) compliant with greenhouse gas emission reduction or energy efficiency criteria ¹	40 %	0 %

¹ If the objective of the measure is that the activity has to process or collect data to enable greenhouse gas emission reductions that result in demonstrated substantial lifecycle greenhouse gas emissions savings; or if the objective of the measure requires data centres to comply with “European Code of Conduct on Data Centre Energy Efficiency”.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
016	Government ICT solutions, e-services, applications	0 %	0 %
017	Government ICT solutions, eservices, applications compliant with greenhouse gas emission reduction or energy efficiency criteria ¹	40 %	0 %
018	IT services and applications for digital skills and digital inclusion	0 %	0 %
019	e-Health services and applications (including e-Care, Internet of Things for physical activity and ambient assisted living)	0 %	0 %
020	Business infrastructure for SMEs (including industrial parks and sites)	0 %	0 %
021	SME business development and internationalisation, including productive investments	0 %	0 %
022	Support for large enterprises through financial instruments, including productive investments	0 %	0 %
023	Skills development for smart specialisation, industrial transition, entrepreneurship and adaptability of enterprises to change	0 %	0 %
024	Advanced support services for SMEs and groups of SMEs (including management, marketing and design services)	0 %	0 %
025	Incubation, support to spin offs and spin outs and start ups	0 %	0 %

¹ If the objective of the measure is that the activity has to process or collect data to enable greenhouse gas emission reductions that result in demonstrated substantial lifecycle greenhouse gas emissions savings; or if the objective of the measure requires data centres to comply with “European Code of Conduct on Data Centre Energy Efficiency”.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
026	Support for innovation clusters including between businesses, research organisations and public authorities and business networks primarily benefiting SMEs	0 %	0 %
027	Innovation processes in SMEs (process, organisational, marketing, co-creation, user and demand driven innovation)	0 %	0 %
028	Technology transfer and cooperation between enterprises, research centres and higher education sector	0 %	0 %
029	Research and innovation processes, technology transfer and cooperation between enterprises, research centres and universities, focusing on the low carbon economy, resilience and adaptation to climate change	100 %	40 %
030	Research and innovation processes, technology transfer and cooperation between enterprises, focusing on circular economy	40 %	100 %
031	Financing of working capital in SMEs in the form of grants to address emergency situation ¹	0 %	0 %
032	ICT: Very High-Capacity broadband network (backbone/backhaul network)	0 %	0 %
033	ICT: Very High-Capacity broadband network (access/local loop with a performance equivalent to an optical fibre installation up to the distribution point at the serving location for multi-dwelling premises)	0 %	0 %

¹ This code is only available for use where temporary measures for the use of the ERDF in response to exceptional circumstances are implemented pursuant to Article 5(6) of ERDF and CF Regulation.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
034	ICT: Very High-Capacity broadband network (access/local loop with a performance equivalent to an optical fibre installation up to the distribution point at the serving location for homes and business premises)	0 %	0 %
035	ICT: Very High-Capacity broadband network (access/local loop with a performance equivalent to an optical fibre installation up to the base station for advanced wireless communication)	0 %	0 %
036	ICT: Other types of ICT infrastructure (including large-scale computer resources/equipment, data centres, sensors and other wireless equipment)	0 %	0 %
037	ICT: Other types of ICT infrastructure (including large-scale computer resources/equipment, data centres, sensors and other wireless equipment) compliant with the carbon emission reduction and energy efficiency criteria ¹	40 %	0 %

¹ If the objective of the measure is that the activity has to process or collect data to enable greenhouse gas emission reductions that result in demonstrated substantial lifecycle greenhouse gas emissions savings; or if the objective of the measure requires data centres to comply with “European Code of Conduct on Data Centre Energy Efficiency”.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
POLICY OBJECTIVE 2: A GREENER, LOW-CARBON TRANSITIONING TOWARDS A NET ZERO CARBON ECONOMY AND RESILIENT EUROPE BY PROMOTING CLEAN AND FAIR ENERGY TRANSITION, GREEN AND BLUE INVESTMENT, THE CIRCULAR ECONOMY, CLIMATE CHANGE MITIGATION AND ADAPTATION, RISK PREVENTION AND MANAGEMENT, AND SUSTAINABLE URBAN MOBILITY			
038	Energy efficiency and demonstration projects in SMEs and supporting measures	40 %	40 %
039	Energy efficiency and demonstration projects in large enterprises and supporting measures	40 %	40 %
040	Energy efficiency and demonstration projects in SMEs or large enterprises and supporting measures compliant with energy efficiency criteria ¹	100 %	40 %
041	Energy efficiency renovation of existing housing stock, demonstration projects and supporting measures	40 %	40 %
042	Energy efficiency renovation of existing housing stock, demonstration projects and supporting measures compliant with energy efficiency criteria ²	100 %	40 %

¹ If the objective of the measure is (a) to achieve, on average, at least a medium-depth level renovation as defined in Commission Recommendation (EU) 2019/786 of 8 May 2019 on building renovation (OJ L 127, 16.5.2019, p. 34) or (b) to achieve, on average, at least a 30 % reduction of direct and indirect greenhouse gas emissions compared to the *ex-ante* emissions.

² If the objective of the measure is to achieve, on average, at least a medium-depth level renovation as defined in Commission Recommendation (EU) 2019/786. The renovation of buildings is also meant to include infrastructure in the sense of intervention fields 120 to 127.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
043	Construction of new energy efficient buildings ¹	40 %	40 %
044	Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures	40 %	40 %
045	Energy efficiency renovation or energy efficiency measures regarding public infrastructure, demonstration projects and supporting measures compliant with energy efficiency criteria ²	100 %	40 %
046	Support to entities that provide services contributing to the low carbon economy and to resilience to climate change, including awareness-raising measures	100 %	40 %

-
- ¹ If the objective of the measures concerns the construction of new buildings with a Primary Energy Demand (PED) that is at least 20 % lower than the NZEB requirement (nearly zero-energy building, national directives). The construction of new energy efficient buildings is also meant to include infrastructure in the sense of intervention fields 120 to 127.
- ² If the objective of the measure is to achieve, on average (a) at least a medium-depth level renovation as defined in Commission Recommendation (EU) 2019/786 or (b), at least a 30 % reduction of direct and indirect greenhouse gas emissions compared to the *ex-ante* emissions. The renovation of buildings is also meant to include infrastructure in the sense of intervention fields 120 to 127.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
047	Renewable energy: wind	100 %	40 %
048	Renewable energy: solar	100 %	40 %
049	Renewable energy: biomass ¹	40 %	40 %
050	Renewable energy: biomass with high greenhouse gas savings ²	100 %	40 %
051	Renewable energy: marine	100 %	40 %
052	Other renewable energy (including geothermal energy)	100 %	40 %
053	Smart Energy Systems (including smart grids and ICT systems) and related storage	100 %	40 %
054	High efficiency co-generation, district heating and cooling	40 %	40 %

¹ If the objective of the measure relates to the production of electricity or heat from biomass, in line with Directive (EU) 2018/2001 of the European Parliament and of the Council of 11 December 2018 on the promotion of the use of energy from renewable sources (OJ L 328, 21.12.2018, p. 82).

² If the objective of the measure relates to the production of electricity or heat from biomass, in line with Directive (EU) 2018/2001; and if the objective of the measure is to achieve at least 80 % greenhouse gas emission savings at the facility from the use of biomass in relation to the greenhouse gas saving methodology and the relative fossil fuel comparator set out in Annex VI to Directive (EU) 2018/2001. If the objective of the measure relates to the production of biofuel from biomass (excluding food and feed crops), in line with Directive (EU) 2018/2001; and if the objective of the measure is to achieve at least 65 % greenhouse gas emission savings at the facility from the use of biomass for this purpose in relation to the greenhouse gas saving methodology and the relative fossil fuel comparator set out in Annex V to Directive (EU) 2018/2001.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
055 ¹	High efficiency co-generation, efficient district heating and cooling with low lifecycle emissions ²	100 %	40 %
056	Replacement of coal-based heating systems by gas-based heating systems for climate mitigation purposes	0 %	0 %
057	Distribution and transport of natural gas substituting coal	0 %	0 %
058	Adaptation to climate change measures and prevention and management of climate related risks: floods and landslides (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)	100 %	100 %
059	Adaptation to climate change measures and prevention and management of climate related risks: fires (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)	100 %	100 %

¹ This field cannot be used when supporting fossil fuels under point (h) of Article 7(1) of ERDF and Cohesion Fund Regulation.

² In case of high-efficiency cogeneration, if the objective of the measure is to achieve life cycle emissions that are lower than 100gCO₂e/kWh or heat/cool produced from waste heat. In the case of district heating/cooling, if the associated infrastructure follows Directive 2012/27/EU of the European Parliament and of the Council of 25 October 2012 on energy efficiency, amending Directives 2009/125/EC and 2010/30/EU and repealing Directives 2004/8/EC and 2006/32/EC (OJ L 315 14.11.2012, p. 1), or the existing infrastructure is refurbished to meet the definition of the efficient district heating and cooling, or the project is an advanced pilot system (control and energy management systems, Internet of Things) or leads to a lower temperature regime in the district heating and cooling system.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
060	Adaptation to climate change measures and prevention and management of climate related risks: others, e.g. storms and drought (including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches)	100 %	100 %
061	Risk prevention and management of non-climate related natural risks (for example earthquakes) and risks linked to human activities (for example technological accidents), including awareness raising, civil protection and disaster management systems, infrastructures and ecosystem based approaches	0 %	100 %
062	Provision of water for human consumption (extraction, treatment, storage and distribution infrastructure, efficiency measures, drinking water supply)	0 %	100 %
063	Provision of water for human consumption (extraction, treatment, storage and distribution infrastructure, efficiency measures, drinking water supply) compliant with efficiency criteria ¹	40 %	100 %

¹ If the objective of the measure is for the constructed system to have an average energy consumption of ≤ 0.5 kWh or an Infrastructure Leakage Index (ILI) of ≤ 1.5 , and for the renovation activity to decrease the average energy consumption by more than 20 % or decrease leakage by more than 20 %.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
064	Water management and water resource conservation (including river basin management, specific climate change adaptation measures, reuse, leakage reduction)	40 %	100 %
065	Waste water collection and treatment	0 %	100 %
066	Waste water collection and treatment compliant with energy efficiency criteria ¹	40 %	100 %
067	Household waste management: prevention, minimisation, sorting, reuse, recycling measures	40 %	100 %
068	Household waste management: residual waste treatment	0 %	100 %
069	Commercial, industrial waste management: prevention, minimisation, sorting, reuse, recycling measures	40 %	100 %
070	Commercial, industrial waste management: residual and hazardous waste	0 %	100 %
071	Promoting the use of recycled materials as raw materials	0 %	100 %
072	Use of recycled materials as raw materials compliant with the efficiency criteria ²	100 %	100 %
073	Rehabilitation of industrial sites and contaminated land	0 %	100 %

-
- ¹ If the objective of the measure for the constructed front-to-end waste water system to have net zero energy use or for the renewal of the front-to-end waste water system to lead to a decreased average energy use by at least 10 % (solely by energy efficiency measures and not by material changes or changes in load).
- ² If the objective of the measure is to convert at least 50 %, in terms of weight, of the processed separately collected non-hazardous waste into secondary raw materials.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
074	Rehabilitation of industrial sites and contaminated land compliant with efficiency criteria ¹	40 %	100 %
075	Support to environmentally-friendly production processes and resource efficiency in SMEs	40 %	40 %
076	Support to environmentally-friendly production processes and resource efficiency in large enterprises	40 %	40 %
077	Air quality and noise reduction measures	40 %	100 %
078	Protection, restoration and sustainable use of Natura 2000 sites	40 %	100 %
079	Nature and biodiversity protection, natural heritage and resources, green and blue infrastructure	40 %	100 %
080	Other measures to reduce greenhouse gas emissions in the area of preservation and restoration of natural areas with high potential for carbon absorption and storage, e.g. by rewetting of moorlands, the capture of landfill gas	100 %	100 %
081	Clean urban transport infrastructure ²	100 %	40 %

¹ If the objective of the measure is to turn industrial sites and contaminated land into a natural carbon sink.

² Clean urban transport infrastructure refers to infrastructure that enables the operation of zero-emission rolling stock.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
082	Clean urban transport rolling stock ¹	100 %	40 %
083	Cycling infrastructure	100 %	100 %
084	Digitalisation of urban transport	0 %	0 %
085	Digitalisation of transport when dedicated in part to greenhouse gas emissions reduction: urban transport	40 %	0 %
086	Alternative fuels infrastructure ²	100 %	40 %
POLICY OBJECTIVE 3: A MORE CONNECTED EUROPE BY ENHANCING MOBILITY			
087 ³	Newly built or upgraded motorways and roads - TEN-T core network	0 %	0 %
088	Newly built or upgraded motorways and roads - TEN-T comprehensive network	0 %	0 %
089	Newly built or upgraded secondary road links to TEN-T road network and nodes	0 %	0 %
090	Newly built or upgraded other national, regional and local access roads	0 %	0 %
091	Reconstructed or modernised motorways and roads - TEN-T core network	0 %	0 %

¹ Clean urban transport rolling stock refers to zero-emission rolling stock.

² If the objective of the measure is in line with Directive (EU) 2018/2001.

³ For intervention fields 087 to 091, intervention fields 081, 082 and 086 can be used for elements of the measures that relate to interventions in alternative fuels, including EV charging, or public transport.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
092	Reconstructed or modernised motorways and roads - TEN-T comprehensive network	0 %	0 %
093	Other reconstructed or modernised roads (motorway, national, regional or local)	0 %	0 %
094	Digitalisation of transport: road	0 %	0 %
095	Digitalisation of transport when dedicated in part to greenhouse gas emissions reduction: road	40 %	0 %
096	Newly built or upgraded railways - TEN-T core network	100 %	40 %
097	Newly built or upgraded railways - TEN-T comprehensive network	100 %	40 %
098	Other newly built or upgraded railways	40 %	40 %
099	Other newly built or upgraded railways – electric/zero emission ¹	100 %	40 %
100	Reconstructed or modernised railways - TEN-T core network	100 %	40 %
101	Reconstructed or modernised railways - TEN-T comprehensive network	100 %	40 %
102	Other reconstructed or modernised railways	40 %	40 %
103	Other reconstructed or modernised railways – electric/zero emission ¹	100 %	40 %
104	Digitalisation of transport: rail	40 %	0 %

¹ If the objective of the measure relates to electrified trackside and associated subsystems or if there is a plan for electrification or it will be fit for use by zero tailpipe emission trains within 10 years.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
105	European Rail Traffic Management System (ERTMS)	40 %	40 %
106	Mobile rail assets	0 %	40 %
107	Mobile zero emission/electric powered ¹ rail assets	100 %	40 %
108	Multimodal transport (TEN-T)	40 %	40 %
109	Multimodal transport (not urban)	40 %	40 %
110	Seaports (TEN-T)	0 %	0 %
111	Seaports (TEN-T) excluding facilities dedicated to transport of fossil fuels	40 %	0 %
112	Other seaports	0 %	0 %
113	Other seaports excluding facilities dedicated to transport of fossil fuels	40 %	0 %
114	Inland waterways and ports (TEN-T)	0 %	0 %
115	Inland waterways and ports (TEN-T) excluding facilities dedicated to transport of fossil fuels	40 %	0 %
116	Inland waterways and ports (regional and local)	0 %	0 %
117	Inland waterways and ports (regional and local) excluding facilities dedicated to transport of fossil fuels	40 %	0 %

¹ Also applies to bi-mode trains.

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
118	Security, safety and air traffic management systems, for existing airports	0 %	0 %
119	Digitising transport: other transport modes	0 %	0 %
120	Digitising transport when dedicated in part to greenhouse gas emissions reduction: other transport modes	40 %	0 %
POLICY OBJECTIVE 4: A MORE SOCIAL AND INCLUSIVE EUROPE IMPLEMENTING THE EUROPEAN PILLAR OF SOCIAL RIGHTS			
121	Infrastructure for early childhood education and care	0 %	0 %
122	Infrastructure for primary and secondary education	0 %	0 %
123	Infrastructure for tertiary education	0 %	0 %
124	Infrastructure for vocational education and training and adult learning	0 %	0 %
125	Housing infrastructure for migrants, refugees and persons under or applying for international protection	0 %	0 %
126	Housing infrastructure (other than for migrants, refugees and persons under or applying for international protection)	0 %	0 %
127	Other social infrastructure contributing to social inclusion in the community	0 %	0 %
128	Health infrastructure	0 %	0 %

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
129	Health equipment	0 %	0 %
130	Health mobile assets	0 %	0 %
131	Digitalisation in health care	0 %	0 %
132	Critical equipment and supplies necessary to address emergency situation	0 %	0 %
133	Temporary reception infrastructure for migrants, refugees and persons under or applying for international protection	0 %	0 %
134	Measures to improve access to employment	0 %	0 %
135	Measures to promote access to employment of long-term unemployed	0 %	0 %
136	Specific support for youth employment and socio-economic integration of young people	0 %	0 %
137	Support for self-employment and business start-ups	0 %	0 %
138	Support for social economy and social enterprises	0 %	0 %
139	Measures to modernise and strengthen labour market institutions and services to assess and anticipate skills needs and to ensure timely and tailor-made assistance	0 %	0 %
140	Support for labour market matching and transitions	0 %	0 %
141	Support for labour mobility	0 %	0 %
142	Measures to promote women's labour market participation and reduce gender-based segregation in the labour market	0 %	0 %

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
143	Measures promoting work-life balance, including access to childcare and care for dependent persons	0 %	0 %
144	Measures for a healthy and well-adapted working environment addressing health risks, including promotion of physical activity	0 %	0 %
145	Support for the development of digital skills	0 %	0 %
146	Support for adaptation of workers, enterprises and entrepreneurs to change	0 %	0 %
147	Measures encouraging active and healthy ageing	0 %	0 %
148	Support for early childhood education and care (excluding infrastructure)	0 %	0 %
149	Support for primary to secondary education (excluding infrastructure)	0 %	0 %
150	Support for tertiary education (excluding infrastructure)	0 %	0 %
151	Support for adult education (excluding infrastructure)	0 %	0 %
152	Measures to promote equal opportunities and active participation in society	0 %	0 %
153	Pathways to integration and re-entry into employment for disadvantaged people	0 %	0 %
154	Measures to improve access of marginalised groups such as the Roma to education, employment and to promote their social inclusion	0 %	0 %
155	Support to the civil society working with marginalised communities such as the Roma	0 %	0 %

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
156	Specific actions to increase participation of third-country nationals in employment	0 %	0 %
157	Measures for the social integration of third-country nationals	0 %	0 %
158	Measures to enhancing the equal and timely access to quality, sustainable and affordable services	0 %	0 %
159	Measures to enhancing the delivery of family and community-based care services	0 %	0 %
160	Measures to improve the accessibility, effectiveness and resilience of healthcare systems (excluding infrastructure)	0 %	0 %
161	Measures to improve access to long-term care (excluding infrastructure)	0 %	0 %
162	Measures to modernise social protection systems, including promoting access to social protection	0 %	0 %
163	Promoting social integration of people at risk of poverty or social exclusion, including the most deprived and children	0 %	0 %
164	Addressing material deprivation through food and/or material assistance to the most deprived, including accompanying measures	0 %	0 %

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
POLICY OBJECTIVE 5: A EUROPE CLOSER TO CITIZENS BY FOSTERING THE SUSTAINABLE AND INTEGRATED DEVELOPMENT OF ALL TYPES OF TERRITORIES AND LOCAL INITIATIVES			
165	Protection, development and promotion of public tourism assets and tourism services	0 %	0 %
166	Protection, development and promotion of cultural heritage and cultural services	0 %	0 %
167	Protection, development and promotion of natural heritage and eco-tourism other than Natura 2000 sites	0 %	100 %
168	Physical regeneration and security of public spaces	0 %	0 %
169	Territorial development initiatives, including preparation of territorial strategies	0 %	0 %
Other codes related to policy objectives 1-5			
170	Improve the capacity of programme authorities and bodies linked to the implementation of the Funds	0 %	0 %
171	Enhancing cooperation with partners both within and outside the Member State	0 %	0 %
172	Cross-financing under the ERDF (support to ESF+-type actions necessary for the implementation of the ERDF part of the operation and directly linked to it)	0 %	0 %
173	Enhancing institutional capacity of public authorities and stakeholders to implement territorial cooperation projects and initiatives in a cross-border, transnational, maritime and inter-regional context	0 %	0 %

INTERVENTION FIELD ³		Coefficient for the calculation of support to climate change objectives	Coefficient for the calculation of support to environmental objectives
174	Interreg: border crossing management and mobility and migration management	0 %	0 %
175	Outermost regions: compensation of any additional costs due to accessibility deficit and territorial fragmentation	0 %	0 %
176	Outermost regions: specific action to compensate additional costs due to size market factors	0 %	0 %
177	Outermost regions: support to compensate additional costs due to climate conditions and relief difficulties	40 %	40 %
178	Outermost regions: airports	0 %	0 %
Technical assistance			
179	Information and communication	0 %	0 %
180	Preparation, implementation, monitoring and control	0 %	0 %
181	Evaluation and studies, data collection	0 %	0 %
182	Reinforcement of the capacity of Member State authorities, beneficiaries and relevant partners	0 %	0 %

TABLE 2: CODES FOR THE FORM OF SUPPORT DIMENSION¹

FORM OF SUPPORT	
01	Grant
02	Support through financial instruments: equity or quasi-equity
03	Support through financial instruments: loan
04	Support through financial instruments: guarantee
05	Support through financial instruments: Grants within a financial instrument operation
06	Prize

¹ This table is applicable to the EMFAF for the purpose of Table 12 of Annex VII.

TABLE 3: CODES FOR THE TERRITORIAL DELIVERY MECHANISM AND TERRITORIAL FOCUS DIMENSION

TERRITORIAL DELIVERY MECHANISM AND TERRITORIAL FOCUS		
Integrated territorial investment (ITI)		ITI focused on sustainable urban development
01	Urban neighbourhoods	x
02	Cities, towns and suburbs	x
03	Functional urban areas	x
04	Rural areas	
05	Mountainous areas	
06	Islands and coastal areas	
07	Sparsely populated areas	
08	Other types of territories targeted	
Community-led local development (CLLD)		CLLD focused on sustainable urban development
09	Urban neighbourhoods	x
10	Cities, towns and suburbs	x
11	Functional urban areas	x
12	Rural areas	
13	Mountainous areas	
14	Islands and coastal areas	
15	Sparsely populated areas	
16	Other types of territories targeted	

Other type of territorial tool		Other type of territorial tool focused on sustainable urban development
17	Urban neighbourhoods	x
18	Cities, towns and suburbs	x
19	Functional urban areas	x
20	Rural areas	
21	Mountainous areas	
22	Islands and coastal areas	
23	Sparsely populated areas	
24	Other types of territories targeted	
Other approaches ¹		
25	Urban neighbourhoods	
26	Cities, towns and suburbs	
27	Functional urban areas	
28	Rural areas	
29	Mountainous areas	
30	Islands and coastal areas	
31	Sparsely populated areas	
32	Other types of territories targeted	
33	No territorial targeting	

¹ Other approaches undertaken under policy objectives other than policy objective 5 and not in a form of integrated territorial investment or in form of community-led local development.

TABLE 4: CODES FOR THE ECONOMIC ACTIVITY DIMENSION

01	Agriculture and forestry
02	Fisheries
03	Aquaculture
04	Other blue economy sectors
05	Manufacture of food products and beverages
06	Manufacture of textiles and textile products
07	Manufacture of transport equipment
08	Manufacture of computer, electronic and optical products
09	Other unspecified manufacturing industries
10	Construction
11	Mining and quarrying
12	Electricity, gas, steam, hot water and air conditioning
13	Water supply, sewerage, waste management and remediation act
14	Transport and storage
15	Information and communication activities, including telecomm
16	Wholesale and retail trade
17	Tourism, accommodation and food service activities
18	Financial and insurance activities
19	Real estate, renting and business services activities

20	Public administration
21	Education
22	Human health activities
23	Social work activities, community, social and personal services
24	Activities linked to the environment
25	Arts, entertainment, creative industries and recreation
26	Other unspecified services

TABLE 5: CODES FOR THE LOCATION DIMENSION

LOCATION	
Code	Location
	Code of region or area where operation is located or carried out, as set out in the common classification of territorial units for statistics (NUTS) provided in Annex I to Regulation (EC) No 1059/2003.

TABLE 6: CODES FOR ESF+ SECONDARY THEMES

ESF+ SECONDARY THEME		Coefficient for the calculation of support to climate change objectives
01	Contributing to green skills and jobs and the green economy	100 %
02	Developing digital skills and jobs	0 %
03	Investing in research and innovation and smart specialisation	0 %
04	Investing in small and medium-sized enterprises (SMEs)	0 %
05	Non-discrimination	0 %
06	Addressing child poverty	0 %
07	Capacity building of social partners	0 %
08	Capacity building of the civil society organisations	0 %
09	Not applicable	0 %
10	Addressing challenges identified in the European Semester ¹	0 %

¹ Including in their national reform programmes as well as in the relevant country-specific recommendations (adopted in accordance with Articles 121(2) and 148(4) TFEU).

TABLE 7: CODES FOR ESF+ / ERDF / COHESION FUND / JTF GENDER EQUALITY DIMENSION

ESF+/ERDF/ Cohesion Fund/ JTF gender equality dimension		Coefficient for the calculation of support to gender equality
01	Gender targeting	100 %
02	Gender mainstreaming	40 %
03	Gender neutral	0 %

TABLE 8: CODES FOR THE MACRO-REGIONAL AND SEA BASIN STRATEGIES

MACRO-REGIONAL AND SEA BASIN STRATEGIES	
01	Adriatic & Ionian Region Strategy
02	Alpine Region Strategy
03	Baltic Sea Region Strategy
04	Danube Region Strategy
05	Arctic Ocean
06	Atlantic Strategy
07	Black Sea
08	Mediterranean Sea
09	North Sea
10	Western Mediterranean Strategy
11	No contribution to macro-regional or sea basin strategies

ANNEX II

Template for Partnership Agreement - Article 10(6)¹

Reference: Article 10(5) of Regulation (EU) .../...⁺ (the ‘CPR’). The justifications and text fields under points 1-10 of this Annex amount to no more than 35 pages, whereby one page contains on average 3 000 characters without spaces.

CCI	[15] ²
Title	[255]
Version	
First year	[4]
Last year	[4]
Commission decision number	
Commission decision date	

¹ As regards the ERDF, only table 2 in section 8 is relevant for European territorial cooperation goal (Interreg), while all the information in the remaining sections and tables concern only the Investment for jobs and growth goal.

⁺ OJ: Please insert the number of the Regulation contained in this document (ST 6674/21).

² Numbers in square brackets refer to number of characters without spaces.

1. Selection of policy objectives and the JTF specific objective

Reference: point (a) of Article 11(1) CPR

Table 1: Selection of policy objective and JTF specific objective with justification

Selected objective	Programme	Fund	Justification for selection of a policy objective or JTF-specific objective
			[3 500 per objective]

2. Policy choices, coordination and complementarity¹

Reference: points (b)(i), (ii) and (iii) of Article 11(1) CPR

A summary of the policy choices and the main results expected for each of the funds covered by the Partnership Agreement – point (b)(i) of Article 11(1) CPR

Text field

Coordination, demarcation and complementarities between the Funds and, where appropriate, coordination between national and regional programmes – point (b)(ii) of Article 11(1) CPR

Text field

Complementarities and synergies between the funds covered by the Partnership Agreement, the AMIF, the ISF, the BMVI, and other Union instruments – point (b)(iii) of Article 11(1) CPR

Text field

¹ The total length of the text inserted in the three text fields above shall be between 10 000 and 30 000 characters.

3. Contribution to the budgetary guarantee under InvestEU with justification¹

Reference: point (g) of Article 11(1) and Article 14 CPR

Table 2A: Contribution to InvestEU (breakdown by year)

Contribution from		Contribution to	Breakdown by year							
Fund	Category of region	InvestEU window(s)	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed									
	Transition									
	Less developed									
ESF+	More developed									
	Transition									
	Less developed									
Cohesion Fund	N/A									
EMFAF	N/A									

¹ Contributions shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State.

Table 2B: Contribution to InvestEU (summary)

	Category of region	Window 1 Sustainable Infrastructure (a)	Window 2 Research, Innovation and Digitisation (b)	Window 3 SME (c)	Window 4 Social Investment and Skills (d)	Total (f)=(a)+(b)+(c)+(d)
ERDF	More developed					
	Less developed					
	Transition					
ESF+	More developed					
	Less developed					
	Transition					
Cohesion Fund						
EMFAF						
Total						

Text field [3 500] (justification taking into account how those amounts contribute to the achievement of policy objectives selected in the Partnership Agreement in line with Art. 10(1) of the InvestEU Regulation)

4. Transfers¹

MS requests a	<input type="checkbox"/> transfer between categories of region
	<input type="checkbox"/> transfer to instruments under direct or indirect management
	<input type="checkbox"/> transfer between ERDF, ESF+, Cohesion Fund or to another Fund or Funds
	<input type="checkbox"/> transfer of ERDF and ESF+ resources as complementary support to the JTF
	<input type="checkbox"/> transfers from European territorial cooperation to Investment for jobs and growth

¹ Transfers shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State.

4.1. Transfer between categories of region

Reference: point (e) of Article 11(1) and Article 111 CPR

Table 3A: Transfers between categories of region (breakdown by year)

Transfer from	Transfer to	Breakdown by year							
Category of region	Category of region	2021	2022	2023	2024	2025	2026	2027	Total
More developed	More developed / Transition / Less developed								
Transition									
Less developed									

Table 3B. Transfer between categories of region (summary)

Category of region	Allocation by category of region	Transfer to:	Transfer amount	Share of the initial allocation transferred	Allocation by category of region after the transfer
Less developed		More developed			
		Transition			
More developed		Transition			
		Less developed			
Transition		More developed			
		Less developed			

Text field [3 500] (justification)

4.2. Transfers to instruments under direct or indirect management

Reference: Article 26(1) CPR

Table 4A: Transfers to instruments under direct or indirect management where such possibility is provided for in the basic act* (breakdown by year)

Transfer from		Transfer to	Breakdown by year							
Fund	Category of region	Instrument	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed									
	Transition									
	Less developed									
ESF+	More developed									
	Transition									
	Less developed									
Cohesion Fund	N/A									
EMFAF	N/A									

* Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant Union instruments will be specified accordingly.

Table 4B: Transfers to instruments under direct or indirect management where such possibility is provided for in the basic act* (summary)

Fund	Category of region	Instrument 1	Instrument 2	Instrument 3	Instrument 4	Instrument 5	Total
ERDF	More developed						
	Transition						
	Less developed						
ESF+	More developed						
	Transition						
	Less developed						
Cohesion Fund							
EMFAF							
Total							

* Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant Union instruments will be specified accordingly.

Text field [3 500] (justification)

4.3. Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds

Reference: Article 26(1) CPR

Table 5A: Transfers between ERDF, ESF+ and Cohesion Fund and to other Fund or Funds* (breakdown by year)

Transfers from		Transfers to		Breakdown by year							
Fund	Category of region	Fund	Category of region (where relevant)	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed	ERDF, ESF+ or Cohesion Fund, EMFAF, AMIF, ISF, BMVI									
	Transition										
	Less developed										
ESF+	More developed										
	Transition										
	Less developed										
Cohesion Fund	N/A										
EMFAF	N/A										

* Transfers between ERDF and ESF+ can only be done within the same category of region.

Table 5B: Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds (summary)*

Transfer to / Transfer from		ERDF			ESF+			Cohesion Fund	EMFAF	AMIF	ISF	BMVI	Total
		More developed	Transition	Less developed	More developed	Transition	Less developed						
ERDF	More developed												
	Transition												
	Less developed												
ESF+	More developed												
	Transition												
	Less developed												
Cohesion Fund													
EMFAF													
Total													

* Transfer to other programmes. Transfers between ERDF and ESF+ can only be done within the same category of region.

Text field [3 500] (justification)

4.4. Transfer of ERDF and ESF+ resources as complementary support to the JTF, with justification¹

Reference: Article 27 CPR

Table 6A: Transfer of ERDF and ESF+ resources as complementary support to the JTF (breakdown by year)

Fund	Category of region	Fund	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed	JTF*								
	Transition									
	Less developed									
ESF+	More developed	JTF								
	Transition									
	Less developed									

* JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the territory concerned is located.

¹ This transfer is preliminary. It should be confirmed or corrected at the first adoption of programme(s) with JTF allocation, as indicated in Annex V.

Table 6B: Transfer of ERDF and ESF+ resources as complementary support to the JTF (summary)

		Article 3 JTF Regulation allocation prior to transfers
		Transfers to JTF to the territory located in*:
Transfer (complementary support) per category of region from:		
ERDF	More developed	
	Transition	
	Less developed	
ESF+	More developed	
	Transition	
	Less developed	
Total	More developed	
	Transition	
	Less developed	

* JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the territory concerned is located.

Text field [3 500] (justification)

4.5. Transfers from European territorial cooperation goal (Interreg) to Investment for jobs and growth goal

Reference: Article 111(3) CPR

Table 7: Transfers from European territorial cooperation goal (Interreg) to Investment for jobs and growth goal

Transfer from European territorial cooperation goal (Interreg)								
	2021	2022	2023	2024	2025	2026	2027	Total
Cross-border								
Transnational								
Outermost								

Transfer to Investment for jobs and growth goal									
Fund	Category of region	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed								
	Transition								
	Less developed								
ESF+	More developed								
	Transition								
	Less developed								
JTF	N/A								
Cohesion Fund	N/A								

Text field [3 500] (justification)

5. The form of Union contribution for technical assistance

Reference: point (f) of Article 11(1) CPR

The choice of the form of the Union contribution to technical assistance	<input type="checkbox"/> Technical assistance pursuant to Article 36(4)*
	<input type="checkbox"/> Technical assistance pursuant to Article 36(5)**

* If chosen table 1 of section 8 is to be filled in.

** If chosen table 2 of section 8 is to be filled in.

Text field [3 500] (justification)

6. Thematic concentration

6.1.

Reference: Article 4(3) ERDF and CF Regulation

Member State decides to	<input type="checkbox"/> comply with thematic concentration at national level
	<input type="checkbox"/> comply with thematic concentration at category of region level
	<input type="checkbox"/> take into account Cohesion Fund resources for the purpose of thematic concentration

6.2.

Reference: point (c) of Article 11(1) CPR and Article 7 ESF+ Regulation

Member State complies with thematic concentration requirements	... % social inclusion Programmed under specific objectives (h) – (l) of Article 4 ESF+ Regulation	Planned ESF+ programmes 1 2
	... % support to the most deprived Programmed under specific objectives (m), and in duly justified cases (l) of Article 4 ESF+ Regulation	Planned ESF+ programmes 1 2
	... % support to youth employment Programmed under specific objectives (a), (f) and (l) of Article 4 ESF+ Regulation	Planned ESF+ programmes 1 2

	... % support to tackling child poverty Programmed under specific objectives (f), (h) – (l) of Article 4 ESF+ Regulation	Planned ESF+ programmes 1 2
	...% capacity building social partners and NGOs Programmed under all specific objectives except (m) of Article 4 ESF+ Regulation	Planned ESF+ programmes 1 2

7. Preliminary financial allocation from each of the funds covered by the Partnership Agreement by policy objective, JTF specific objective and technical assistance, at national and where appropriate regional level

Reference: point (c) of Article 11(1) CPR

Table 8: Preliminary financial allocation from ERDF, Cohesion Fund, JTF, ESF+, EMFAF by policy objective, JTF specific objective and technical assistance*

Policy objectives, JTF specific objective or technical assistance	ERDF			Cohesion Fund allocation at national level	JTF**			ESF+			EMFAF allocation at national level	Total
	Allocation at national level	Category of region	Allocation by category of region		Allocation at national level	Article 3 JTF Regulation resources	Article 4 JTF Regulation resources	Allocation at national level	Category of region	Allocation by category of region		
Policy objective 1		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			
Policy objective 2		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			

Policy objectives, JTF specific objective or technical assistance	ERDF			Cohesion Fund allocation at national level	JTF**			ESF+			EMFAF allocation at national level	Total
	Allocation at national level	Category of region	Allocation by category of region		Allocation at national level	Article 3 JTF Regulation resources	Article 4 JTF Regulation resources	Allocation at national level	Category of region	Allocation by category of region		
Policy objective 3		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			
Policy objective 4		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			

Policy objectives, JTF specific objective or technical assistance	ERDF			Cohesion Fund allocation at national level	JTF**			ESF+			EMFAF allocation at national level	Total
	Allocation at national level	Category of region	Allocation by category of region		Allocation at national level	Article 3 JTF Regulation resources	Article 4 JTF Regulation resources	Allocation at national level	Category of region	Allocation by category of region		
Policy objective 5		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			
JTF specific objective												
Technical assistance pursuant to Article 36(4) CPR (where relevant)		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			

Policy objectives, JTF specific objective or technical assistance	ERDF			Cohesion Fund allocation at national level	JTF**			ESF+			EMFAF allocation at national level	Total
	Allocation at national level	Category of region	Allocation by category of region		Allocation at national level	Article 3 JTF Regulation resources	Article 4 JTF Regulation resources	Allocation at national level	Category of region	Allocation by category of region		
Technical assistance pursuant to Article 36(5) CPR (where relevant)		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			
Technical assistance pursuant to Article 37 CPR (where relevant)		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			

Policy objectives, JTF specific objective or technical assistance	ERDF			Cohesion Fund allocation at national level	JTF**			ESF+			EMFAF allocation at national level	Total
	Allocation at national level	Category of region	Allocation by category of region		Allocation at national level	Article 3 JTF Regulation resources	Article 4 JTF Regulation resources	Allocation at national level	Category of region	Allocation by category of region		
Total		More developed							More developed			
		Transition							Transition			
		Less developed							Less developed			
		Outermost and northern sparsely populated							Outermost and northern sparsely populated			
Article 7 JTF Regulation resources related to Article 3 JTF Regulation resources												

Policy objectives, JTF specific objective or technical assistance	ERDF			Cohesion Fund allocation at national level	JTF**			ESF+			EMFAF allocation at national level	Total
	Allocation at national level	Category of region	Allocation by category of region		Allocation at national level	Article 3 JTF Regulation resources	Article 4 JTF Regulation resources	Allocation at national level	Category of region	Allocation by category of region		
Article 7 JTF Regulation resources related to Article 4 JTF Regulation resources												
Total												

* The amount should include the flexibility amounts in accordance with Article 18 CPR that have been preliminarily allocated. The actual allocation of the flexibility amounts will only be confirmed at the mid-term review.

** JTF amounts after the envisaged complementary support from the ERDF and ESF+.

Text field [3 500] (justification)

8. List of planned programmes under the funds covered by the Partnership agreement with the respective preliminary financial allocations by fund and the corresponding national contribution by category of region

Reference: point (h) of Article 11(1) and Article 110 CPR

Table 9A: List of planned programmes¹ with preliminary financial allocations*

Title [255]	Fund	Category of region	Union contribution	National contribution	Total
Programme** 1	ERDF	More developed			
		Transition			
		Less developed			
		Outermost and northern sparsely populated			
Programme 2	Cohesion Fund	N/A			

¹ In case Article 36(4) CPR technical assistance was chosen.

Programme 3	ESF+	More developed			
		Transition			
		Less developed			
		Outermost and northern sparsely populated			
Programme 4	JTF allocation (Article 3 JTF Regulation)	N/A			
	JTF allocation (Article 4 JTF Regulation)	N/A			
Total	ERDF, Cohesion Fund, JTF, ESF+				
Programme 5	EMFAF	N/A			

* The amount should include the flexibility amounts in accordance with Article 18 CPR that have been preliminarily allocated. The actual allocation of the flexibility amounts will only be confirmed at the mid-term review.

** Programmes may have joint support from the Funds in line with Article 25(1) CPR (as priorities may use support from one or more Funds in line with Article 22(2) CPR). Whenever the JTF contributes to a programme, the JTF allocation needs to include complementary transfers and be split to present amounts in accordance with Articles 3 and 4 JTF Regulation.

Table 9B: List of planned programmes¹ with preliminary financial allocations *

Title [255]	Fund	Category of region	Union contribution		National contribution	Total
			Union contribution without technical assistance pursuant to Article 36(5) CPR	Union contribution for technical assistance pursuant to Article 36(5) CPR		
Programme** 1	ERDF	More developed				
		Transition				
		Less developed				
		Outermost and northern sparsely populated				
Programme 2	Cohesion Fund	N/A				
Programme 3	ESF+	More developed				
		Transition				
		Less developed				
		Outermost and northern sparsely populated				

¹ In case Article 36(5) CPR technical assistance was chosen.

Programme 4	JTF allocation (Article 3 JTF Regulation)	N/A				
	JTF allocation (Article 4 JTF Regulation)	N/A				
Total	ERDF, Cohesion Fund, ESF+, JTF					
Programme 5	EMFAF	N/A				
Total	All funds					

* The amount should include the flexibility amounts in accordance with Article 18 CPR that have been preliminarily allocated. The actual allocation of the flexibility amounts will only be confirmed at the mid-term review.

** Programmes may have joint support from the Funds in line with Article 25(1) CPR (as priorities may use support from one or more Funds in line with Article 22(2) CPR). Whenever the JTF contributes to a programme, the JTF allocation needs to include complementary transfers and be split to present amounts in accordance with Articles 3 and 4 JTF Regulation.

Reference: Article 11 CPR

Table 10: List of planned Interreg programmes

Programme 1	Title 1 [255]
Programme 2	Title 1 [255]

9. A summary of actions planned to reinforce administrative capacity of the implementation of the funds covered by the Partnership Agreement

Reference: point (i) of Article 11(1) CPR

Text field [4 500]

10. An integrated approach to address the demographic challenges and/or specific needs of regions and areas (where appropriate)

Reference: point (j) of Article 11(1) CPR and Article 10 ERDF and CF Regulation

Text field [3 500]

11. A summary of the assessment of the fulfilment of relevant enabling conditions referred to in Article 15 and Annexes III and IV (optional)

Reference: Article 11 CPR

Table 11: Enabling conditions

Enabling condition	Fund	Selected specific objective (N/A to the EMFAF)	Summary of the assessment
			[1 000]

12. Preliminary climate contribution target

Reference: Article 6(2) and point (d) of Article 11(1) CPR

Fund	Preliminary climate contribution ¹
ERDF	
Cohesion Fund	

¹ Corresponding to information included or to be included in programmes as a result of the types of intervention and the indicative financial breakdown pursuant to point (d)(viii) of Article 22(3) CPR.

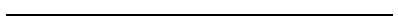
ANNEX III

Horizontal enabling conditions – Article 15(1)

Applicable to all specific objectives	
Name of enabling conditions	Fulfilment criteria
Effective monitoring mechanisms of the public procurement market	<p>Monitoring mechanisms are in place that cover all public contracts and their procurement under the Funds in line with Union procurement legislation. That requirement includes:</p> <ol style="list-style-type: none">1. Arrangements to ensure compilation of effective and reliable data on public procurement procedures above the Union thresholds in accordance with reporting obligations under Articles 83 and 84 of Directive 2014/24/EU and Articles 99 and 100 of Directive 2014/25/EU.2. Arrangements to ensure the data cover at least the following elements:<ol style="list-style-type: none">(a) quality and intensity of competition: names of winning bidder, number of initial bidders and contractual value;(b) information on final price after completion and on participation of SMEs as direct bidders, where national systems provide such information.3. Arrangements to ensure monitoring and analysis of the data by the competent national authorities in accordance with Article 83(2) of Directive 2014/24/EU and Article 99(2) of Directive 2014/25/EU.

	<p>4. Arrangements to make the results of the analysis available to the public in accordance with Article 83(3) of Directive 2014/24/EU and Article 99(3) Directive 2014/25/EU.</p> <p>5. Arrangements to ensure that all information pointing to suspected bid-rigging situations is communicated to the competent national bodies in accordance with Article 83(2) of Directive 2014/24/EU and Article 99(2) of Directive 2014/25/EU.</p>
Tools and capacity for effective application of State aid rules	<p>Managing authorities have the tools and capacity to verify compliance with State aid rules:</p> <ol style="list-style-type: none"> 1. For undertakings in difficulty and undertakings under a recovery requirement. 2. Through access to expert advice and guidance on State aid matters, provided by State aid experts of local or national bodies.
Effective application and implementation of the Charter of Fundamental Rights	<p>Effective mechanisms are in place to ensure compliance with the Charter of Fundamental Rights of the European Union ('the Charter') which include:</p> <ol style="list-style-type: none"> 1. Arrangements to ensure compliance of the programmes supported by the Funds and their implementation with the relevant provisions of the Charter. 2. Reporting arrangements to the monitoring committee regarding cases of non-compliance of operations supported by the Funds with the Charter and complaints regarding the Charter submitted in accordance with the arrangements made pursuant to Article 69(7).

<p>Implementation and application of the United Nations Convention on the rights of persons with disabilities (UNCRPD) in accordance with Council Decision 2010/48/EC¹</p>	<p>A national framework to ensure implementation of the UNCRPD is in place that includes:</p> <ol style="list-style-type: none"> 1. Objectives with measurable goals, data collection and monitoring mechanisms. 2. Arrangements to ensure that the accessibility policy, legislation and standards are properly reflected in the preparation and implementation of the programmes. 3. Reporting arrangements to the monitoring committee regarding cases of non-compliance of operations supported by the Funds with the UNCRPD and complaints regarding the UNCRPD submitted in accordance with the arrangements made pursuant to Article 69(7).
---	---



¹ Council Decision of 26 November 2009 concerning the conclusion, by the European Community, of the United Nations Convention on the Rights of Persons with Disabilities (OJ L 23, 27.1.2010, p. 35).

ANNEX IV

Thematic enabling conditions applicable to ERDF, ESF+ and the Cohesion Fund – Article 15(1)

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
1. A more competitive and smarter Europe by promoting innovative and smart economic transformation and regional ICT connectivity	ERDF: Developing and enhancing research and innovation capacities and the uptake of advanced technologies Developing skills for smart specialisation, industrial transition and entrepreneurship	1.1. Good governance of national or regional smart specialisation strategy	Smart specialisation strategy or strategies shall be supported by: <ol style="list-style-type: none">1. Up-to-date analysis of challenges for innovation diffusion and digitalisation.2. Existence of competent regional or national institution or body, responsible for the management of the smart specialisation strategy.3. Monitoring and evaluation tools to measure performance towards the objectives of the strategy.4. Functioning of stakeholder co-operation (“entrepreneurial discovery process”).5. Actions necessary to improve national or regional research and innovation systems, where relevant.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
			<p>6. Where relevant, actions to support industrial transition.</p> <p>7. Measures for enhancing cooperation with partners outside a given Member State in priority areas supported by the smart specialisation strategy.</p>
	ERDF: Enhancing digital connectivity	1.2. National or regional broadband plan	<p>A national or regional broadband plan is in place which includes:</p> <p>1. An assessment of the investment gap that needs to be addressed to ensure that all Union citizens have access to very high capacity networks¹, based on:</p> <p>(a) a recent mapping² of existing private and public infrastructure and quality of service using standard broadband mapping indicators;</p> <p>(b) a consultation on planned investments in line with State aid requirements.</p>

¹ In line with the objective defined in point (a) of Article 3(2) in conjunction with recital (25) of Directive (EU) 2018/1972 of the European Parliament and of the Council of 11 December 2018 establishing the European Electronic Communications Code (OJ L 321, 17.12.2018, p. 36).

² In line with Article 22 of Directive (EU) 2018/1972.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
			<ol style="list-style-type: none"> 2. The justification of planned public intervention on the basis of sustainable investment models that: <ol style="list-style-type: none"> (a) enhance affordability and access to open, quality and future-proof infrastructure and services; (b) adjust the forms of financial assistance to the identified market failures; (c) allow for a complementary use of different forms of financing from Union, national or regional sources. 3. Measures to support demand and use of very high capacity networks, including actions to facilitate their roll-out, in particular through the effective implementation of the Directive 2014/61/EU of the European Parliament and of the Council¹. 4. Technical assistance and expert advice mechanisms, such as a Broadband Competence Office, to reinforce the capacity of local stakeholders and advise project promoters. 5. A monitoring mechanism based on standard broadband mapping indicators.

¹ Directive 2014/61/EU of the European Parliament and of the Council of 15 May 2014 on measures to reduce the cost of deploying high-speed electronic communications networks (OJ L 155, 23.5.2014, p. 1).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
2. A greener, low-carbon transitioning towards a net zero carbon economy and resilient Europe by promoting clean and fair energy transition, green and blue investment, the circular economy, climate change mitigation and adaptation, risk prevention and management, and sustainable urban mobility	ERDF and Cohesion Fund: Promoting energy efficiency and reducing greenhouse gas emissions	2.1. Strategic policy framework to support energy efficiency renovation of residential and non-residential buildings	<ol style="list-style-type: none"> 1. A national long term renovation strategy to support the renovation of the national stock of residential and non-residential buildings is adopted, in line with the requirements of Directive (EU) 2010/31/EU of the European Parliament and of the Council¹, which: <ol style="list-style-type: none"> (a) entails indicative milestones for 2030, 2040 and 2050; (b) provides an indicative outline of financial resources to support the implementation of the strategy; (c) defines effective mechanisms for promoting investments in building renovation. 2. Energy efficiency improvement measures to achieve required energy savings

¹ Directive (EU) 2010/31/EU of the European Parliament and of the Council of 19 May 2010 on the energy performance of buildings (OJ L 153, 18.6.2010, p. 13).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	ERDF and Cohesion Fund: Promoting energy efficiency and reducing greenhouse gas emissions Promoting renewable energy in accordance with Directive (EU) 2018/2001 of the European Parliament and of the Council ¹ , including the sustainability criteria set out therein	2.2. Governance of the energy sector	The integrated national energy and climate plan is notified to the Commission, in accordance with Article 3 of Regulation (EU) 2018/1999 and consistent with the long-term greenhouse gas emission reduction objectives under the Paris Agreement, which includes: <ol style="list-style-type: none"> 1. All elements required by the template set out in Annex I to Regulation (EU) 2018/1999. 2. An outline of envisaged financial resources and mechanisms for measures promoting low-carbon energy.

¹ Directive (EU) 2018/2001 of the European Parliament and of the Council of 11 December 2018 on the promotion of the use of energy from renewable sources (OJ L 328, 21.12.2018, p. 82).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	ERDF and Cohesion Fund: Promoting renewable energy in accordance with Directive (EU) 2018/2001, including the sustainability criteria set out therein	2.3. Effective promotion of the use of renewable energy across sectors and across the Union	Measures are in place which ensure: <ol style="list-style-type: none"> 1. Compliance with the 2020 binding national renewable energy target and with this share of renewable energy as a baseline up to 2030 or having taken additional measures in case the baseline is not maintained over any one-year period in accordance with the Directive (EU) 2018/2001 and Regulation (EU) 2018/1999. 2. In accordance with the requirements of Directive (EU) 2018/2001 and Regulation (EU) 2018/1999, an increase of the share of renewable energy in the heating and cooling sector in line with Article 23 of Directive (EU) 2018/2001.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	ERDF and Cohesion Fund: Promoting climate change adaptation, and disaster risk prevention, and resilience, taking into account eco-system based approaches	2.4. Effective disaster risk management framework.	<p>A national or regional disaster risk management plan, established on the basis of risk assessments, taking due account of the likely impacts of climate change and the existing climate adaptation strategies, is in place and includes:</p> <ol style="list-style-type: none"> 1. Description of key risks, assessed in accordance with Article 6(1) of Decision No 1313/2013/EU of the European Parliament and of the Council¹, reflecting the current and evolving risk profile with an indicative 25 to 35 years timespan. The assessment shall build, for climate-related risks, on climate change projections and scenarios. 2. Description of the disaster prevention, preparedness and response measures to address the key risks identified. The measures shall be prioritised in proportion to the risks and their economic impact, capacity gaps², effectiveness and efficiency, taking into account possible alternatives. 3. Information on financing resources and mechanisms available for covering the operation and maintenance costs related to prevention, preparedness and response.

¹ Decision No 1313/2013/EU of the European Parliament and of the Council of 17 December 2013 on a Union Civil Protection Mechanism (OJ L 347, 20.12.2013, p. 924).

² As assessed in the risk management capabilities assessment required under Article 6(1)(b) of Decision No 1313/2013.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	ERDF and Cohesion Fund: Promoting access to water and sustainable water management	2.5. Updated planning for required investments in water and wastewater sectors	<p>For each or both sectors, a national investment plan is in place and includes:</p> <ol style="list-style-type: none"> 1. An assessment of the current state of implementation of Council Directive 91/271/EEC¹ and of Council Directive 98/83/EC². 2. The identification and planning of any public investments, including an indicative financial estimation: <ol style="list-style-type: none"> (a) required to implement the Directive 91/271/EEC, including a prioritisation with regard to the size of agglomerations and the environmental impact, with investments broken down for each wastewater agglomeration; (b) required to implement Directive 98/83/EC; (c) required to match the needs stemming from Directive (EU) 2020/2184³, regarding in particular the revised quality parameters set out in Annex I of that Directive.

¹ Council Directive 91/271/EEC of 21 May 1991 concerning urban waste water treatment (OJ L 135, 30.5.1991, p. 40).

² Council Directive 98/83/EC of 3 November 1998 on the quality of water intended for human consumption (OJ L 330, 5.12.1998, p. 32).

³ Directive (EU) 2020/2184 of the European Parliament and of the Council of 16 December 2020 on the quality of water intended for human consumption (OJ L 435, 23.12.2020, p. 1).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
			3. An estimate of investments needed to renew existing wastewater and water supply infrastructure, including networks, based on their age and depreciation plans. 4. An indication of potential sources of public financing, when needed to complement user charges.
	ERDF and Cohesion Fund: Promoting the transition to a circular and resource efficient economy	2.6. Updated planning for waste management	One or more waste management plans as referred to in Article 28 of Directive 2008/98/EC of the European Parliament and of the Council ¹ are in place, covering the entire territory of the Member State, and include: <ol style="list-style-type: none"> 1. An analysis of the current waste management situation in the geographical entity concerned, including the type, quantity and source of waste generated and an evaluation of their future development taking into account the expected impacts of measures set out in the waste prevention programmes developed in accordance with Article 29 of Directive 2008/98/EC. 2. An assessment of existing waste collection schemes, including the material and territorial coverage of separate collection and measures to improve its operation, as well as the need for new collection schemes.

¹ Directive 2008/98/EC of the European Parliament and of the Council of 19 November 2008 on waste and repealing certain Directives (OJ L 312, 22.11.2008, p. 3).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
			<p>3. An investment gap assessment justifying the need for the closure of existing waste installations and additional or upgraded waste infrastructure, with an information of the sources of revenues available to meet operation and maintenance costs.</p> <p>4. Information on the location criteria for how future site locations identification will be determined and on the capacity of future waste treatment installations.</p>
	<p>ERDF and Cohesion Fund: Enhancing protection and preservation of nature, biodiversity and green infrastructure, including in urban areas, and reducing all forms of pollution;</p>	<p>2.7. Prioritised action framework for the necessary conservation measures involving Union co-financing.</p>	<p>For interventions supporting nature conservation measures in connection with Natura 2000 areas within the scope of Council Directive 92/43/EEC¹:</p> <p>A priority action framework pursuant to Article 8 of Directive 92/43/EEC is in place and includes all elements required by the template for the priority action framework for 2021-2027 agreed by the Commission and the Member States, including the identification of the priority measures and an estimate of financing needs.</p>

¹ Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora (OJ L 206, 22.7.1992, p. 7).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
3. A more connected Europe by enhancing mobility	<p>ERDF and Cohesion Fund:</p> <p>Developing a climate resilient, intelligent, secure, sustainable and intermodal TEN-T;</p> <p>Developing and enhancing sustainable, climate resilient, intelligent and intermodal national, regional and local mobility, including improved access to TEN-T and cross-border mobility</p>	3.1. Comprehensive transport planning at the appropriate level	<p>Multimodal mapping of existing and planned infrastructures, except at local level, until 2030 is in place which:</p> <ol style="list-style-type: none"> 1. Includes economic assessment of the planned investments, underpinned by demand analysis and traffic modelling, which should take into account the anticipated impact of the opening of the rail services markets. 2. Is consistent with the transport related elements of the integrated national energy and climate plan. 3. Includes investments in TEN-T core network corridors, as defined by the CEF Regulation, in line with the respective TEN-T core network corridors work plans. 4. For investments outside the TEN-T core network corridors, including in cross-border sections, ensures complementarity by providing sufficient connectivity of the urban networks, regions and local communities to the core TEN-T and its nodes.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
			<ol style="list-style-type: none"> 5. Ensures interoperability of the rail network, and, where relevant, reports on the deployment of ERTMS according to Commission Implementing Regulation (EU) 2017/6¹. 6. Promotes multimodality, identifying needs for multimodal or transshipment freight and passengers terminals. 7. Includes measures relevant for infrastructure planning aiming at promoting alternative fuels, in line with the relevant national policy frameworks. 8. Presents the results of the assessment of road safety risks in line with existing national road safety strategies, together with a mapping of the affected roads and sections and providing with a prioritisation of the corresponding investments. 9. Provides information on financing resources corresponding to the planned investments and required to cover operation and maintenance costs of the existing and planned infrastructures.

¹ Commission Implementing Regulation (EU) 2017/6 of 5 January 2017 on the European Rail Traffic Management System European deployment plan (OJ L 3, 6.1.2017, p. 6).

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
4. A more social and inclusive Europe implementing the European Pillar of Social Rights	<p>ERDF: Enhancing the effectiveness and inclusiveness of labour markets and access to quality employment through developing social infrastructure and promoting social economy</p> <p>ESF+: Improving access to employment and activation measures for all jobseekers, in particular youth, especially through the implementation of the Youth Guarantee, for long-term unemployed and disadvantaged groups on the labour market, and for inactive people, as well as through promoting self-employment and the social economy</p>	4.1. Strategic policy framework for active labour market policies	<p>A strategic policy framework for active labour market policies in the light of the Employment guidelines is in place and includes:</p> <ol style="list-style-type: none"> 1. Arrangements for conducting jobseekers' profiling and assessment of their needs. 2. Information on job vacancies and employment opportunities, taking into account the needs of the labour market. 3. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in close cooperation with relevant stakeholders. 4. Arrangements for monitoring, evaluation and review of active labour market policies. 5. For youth employment interventions, evidence-based and targeted pathways towards young people not in employment, education or training including outreach measures and based on quality requirements, taking into account criteria for quality apprenticeships and traineeships, including in the context of Youth Guarantee schemes implementation.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	Modernising labour market institutions and services to assess and anticipate skills needs and ensure timely and tailor-made assistance and support to labour market matching, transitions and mobility;		
	ERDF: Enhancing the effectiveness and inclusiveness of labour markets and access to quality employment through developing social infrastructure and promoting social economy	4.2. National strategic framework for gender equality	A national strategic policy framework for gender equality is in place that includes: 1. Evidence-based identification of challenges to gender equality. 2. Measures to address gender gaps in employment, pay, pensions, and to promote work-life balance for women and men, including through improving access to early childhood education and care, with targets, while respecting the role and autonomy of the social partners.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	<p>ESF+:</p> <p>Promoting a gender-balanced labour market participation, equal working conditions, and a better work/life balance including through access to affordable childcare, and care for dependent persons</p>		<p>3. Arrangements for monitoring, evaluation and review of the strategic policy framework and data collection methods based on sex-disaggregated data.</p> <p>4. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in close cooperation with relevant stakeholders, including equality bodies, social partners and civil society organisations.</p>
	<p>ERDF:</p> <p>Improving equal access to inclusive and quality services in education, training and lifelong learning through developing infrastructure, including by fostering resilience for distance and on-line education and training;</p> <p>ESF+:</p>	<p>4.3. Strategic policy framework for the education and training system at all levels.</p>	<p>A national or regional strategic policy framework for the education and training system is in place and includes:</p> <ol style="list-style-type: none"> 1. Evidence-based systems for skills anticipation and forecasting. 2. Graduate tracking mechanisms and services for quality and effective guidance for learners of all ages. 3. Measures to ensure equal access to, participation in and completion of quality, affordable, relevant, non-segregated and inclusive education and training, and acquisition of key competences at all levels including higher education.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	Improving the quality, inclusiveness, effectiveness and labour market relevance of education and training systems including through validation of non-formal and informal learning, to support acquisition of key competences including entrepreneurial and digital skills, and by promoting the introduction of dual-training systems and apprenticeships;		<ol style="list-style-type: none"> 4. A coordination mechanism covering all levels of education and training, including higher education, and a clear assignment of responsibilities between the relevant national and/or regional bodies. 5. Arrangements for monitoring, evaluation and review of the strategic policy framework. 6. Measures to target low-skilled, low-qualified adults and those with disadvantaged socio-economic backgrounds and upskilling pathways. 7. Measures to support teachers, trainers and academic staff as regards appropriate learning methods, assessment and validation of key competences. 8. Measures to promote mobility of learners and staff and transnational collaboration of education and training providers, including through recognition of learning outcomes and qualifications.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	<p>Promoting lifelong learning, in particular flexible upskilling and reskilling opportunities for all taking into account entrepreneurial and digital skills, better anticipating change and new skills requirements based on labour market needs, facilitating career transitions and promoting professional mobility;</p> <p>Promoting equal access to and completion of, quality and inclusive education and training, in particular for disadvantaged groups, from early childhood education and care through general and vocational education and training, to tertiary level, as well as adult education and learning, including facilitating learning mobility for all and accessibility for persons with disabilities.</p>		

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	<p>ERDF: Promoting the socioeconomic inclusion of marginalised communities, low income households and disadvantaged groups including people with special needs, through integrated actions including housing and social services;</p> <p>ESF+: Fostering active inclusion with a view to promoting equal opportunities, non-discrimination and active participation, and improving employability, in particular for disadvantaged groups;</p>	4.4. National strategic policy framework for social inclusion and poverty reduction	<p>A national or regional strategic policy or legislative framework for social inclusion and poverty reduction is in place that includes:</p> <ol style="list-style-type: none"> 1. Evidence-based diagnosis of poverty and social exclusion, including child poverty, in particular as regards equal access to quality services for children in vulnerable situations as well as homelessness, spatial and educational segregation, limited access to essential services and infrastructure, and the specific needs of vulnerable people of all ages. 2. Measures to prevent and combat segregation in all fields, including social protection, inclusive labour markets and access to quality services for vulnerable people, including migrants and refugees. 3. Measures for the shift from institutional to family- and community-based care. 4. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in close cooperation with relevant stakeholders, including social partners and relevant civil society organisations.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	ESF+: Promoting the socio-economic integration of marginalised communities such as Roma people;	4.5. National Roma inclusion strategic policy framework	The National Roma inclusion strategic policy framework is in place that includes: <ol style="list-style-type: none"> 1. Measures to accelerate Roma integration, and prevent and eliminate segregation, taking into account the gender dimension and situation of young Roma, and sets baseline and measurable milestones and targets. 2. Arrangements for monitoring, evaluation and review of the Roma integration measures. 3. Arrangements for the mainstreaming of Roma inclusion at regional and local level. 4. Arrangements for ensuring that its design, implementation, monitoring and review is conducted in a close cooperation with the Roma civil society and all other relevant stakeholders, including at the regional and local levels.

Policy objective	Specific objective	Name of enabling condition	Fulfilment criteria for the enabling condition
	<p>ERDF: Ensuring equal access to health care and fostering resilience of health systems, including primary care and promoting the transition from institutional to family-based and community based care</p> <p>ESF+: Enhancing equal and timely access to quality, sustainable and affordable services, including services that promote the access to housing and person-centred care including healthcare; modernising social protection systems, including promoting access to social protection, with a particular focus on children and disadvantaged groups; improving accessibility including for persons with disabilities, effectiveness and resilience of healthcare systems and long-term care services</p>	4.6. Strategic policy framework for health and long-term care.	<p>A national or regional strategic policy framework for health is in place that contains:</p> <ol style="list-style-type: none"> 1. Mapping of health and long-term care needs, including in terms of medical and care staff, to ensure sustainable and coordinated measures. 2. Measures to ensure the efficiency, sustainability, accessibility and affordability of health and long-term care services, including a specific focus on individuals excluded from the health and long-term care systems, including those who are hardest to reach. 3. Measures to promote community and family-based services through de-institutionalisation, including prevention and primary care, home-care and community-based services.

ANNEX V

Template for programmes supported from the ERDF (Investment for jobs and growth goal),
ESF+, the Cohesion Fund, the JTF and the EMFAF – Article 21(3)

CCI	
Title in EN	[255] ¹
Title in national language(s)	[255]
Version	
First year	[4]
Last year	[4]
Eligible from	
Eligible until	
Commission decision number	
Commission decision date	
Member State amending decision number	
Member State amending decision entry into force date	
Non substantial transfer (Article 24(5) CPR)	Yes/No
NUTS regions covered by the programme (not applicable to the EMFAF)	
Fund(s) concerned	<input type="checkbox"/> ERDF
	<input type="checkbox"/> Cohesion Fund
	<input type="checkbox"/> ESF+
	<input type="checkbox"/> JTF
	<input type="checkbox"/> EMFAF
Programme	<input type="checkbox"/> under Investment for jobs and growth goal for the outermost regions only

¹ Numbers in square brackets refer to number of characters without spaces.

1. Programme strategy: main development challenges and policy responses¹

Reference: points (a)(i) to (viii) and point (a)(x) of Article 22(3) and point (b) of Article 22(3) of Regulation (EU) .../...⁺ (the ‘CPR’)

Text field [30 000]

For the Investment for jobs and growth goal:

Table 1

Policy objective or JTF specific objective	Specific objective or dedicated priority*	Justification (summary)
		[2 000 per specific objective or dedicated ESF+ priority or JTF specific objective]

* Dedicated priorities according to ESF+ Regulation.

¹ For programmes limited to supporting the specific objective set out in point (m) of Article 4(1) ESF+ Regulation, the description of the programme strategy does not need to relate to challenges referred to in points (a)(i), (ii) and (vi) of Article 22(3) CPR.

⁺ OJ: Please insert the number of the Regulation contained in this document (ST 6674/21).

For the EMFAF:

Table 1A

Policy objective	Priority	SWOT analysis (for each priority)	Justification (summary)
		<p>Strengths [10 000 per priority]</p> <p>Weaknesses [10 000 per priority]</p> <p>Opportunities [10 000 per priority]</p> <p>Threats [10 000 per priority]</p> <p>Identification of needs on the basis of the SWOT analysis and taking into account the elements set out in Article 9(5) of the EMFAF Regulation [10 000 per priority]</p>	[20 000 per priority]

2. Priorities

Reference: Article 22(2) and point (c) of Article 22(3) CPR

2.1. Priorities other than technical assistance

2.1.1. Title of the priority [300] (repeated for each priority)

<input type="checkbox"/> This is a priority dedicated to youth employment
<input type="checkbox"/> This is a priority dedicated to social innovative actions
<input type="checkbox"/> This is a priority dedicated to support to the most deprived persons under the specific objective set out in point (m) of Article 4(1) of the ESF+ Regulation*
<input type="checkbox"/> This is a priority dedicated to support to the most deprived persons under the specific objective set out in point (l) of Article 4(1) of the ESF+ Regulation ¹
<input type="checkbox"/> This is a priority dedicated to urban mobility specific objective set out in point (viii) of Article 3(1)(b) of the ERDF and Cohesion Fund Regulation
<input type="checkbox"/> This is a priority dedicated to digital connectivity specific objective set out in point (v) of Article 3(1)(a) of the ERDF and Cohesion Fund Regulation

* If marked go to section 2.1.1.2.

¹ In case resources under the specific objective set out in point (l) of Article 4(1) ESF+ Regulation are taken into account for the purposes of Article 7(4) ESF+ Regulation.

2.1.1.1. Specific objective¹ (repeated for each selected specific objective for priorities other than technical assistance)

2.1.1.1.1. Interventions of the Funds

Reference: points (d)(i), (iii), (iv), (v), (vi) and (vii) of Article 22(3) CPR

The related types of actions – point (d)(i) of Article 22(3) CPR and Article 6 ESF+ Regulation:

Text field [8 000]

The main target groups - point (d)(iii) of Article 22(3) CPR:

Text field [1 000]

Actions safeguarding equality, inclusion and non-discrimination – point (d)(iv) of Article 22(3) CPR and Article 6 ESF+ Regulation

Text field [2 000]

¹ Except for a specific objective set out in point (m) of Article 4(1) ESF+ Regulation.

Indication of the specific territories targeted, including the planned use of territorial tools – point (d)(v) of Article 22(3) CPR

Text field [2 000]

The interregional, cross-border and transnational actions – point (d)(vi) of Article 22(3) CPR

Text field [2 000]

The planned use of financial instruments – point (d)(vii) of Article 22(3) CPR

Text field [1 000]

2.1.1.1.2. Indicators

Reference: point (d)(ii) of Article 22(3) CPR and Article 8 ERDF and CF Regulation

Table 2: Output indicators

Priority	Specific objective	Fund	Category of region	ID [5]	Indicator [255]	Measurement unit	Milestone (2024)	Target (2029)

Reference: point (d)(ii) of Article 22(3) CPR

Table 3: Result indicators

Priority	Specific objective	Fund	Category of region	ID [5]	Indicator [255]	Measurement unit	Baseline or reference value	Reference year	Target (2029)	Source of data [200]	Comments [200]

2.1.1.1.3. Indicative breakdown of the programme resources (EU) by type of intervention (not applicable to the EMFAF)

Reference: point (d)(viii) of Article 22(3) CPR

Table 4: Dimension 1 – intervention field

Priority No	Fund	Category of region	Specific objective	Code	Amount (EUR)

Table 5: Dimension 2 – form of financing

Priority No	Fund	Category of region	Specific objective	Code	Amount (EUR)

Table 6: Dimension 3 – territorial delivery mechanism and territorial focus

Priority No	Fund	Category of region	Specific objective	Code	Amount (EUR)

Table 7: Dimension 6 – ESF+ secondary themes

Priority No	Fund	Category of region	Specific objective	Code	Amount (EUR)

Table 8: Dimension 7 – ESF+*, ERDF, Cohesion Fund and JTF gender equality dimension

Priority No	Fund	Category of region	Specific objective	Code	Amount (EUR)

* In principle, 40 % for the ESF+ contributes to gender tracking. 100 % is applicable when Member State chooses to use Article 6 ESF+ Regulation as well as programme specific actions in gender equality.

2.1.1.1.4. Indicative breakdown of the programmed resources (EU) by type of intervention for EMFAF

Reference: point (c) of Article 22(3) CPR

Table 9: Indicative breakdown of the programmed resources (EU) by type of intervention for EMFAF

Priority No	Specific objective	Type of intervention	Code	Amount (EUR)

2.1.1.2. Specific objective addressing material deprivation¹

2.1.1.2.1. Interventions of the Funds

Reference: Article 22(3) CPR and Article 20 and Article 23(1) and (2) ESF+ Regulation

Types of support

Text field [2 000]

¹ Point (d) of Article 22(3) CPR does not apply to the specific objective set out in point (m) of Article 4(1) ESF+ Regulation.

Main target groups

Text field [2 000]

Decryption of the national or regional schemes of support

Text field [2 000]

Criteria for the selection of operations¹

Text field [4 000]

2.1.1.2.2. Indicators

Table 2: Output indicators

Priority	Specific objective	Fund	Category of region	ID [5]	Indicator [255]	Measurement unit

¹ Only for programmes limited to the specific objective set out in point (m) of Article 4(1) ESF+ Regulation.

Table 3: Result indicators

Priority	Specific objective	Fund	Category of region	ID [5]	Indicator [255]	Measurement unit	Reference value	Reference year	Source of data [200]	Comments [200]

2.2. Technical assistance priorities

2.2.1. Priority for technical assistance pursuant to Article 36(4) CPR (repeated for each such technical assistance priority)

Reference: point (e) of Article 22(3) CPR

2.2.1.1. Intervention from the Funds

The related types of actions – point (e)(i) of Article 22(3) CPR

Text field [8 000]

The main target groups – point (e)(iii) of Article 22(3) CPR

Text field [1 000]

2.2.1.2. Indicators

Output indicators with the corresponding milestones and targets

Reference: point (e)(ii) of Article 22(3) CPR

Table 2: Output indicators

Priority	Fund	Category of region	ID [5]	Indicator [255]	Measurement unit	Milestone (2024)	Target (2029)

2.2.1.3. Indicative breakdown of the programmed resources (EU) by type of intervention

Reference point (e)(iv) of Article 22(3) CPR

Table 4: Dimension 1 – intervention field

Priority No	Fund	Category of region	Code	Amount (EUR)

Table 7: Dimension 6 – ESF+ secondary themes

Priority No	Fund	Category of region	Code	Amount (EUR)

Table 8: Dimension 7 – ESF+*, ERDF, Cohesion Fund and JTF gender equality dimension

Priority No	Fund	Category of region	Code	Amount (EUR)

* In principle, 40 % for the ESF+ contributes to gender tracking. 100 % is applicable when Member State chooses to use Article 6 ESF+ Regulation as well as programme specific actions in gender equality.

Table 9: Indicative breakdown of the programmed resources (EU) by type of intervention for EMFAF

Priority No	Specific objective	Type of intervention	Code	Amount (EUR)

2.2.2. Priority for technical assistance pursuant to Article 37 CPR (repeated for each such technical assistance priority)

Reference: point (f) of Article 22(3) CPR

2.2.2.1. Description of technical assistance under financing not linked to costs – Article 37 CPR

Text field [3 000]

2.2.2.2. Indicative breakdown of the programmed resources (EU) by type of intervention

Reference: point (f) of Article 22(3) CPR

Table 4: Dimension 1 – intervention field

Priority No	Fund	Category of region	Code	Amount (EUR)

Table 7: Dimension 6 – ESF+ secondary themes

Priority No	Fund	Category of region	Code	Amount (EUR)

Table 8: Dimension 7 – ESF+*, ERDF, Cohesion Fund and JTF gender equality dimension

Priority No	Fund	Category of region	Code	Amount (EUR)

* In principle, 40 % for the ESF+ contributes to gender tracking. 100 % is applicable when Member State chooses to use Article 6 ESF+ Regulation as well as programme specific actions in gender equality.

Table 9: Indicative breakdown of the programmed resources (EU) by type of intervention for EMFAF

Priority No	Specific objective	Type of intervention	Code	Amount (EUR)

3. Financing plan

Reference: points (g)(i), (ii) and (iii) of Article 22(3), Article 112(1), (2) and (3), and Articles 14 and 26 CPR

3.1. Transfers and contributions¹

Reference: Articles 14, 26 and 27 CPR

Programme amendment related to	<input type="checkbox"/> contribution to InvestEU
	<input type="checkbox"/> transfer to instruments under direct or indirect management
	<input type="checkbox"/> transfer between ERDF, ESF+, Cohesion Fund or to another Fund or Funds

¹ Applicable only to programme amendments in accordance with Articles 14 and 26 except complementary transfers to the JTF in accordance with Article 27 CPR. Transfers shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State.

Table 15A: Contribution to InvestEU* (breakdown by year)

Contribution from		Contribution to	Breakdown by year							
Fund	Category of region	InvestEU window(s)	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed									
	Transition									
	Less developed									
ESF+	More developed									
	Transition									
	Less developed									
Cohesion Fund	N/A									
EMFAF	N/A									

* For each new request for contribution, a programme amendment shall set out the total amounts for each year by Fund and by category of region.

Table 15B: Contributions to InvestEU* (summary)

	Category of region	Window 1 Sustainable Infrastructure (a)	Window 2 Innovation and Digitisation (b)	Window 3 SME (c)	Window 4 Social Investment and Skills (d)	Total (f)=(a)+(b)+(c)+(d)
ERDF	More developed					
	Less developed					
	Transition					
ESF+	More developed					
	Less developed					
	Transition					

	Category of region	Window 1 Sustainable Infrastructure (a)	Window 2 Innovation and Digitisation (b)	Window 3 SME (c)	Window 4 Social Investment and Skills (d)	Total (f)=(a)+(b)+(c)+(d)
Cohesion Fund	N/A					
EMFAF	N/A					
Total						

* Cumulative amounts for all contributions done through programme amendments during the programming period. With each new request for contribution, a programme amendment shall set out the total amounts for each year by Fund and by category of region.

Text field [3500] (justification), taking into account how those amount contribute to the achievement of policy objectives selected in the programme in accordance with Article 10(1) of the InvestEU Regulation.

Table 16A: Transfers to instruments under direct or indirect management (breakdown by year)

Transfer from		Transfer to	Breakdown by year							
Fund	Category of region	Instrument	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed									
	Transition									
	Less developed									

Transfer from		Transfer to	Breakdown by year							
Fund	Category of region	Instrument	2021	2022	2023	2024	2025	2026	2027	Total
ESF+	More developed									
	Transition									
	Less developed									
Cohesion Fund	N/A									
EMFAF	N/A									

Table 16B: Transfers to instruments under direct or indirect management* (summary)

Fund	Category of region	Instrument 1 (a)	Instrument 2 (b)	Instrument 3 (c)	Instrument 4 (d)	Instrument 5** (e)	Total (f)=(a)+(b)+(c)+(d)+(e)
ERDF	More developed						
	Transition						
	Less developed						
ESF+	More developed						
	Transition						
	Less developed						

Fund	Category of region	Instrument 1 (a)	Instrument 2 (b)	Instrument 3 (c)	Instrument 4 (d)	Instrument 5** (e)	Total (f)=(a)+(b)+(c)+(d)+(e)
Cohesion Fund	N/A						
EMFAF	N/A						
Total							

* Cumulative amounts for all transfers done through programme amendments during programming period. With each new request for transfer, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

** Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant Union instruments will be specified accordingly.

Text field [3 500] (justification)

Table 17A: Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds* (breakdown by year)

Transfers from		Transfers to		Breakdown by year								
Fund	Category of region	Fund	Category of region (where relevant)	2021	2022	2023	2024	2025	2026	2027	Total	
ERDF	More developed	ERDF, ESF+ or Cohesion Fund, EMFAF, AMIF, ISF, BMVI										
	Transition											
	Less developed											
ESF+	More developed											
	Transition											
	Less developed											

Transfers from		Transfers to		Breakdown by year							
Fund	Category of region	Fund	Category of region (where relevant)	2021	2022	2023	2024	2025	2026	2027	Total
Cohesion Fund	N/A										
EMFAF	N/A										

* Transfer to other programmes. Transfers between ERDF and ESF+ can only be done within the same category of region.

Table 17B: Transfers between ERDF, ESF+ and Cohesion Fund or to another Fund or Funds* (summary)

		ERDF			ESF+			Cohesion Fund	EMFAF	AMIF	ISF	BMVI	Total
		More developed	Transition	Less developed	More developed	Transition	Less developed						
ERDF	More developed												
	Transition												
	Less developed												
ESF+	More developed												
	Transition												
	Less developed												
Cohesion Fund	N/A												
EMFAF	N/A												
Total													

* Cumulative amounts for all transfers done through programme amendments during the programming period. With each new request for transfer, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

Text field [3 500] (justification)

3.2. JTF: allocation in the programme and transfers¹

3.2.1. JTF allocation to the programme prior to transfers by priority (where relevant)²

Reference: Article 27 CPR

Table 18: JTF allocation to the programme in accordance with Article 3 JTF Regulation, prior to transfers

JTF priority 1	
JTF priority 2	
	Total

¹ Transfers shall not affect the annual breakdown of financial appropriations at the MFF level for a Member State.

² Applicable to the first adoption of programmes with JTF allocation.

3.2.2. Transfers to the JTF as complementary support¹ (where relevant)

Transfer to JTF	<input type="checkbox"/> concerns internal transfers within the programme with JTF allocation	
	<input type="checkbox"/> concerns transfers from other programmes to the programme with JTF allocation	

¹ Section to be filled in by receiving programme. Where a programme supported by the JTF receives complementary support (cf. Article 27 CPR) within the programme and from other programmes all tables in this section need to be filled in. At the first adoption with JTF allocation, this section is to confirm or correct the preliminary transfers proposed in the Partnership Agreement.

Table 18A: Transfers to the JTF within the programme (breakdown by year)

Transfer from		Transfer to	Breakdown by year							
Fund	Category of region	JTF priority*	2021	2022	2023	2024	2025	2026	2027	Total
ERDF	More developed	JTF priority 1								
	Transition									
	Less developed									
ESF+	More developed	JTF priority 2								
	Transition									
	Less developed									

* JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the territory concerned is located.

Table 18B: Transfer of ERDF and ESF+ resources to the JTF within the programme

		JTF allocation in the programme* broken down by category of region, which territory located** in (by JTF priority)	
		JTF priority (for each JTF priority)	Amount
Transfer within the programme* (complementary support) per category of region			
ERDF	More developed		
	Transition		
	Less developed		
ESF+	More developed		
	Transition		
	Less developed		
Total	More developed		
	Transition		
	Less developed		

* Programme with the JTF allocation.

** JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the territory concerned is located.

Table 18C: Transfers to the JTF from the other programme(s) (breakdown by year)

Transfer from		Transfer to	Breakdown by year								
Fund	Category of region	JTF priority*	2021	2022	2023	2024	2025	2026		2027	Total
ERDF	More developed	JTF priority 1									
	Transition										
	Less developed										
ESF+	More developed	JTF priority 2									
	Transition										
	Less developed										

* JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the territory concerned is located.

Table 18D: Transfer of ERDF and ESF+ resources from other programmes to the JTF in this programme

		Complementary support to the JTF in this programme* to the territory located*** in a given category of region (by priority):	
		JTF priority	Amount
Transfer(s) from other programme(s)** per category of region			
ERDF	More developed		
	Transition		
	Less developed		
ESF+	More developed		
	Transition		
	Less developed		
Total			

* Programme with JTF allocation, which receives complementary support from the ERDF and ESF+.

** Programme providing the complementary support from the ERDF and ESF+ (source).

*** JTF resources should be complemented with ERDF or ESF+ resources of the category of region where the territory concerned is located.

Text field [3 000] Justification for the complementary transfer from ERDF and ESF+ based on the planned types of interventions – point (d)(ix) of Article 22(3) CPR

3.3. Transfers between categories of region resulting from the mid-term review

Table 19A: Transfers between categories of region resulting from the mid-term review, within the programme (breakdown by year)

Transfer from	Transfer to	Breakdown by year			
Category of region*	Category of region*	2025	2026	2027	Total
More developed	More developed /				
Transition	Transition /				
Less developed	Less developed				

* Applicable to ERDF and ESF+ only.

Table 19B: Transfers between categories of region resulting from the mid-term review, to other programmes (breakdown by year)

Transfer from	Transfer to	Breakdown by year			
Category of region*	Category of region*	2025	2026	2027	Total
More developed	More developed / Transition / Less developed				
Transition					
Less developed					

* Applicable to ERDF and ESF+ only.

3.4. Transfers back¹

Table 20A: Transfers back (breakdown by year)

Transfer from	Transfer to		Breakdown by year							
	Fund	Category of region	2021	2022	2023	2024	2025	2026	2027	Total
InvestEU or other Union instrument	ERDF	More developed								
		Transition								
		Less developed								
	ESF+	More developed								
		Transition								
		Less developed								
	Union instrument 1	Cohesion Fund	N/A							
	Union instrument 2									
	[...]	EMFAF	N/A							

¹ Applicable only to programme amendments for resources transferred back from other Union instruments, including elements of AMIF, ISF and BMVI, under direct or indirect management, or from Invest EU.

Table 20B: Transfers back* (summary)

From / To	ERDF			ESF+			Cohesion Fund	EMFAF
	More developed	Transition	Less developed	More developed	Transition	Less developed		
InvestEU								
Window 1								
Window 2								
Window 3								
Window 4								
Instrument 1								
Instrument 2								
Instrument 3								
Instrument 4**								

* Cumulative amounts for all transfers done through programme amendments during programming period. With each new request for transfer, a programme amendment shall set out the total amounts transferred for each year by Fund and by category of region.

** Transfers may be made to any other instrument under direct or indirect management, where such possibility is provided for in the basic act. Number and names of the relevant Union instruments will be specified accordingly.

3.5. Financial appropriations by year

Reference: point (g)(i) of Article 22(3) CPR and Articles 3, 4 and 7 JTF Regulation.

Table 10: Financial appropriations by year

Fund	Category of region	2021	2022	2023	2024	2025	2026		2026 for EMFAF only	2027		2027 for EMFAF only	Total
							Financial appropriation without flexibility amount	Flexibility amount		Financial appropriation without flexibility amount	Flexibility amount		
ERDF*	More developed												
	Transition												
	Less developed												
	Outermost and northern sparsely populated												
Total													

Fund	Category of region	2021	2022	2023	2024	2025	2026		2026 for EMFAF only	2027		2027 for EMFAF only	Total
							Financial appropriation without flexibility amount	Flexibility amount		Financial appropriation without flexibility amount	Flexibility amount		
ESF+*	More developed												
	Transition												
	Less developed												
	Outermost and northern sparsely populated												
Total													

Fund		Category of region	2021	2022	2023	2024	2025	2026		2026 for EMFAF only	2027		2027 for EMFAF only	Total
								Financial appropriation without flexibility amount	Flexibility amount		Financial appropriation without flexibility amount	Flexibility amount		
JTF*	Article 3 JTF Regulation resources													
	Article 4 JTF Regulation resources													
	Article 7 JTF Regulation resources (related to Article 3 JTF Regulation resources)													

Fund		Category of region	2021	2022	2023	2024	2025	2026		2026 for EMFAF only	2027		2027 for EMFAF only	Total
								Financial appropriation without flexibility amount	Flexibility amount		Financial appropriation without flexibility amount	Flexibility amount		
	Article 7 JTF Regulation resources (related to Article 4 JTF Regulation resources)													
Total														
Cohesion Fund		N/A												
EMFAF		N/A												
Total														

* Amounts after the complementary transfer to the JTF.

3.6. Total financial appropriations by fund and national co-financing

Reference: point (g)(ii) of Article 22(3), Article 22(6) and Article 36 CPR

For programmes under the Investment for jobs and growth goal where technical assistance in accordance with Article 36(4) CPR was chosen in the Partnership Agreement.

Table 11: Total financial appropriations by fund and national co-financing

Policy/ JTF specific objective number or technical assistance	Priority	Basis for calculation Union support (total eligible cost or public contribution)	Fund	Category of region*	Union contribution (a) = (g)+(h)	Breakdown of Union contribution		National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total (e)=(a)+(b)	Co-financing rate (f)=(a)/(e)
						Union contribution less the flexibility amount (g)	Flexibility amount (h)		public (c)	private (d)		
	Priority 1	P/T	ERDF	More developed								
Transition												
Less developed												
Outermost and northern sparsely populated												

	Priority 2		ESF+	More developed									
				Transition									
				Less developed									
				Outermost and northern sparsely populated									
	Priority 3		JTF**	Article 3 JTF Regulation resources									
				Article 4 JTF Regulation resources									
				Total									
	Priority 4		Cohesion Fund										
Technical assistance	Priority 5 Technical assistance pursuant to Article 36(4) CPR		ERDF or ESF+ or JTF or Cohesion Fund										

Technical assistance	Priority 6 Technical assistance pursuant to Article 37 CPR		ERDF or ESF+ or JTF or Cohesion Fund											
			Total ERDF	More developed										
				Transition										
				Less developed										
				Outermost and northern sparsely populated										
			Total ESF+	More developed										
				Transition										
				Less developed										
				Outermost and northern sparsely populated										

		JTF**	Article 3 JTF Regulation resources									
			Article 4 JTF Regulation resources									
			Total Cohesion Fund									
			Grand total									

* For ERDF: less developed, transition, more developed, and, where applicable, special allocation for outermost and northern sparsely populated regions. For ESF+: less developed, transition, more developed and, where applicable, additional allocation for outermost regions. For Cohesion Fund: not applicable. For technical assistance, application of categories of region depends on selection of a fund.

** Indicate the total JTF resources, including the complementary support transferred from the ERDF and the ESF+. The table shall not include the amounts in accordance to Article 7 JTF Regulation. In case of technical assistance financed from the JTF, the JTF resources should be split into resources related to Articles 3 and 4 JTF Regulation. For Article 4 JTF Regulation, there is no flexibility amount.

For the Investment for jobs and growth goal: programmes using technical assistance according to Article 36(5) CPR in accordance with the choice made in the Partnership Agreement.

Table 11: Total financial allocations by fund and national contribution

Policy/JTF specific objective number or technical assistance	Priority	Basis for calculation Union support (total eligible cost or public contribution)	Fund	Category of region*	Union contribution (a)=(b)+(c)+(i)+(j)	Breakdown of Union contribution				National contribution (d)=(e)+(f)	Indicative breakdown of national contribution		Total (g)=(a)+(d)	Co-financing rate (h)=(a)/(g)
						Union contribution		Flexibility amount			public (e)	private (f)		
						without technical assistance pursuant to Article 36(5)	for technical assistance pursuant to Article 36(5)	without technical assistance pursuant to Article 36(5)	for technical assistance pursuant to Article 36(5)**					
						(b)	(c)	(i)	(j)					
	Priority 1	P/T	ERDF	More developed										
				Transition										
				Less developed										
				Outermost and northern sparsely populated										

www.parlament.gv.at

	Priority 2		ESF+		More developed									
					Transition									
					Less developed									
					Outermost and northern sparsely populated									
	Priority 3		JTF **	Article 3 JTF Regulation resources										
				Article 4 JTF Regulation resources										
				Total										
	Priority 4		Cohesion Fund											

Technical assistance	Priority 5 Technical assistance pursuant to Article 37 CPR		ERDF or ESF+ or JTF or Cohesion Fund											
			Total ERDF	More developed										
				Transition										
				Less developed										
				Outermost and northern sparsely populated										
			Total ESF+	More developed										
				Transition										
				Less developed										
				Outermost and northern sparsely populated										

		JTF* *	Article 3 JTF Regulation resources											
			Article 4 JTF Regulation resources											
		Total Cohesion Fund												
Grand total														

* For ERDF and ESF+: less developed, transition, more developed, and, where applicable special allocation for outermost and northern sparsely populated regions. For Cohesion Fund: not applicable. For technical assistance, application of categories of region depends on the selection of the fund.

** Indicate the total JTF resources, including the complementary support transferred from the ERDF and the ESF+. The table shall not include the amounts in accordance to Article 7 JTF Regulation. In case of technical assistance financed from the JTF, the JTF resources should be split into resources related to Articles 3 and 4 JTF Regulation. For Article 4 JTF Regulation, there is no flexibility amount.

For the EMFAF:

Reference: point (g)(iii) of Article 22(3) CPR

EMFAF programmes using technical assistance according to Article 36(4) CPR in accordance with the choice made in the Partnership Agreement.

Table 11A: Total financial allocations by fund and national contribution

Priority	Specific objective (nomenclature set out in the EMFAF Regulation)	Basis for calculation of Union support	Union contribution	National public contribution	Total	Co-financing rate
Priority 1	1.1.1	Public				
	1.1.2	Public				
	1.2	Public				
	1.3	Public				
	1.4	Public				
	1.5	Public				
	1.6	Public				
Priority 2	2.1	Public				
	2.2	Public				
Priority 3	3.1	Public				

Priority	Specific objective (nomenclature set out in the EMFAF Regulation)	Basis for calculation of Union support	Union contribution	National public contribution	Total	Co-financing rate
Priority 4	4.1	Public				
Technical assistance pursuant to Article 36(4) CPR	5.1	Public				
Technical assistance pursuant to Article 37 CPR	5.2	Public				

EMFAF programmes using technical assistance according to Article 36(5) CPR in accordance with the choice made in the Partnership Agreement.

Table 11A: Total financial allocations by fund and national contribution

Priority	Specific objective (nomenclature set out in the EMFAF Regulation)	Basis for calculation of Union support	Union contribution		National public contribution	Total	Co- financing rate
			Union contribution without technical assistance pursuant to Article 36(5) CPR	Union contribution for technical assistance pursuant to Article 36(5) CPR			
Priority 1	1.1.1	Public					
	1.1.2	Public					
	1.2	Public					
	1.3	Public					
	1.4	Public					
	1.5	Public					
	1.6	Public					

Priority	Specific objective (nomenclature set out in the EMFAF Regulation)	Basis for calculation of Union support	Union contribution		National public contribution	Total	Co- financing rate
			Union contribution without technical assistance pursuant to Article 36(5) CPR	Union contribution for technical assistance pursuant to Article 36(5) CPR			
Priority 2	2.1	Public					
	2.2	Public					
Priority 3	3.1	Public					
Priority 4	4.1	Public					
Technical assistance (Article 37 CPR)	5.1	Public					

4. Enabling conditions

Reference: point (i) of Article 22(3) CPR

Table 12: Enabling conditions

Enabling conditions	Fund	Specific objective (N/A to the EMFAF)	Fulfilment of enabling condition	Criteria	Fulfilment of criteria	Reference to relevant documents	Justification
			Yes/No	Criterion 1	Y/N	[500]	[1 000]
				Criterion 2	Y/N		

5. Programme authorities

Reference: point (k) of Article 22(3) and Articles 71 and 84 CPR

Table 13: Programme authorities

Programme authorities	Name of the institution [500]	Contact name [200]	E-mail [200]
Managing authority			
Audit authority			
Body which receives payments from the Commission			
Where applicable, body or, bodies which receive payments from the Commission in case of technical assistance pursuant to Article 36(5) CPR			
Accounting function in case this function is entrusted to a body other than the managing authority			

The repartition of the reimbursed amounts for technical assistance pursuant to Article 36(5) CPR if more bodies are identified to receive payments from the Commission.

Reference: Article 22(3) CPR

Table 13A: The portion of the percentages set out in point (b) of Article 36(5) CPR that would be reimbursed to the bodies which receive payments from the Commission in case of technical assistance pursuant to Article 36(5) CPR (in percentage points)

Body 1	p.p.
Body 2*	p.p.

* Number of bodies defined by a Member State.

6. Partnership

Reference: point (h) of Article 22(3) CPR

Text field [10 000]

7. Communication and visibility

Reference: point (j) of Article 22(3) CPR

Text field [4 500]

8. Use of unit costs, lump sums, flat rates and financing not linked to costs

Reference: Articles 94 and 95 CPR

Table 14: Use of unit costs, lump sums, flat rates and financing not linked to costs

Intended use of Articles 94 and 95 CPR	YES	NO
From the adoption programme will make use of reimbursement of the Union contribution based on unit costs, lump sums and flat rates under the priority according to Article 94 CPR (if yes, fill in Appendix 1)	<input type="checkbox"/>	<input type="checkbox"/>
From the adoption programme will make use of reimbursement of the Union contribution based on financing not linked to costs according to Article 95 CPR (if yes, fill in Appendix 2)	<input type="checkbox"/>	<input type="checkbox"/>

Union contribution based on unit costs, lump sums and flat rates

Template for submitting data for the consideration of the Commission

(Article 94) CPR

Date of submitting the proposal	

This Appendix is not required when Union-level simplified cost options (SCO) established by the delegated act referred to in Article 94(4) CPR are used.

A. Summary of the main elements

Priority	Fund	Specific objective	Category of region	Estimated proportion of the total financial allocation within the priority to which the SCO will be applied in %	Type(s) of operation covered		Indicator triggering reimbursement		Unit of measurement for the indicator triggering reimbursement	Type of SCO (standard scale of unit costs, lump sums or flat rates)	Amount (in EUR) or percentage (in case of flat rates) of the SCO
					Code ¹	Description	Code ²	Description			

¹ This refers to the code for the intervention field dimension in Table 1 of Annex I to the CPR and Annex IV to the EMFAF Regulation.

² This refers to the code of a common indicator, if applicable.

B. Details by type of operation (to be completed for every type of operation)

Did the managing authority receive support from an external company to set out the simplified costs below?

If so, please specify which external company: Yes/No – Name of external company

1. Description of the operation type including the timeline for implementation ¹	
2. Specific objective(s)	
3. Indicator triggering reimbursement ²	
4. Unit of measurement for the indicator triggering reimbursement	
5. Standard scale of unit cost, lump sum or flat rate	
6. Amount per unit of measurement or percentage (for flat rates) of the SCO	

¹ Envisaged starting date of the selection of operations and envisaged final date of their completion (ref. Article 63(5) CPR).

² For operations encompassing several simplified cost options covering different categories of costs, different projects or successive phases of an operation, the fields 3 to 11 need to be filled in for each indicator triggering reimbursement.

7. Categories of costs covered by the unit cost, lump sum or flat rate	
8. Do these categories of costs cover all eligible expenditure for the operation? (Y/N)	
9. Adjustment(s) method ¹	
10. Verification of the achievement of the units - describe what document(s)/system will be used to verify the achievement of the units delivered - describe what will be checked and by whom during management verifications - describe what arrangements will be made to collect and store relevant data/documents	
11. Possible perverse incentives, mitigating measures ² and the estimated level of risk (high/medium/low)	

¹ If applicable, indicate the frequency and timing of the adjustment and a clear reference to a specific indicator (including a link to the website where this indicator is published, if applicable).

² Are there any potential negative implications on the quality of the supported operations and, if so, what measures (e.g. quality assurance) will be taken to offset this risk?

12. Total amount (national and Union) expected to be reimbursed by the Commission on this basis	
---	--

C. Calculation of the standard scale of unit costs, lump sums or flat rates

1. Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.).

2. Please specify why the proposed method and calculation based on Article 94(2) is relevant to the type of operation.

3. Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and, if requested, provided in a format that is usable by the Commission.

4. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate.

5. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data.

Union contribution based on financing not linked to costs

Template for submitting data for the consideration of the Commission
(Article 95 CPR)

Date of submitting the proposal	

This Appendix is not required when amounts for Union-level financing not linked to costs established by the delegated act referred to in Article 95(4) CPR are used.

A. Summary of the main elements

Priority	Fund	Specific objective	Category of region	The amount covered by the financing not linked to costs	Type(s) of operation covered		Conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission	indicator		Unit of measurement for the conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission	Envisaged type of reimbursement method used to reimburse the beneficiary or beneficiaries
					Code ¹	Description		Code ²	Description		

¹ This refers to the code for the intervention field dimension in Table 1 of Annex I to the CPR and Annex IV to the EMFAF Regulation.

² This refers to the code of a common indicator, if applicable.

B. Details by type of operation (to be completed for every type of operation)

1. Description of the operation type			
2. Specific objective(s)			
3. Conditions to be fulfilled or results to be achieved			
4. Deadline for fulfilment of conditions or results to be achieved			
5. Indicator definition			
6. Unit of measurement for conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission			
7. Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements	Intermediate deliverables	Envisaged date	Amounts (in EUR)
8. Total amount (including Union and national funding)			
9. Adjustment(s) method			

<p>10. Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables):</p> <ul style="list-style-type: none"> - describe what document(s)/system will be used to verify the achievement of the result or condition (and where relevant, each of the intermediate deliverables); - describe how management verifications (including on-the-spot) will be carried out, and by whom; - describe what arrangements will be made to collect and store relevant data/documents. 	
<p>11. Use of grants in the form of financing not linked to costs</p> <p>Does the grant provided by Member State to beneficiaries take the form of financing not linked to costs? [Y/N]</p>	
<p>12. Arrangements to ensure the audit trail</p> <p>Please list the body(ies) responsible for these arrangements.</p>	

List of planned operations of strategic importance with a timetable
(Article 22(3) CPR)

Text field [2 000]

EMFAF action plan for each outermost region

NB: to be duplicated for each outermost region

Template for submitting data for the consideration of the Commission

Name of the outermost region	
------------------------------	--

A. Description of the strategy for the sustainable exploitation of fisheries and the development of the sustainable blue economy

Text field [30 000]

B. Description of the main actions envisaged and the corresponding financial means

Description of the main actions	EMFAF amount allocated (EUR)
Structural support to the fishery and aquaculture sector under the EMFAF Text field [10 000]	
Compensation for the additional costs under Article [21] of the EMFAF Regulation Text field [10 000]	
Other investments in the sustainable blue economy necessary to achieve a sustainable coastal development Text field [10 000]	
TOTAL	

C. Description of the synergies with other sources of Union funding

Text field [10 000]

D. Additional funding for the implementation of the compensation for the additional costs
(State aid)

Information to be provided for each envisaged scheme/ad hoc aid

Region	Name of the region(s) (NUTS) ¹	...
		...
		...
Granting authority	Name	...
	Postal address	...
	Web address	...
Title of the aid measure	...	
National legal basis (reference to the relevant national official publication)	...	
	...	
	...	
Web link to the full text of the aid measure	...	

¹ NUTS — Nomenclature of Territorial Units for Statistics. Typically, the region is specified at level 2. Regulation (EC) No 1059/2003 of the European Parliament and of the Council of 26 May 2003 on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 154, 21.6.2003, p. 1) as amended by Commission Regulation (EU) 2016/2066 amending the annexes to Regulation (EC) No 1059/2003 of the European Parliament and of the Council on the establishment of a common classification of territorial units for statistics (NUTS) (OJ L 322, 29.11.2016, p. 1).

Type of measure	<input type="checkbox"/> Scheme	
	<input type="checkbox"/> Ad hoc aid	Name of the beneficiary and the group ¹ it belongs to
Amendment of an existing aid scheme or ad hoc aid		Commission aid reference
	<input type="checkbox"/> Prolongation
	<input type="checkbox"/> Modification
Duration ²	<input type="checkbox"/> Scheme	dd/mm/yyyy to dd/mm/yyyy
Date of granting ³	<input type="checkbox"/> Ad hoc aid	dd/mm/yyyy

¹ An undertaking for the purposes of rules on competition laid down in the Treaty and for the purposes of this section is any entity engaged in an economic activity, regardless of its legal status and the way in which it is financed (see decision of the Court of Justice in Case C-222/04, *Ministero dell’Economia e delle Finanze v Cassa di Risparmio di Firenze SpA et al.* [2006] ECR I-289). The Court of Justice has ruled that entities which are controlled (on a legal or on a *de facto* basis) by the same entity should be considered as one undertaking (Case C-382/99 *Netherlands v Commission* [2002] ECR I-5163).

² Period during which the granting authority can commit itself to grant the aid.

³ ‘Date of granting the aid’ means the date when the legal right to receive the aid is conferred on the beneficiary under the applicable national legal regime.

Economic sector(s) concerned	<input type="checkbox"/> All economic sectors eligible to receive aid	
	<input type="checkbox"/> Limited to certain sectors: please specify at NACE group level ¹
Type of beneficiary	<input type="checkbox"/> SME	
	<input type="checkbox"/> Large undertakings	
Budget	Total annual amount of the budget planned under the scheme ²	National currency ... (full amounts) ...
	Overall amount of the ad hoc aid awarded to the undertaking ³	National currency ... (full amounts) ...
	<input type="checkbox"/> For guarantees ⁴	National currency ... (full amounts) ...

¹ NACE Rev. 2 — Statistical Classification of Economic Activities in the European Union. Typically, the sector shall be specified at group level.

² In case of an aid scheme: indicate the annual overall amount of the budget planned under the scheme or the estimated tax loss per year for all aid instruments contained in the scheme.

³ In case of an *ad hoc* aid award: indicate the overall aid amount/tax loss.

⁴ For guarantees, indicate the (maximum) amount of loans guaranteed.

Aid instrument	<input type="checkbox"/> Grant/Interest rate subsidy
	<input type="checkbox"/> Loan/Repayable advances
	<input type="checkbox"/> Guarantee (where appropriate with a reference to the Commission decision ¹)
	<input type="checkbox"/> Tax advantage or tax exemption
	<input type="checkbox"/> Provision of risk finance
	<input type="checkbox"/> Other (please specify) ...
Motivation	<p>Indicate why a State aid scheme has been established or an ad-hoc aid has been granted, instead of assistance under the EMFAF:</p> <input type="checkbox"/> measure not covered by the national programme; <input type="checkbox"/> prioritisation in the allocation of funds under the national programme; <input type="checkbox"/> funding no longer available under the EMFAF; <input type="checkbox"/> other (please specify)

¹ Where appropriate, reference to the Commission decision approving the methodology to calculate the gross grant equivalent.

ANNEX VI

Template of a programme for the AMIF, the ISF and the BMVI – Article 21(3)

CCI number	
Title in English	[255] ¹
Title in the national language	[255]
Version	
First year	[4]
Last year	[4]
Eligible from	
Eligible until	
Commission decision number	
Commission decision date	
Member State amending decision number	
Member State amending decision entry into force date	
Non substantial transfer (Article 24(5) CPR)	Yes/No

¹ Number in square brackets refer to number of characters without spaces.

1. Programme strategy: main challenges and policy responses

Reference: points (a) (iii), (iv), (v) and (ix) Article 22(3) of Regulation (EU) .../...⁺ (the ‘CPR’)

This section explains how the programme will address the main challenges identified at the national level based on local, regional and national needs assessments and/or strategies. It provides an overview of the state of implementation of relevant Union acquis and the progress achieved on Union action plans, and describes how the Fund will support their development through the programming period.

Text field [15 000]

2. Specific objectives (repeated for each specific objective other than technical assistance)

Reference: Article 22(2) and (4) CPR

⁺ OJ: Please insert the number of the Regulation contained in this document (ST 6674/21).

2.1. Title of the specific objective [300]

2.1.1. Description of a specific objective

This section describes, for each specific objective, the initial situation, main challenges and proposes responses supported by the Fund. It describes which implementation measures are addressed with the support of the Fund; it provides an indicative list of actions within the scope of Articles 3 and 5 of the AMIF, ISF or BMVI Regulations.

In particular: For operating support, it provides an explanation in line with Article 21 of the AMIF Regulation, Article 16 of the ISF Regulation or Articles 16 and 17 of the BMVI Regulation. It includes an indicative list of beneficiaries with their statutory responsibilities, main tasks to be supported.

Planned use of financial instruments, if applicable.

Text field (16 000 characters)

2.1.2. Indicators

Reference: point (e) of Article 22(4) CPR

Table 1: Output indicators

Specific objective	ID [5]	Indicator [255]	Measurement unit	Milestone (2024)	Target (2029)

Table 2: Result indicators

Specific objective	ID [5]	Indicator [255]	Measurement unit	Baseline	Measurement unit for baseline	Reference year(s)	Target (2029)	Measurement unit for target	Source of data [200]	Comments [200]

2.1.3. Indicative breakdown of the programme resources (EU) by type of intervention

Reference: Article 22(5) CPR; and Article 16(12) AMIF Regulation, Article 13(12) ISF Regulation or Article 13(18) BMVI Regulation

Table 3: Indicative breakdown

Specific objective	Type of intervention	Code	Indicative amount (Euro)

2.2. Technical assistance

2.2.1. Description

Reference: point (f) of Article 22(3), Article 36(5), Article 37, and Article 95 CPR

Text field [5 000] (Technical assistance pursuant to Article 36(5) CPR)

Text field [3 000] (Technical assistance pursuant to Article 37 CPR)
--

2.2.2. Indicative breakdown of technical assistance pursuant to Article 36(5) and Article 37 CPR

Table 4: Indicative breakdown

Type of intervention	Code	Indicative amount (Euro)

3. Financing plan

Reference: point (g) Article 22(3) CPR

3.1. Financial appropriations by year

Table 5: Financial appropriations by year

Fund	2021	2022	2023	2024	2025	2026	2027	Total

3.2. Total financial allocations

Table 6: Total financial allocations by fund and national contribution

Specific objective (SO)	Type of action	Basis for calculation Union support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
SO 1	Actions co-financed in line with Article 12(1) of ISF Regulation or Article 12(1) of BMVI Regulation or Article 15(1) of AMIF Regulation							
	Actions co-financed in line with Article 12(2) of ISF Regulation or Article 12(2) of BMVI Regulation or Article 15(2) of AMIF Regulation							
	Actions co-financed in line with Article 12(3) of ISF Regulation or Article 12(3) of BMVI Regulation or Article 15(3) of AMIF Regulation							

Specific objective (SO)	Type of action	Basis for calculation Union support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
	Actions co-financed in line with Article 12(4) of ISF Regulation or Article 12(4) of BMVI Regulation (excluding Special Transit Scheme) or Article 15(4) of AMIF Regulation							
	Actions co-financed in line with Article 12(4) of BMVI Regulation (Special Transit Scheme)							
	Actions co-financed in line with Article 12(5) of ISF Regulation or Article 12(6) of BMVI Regulation or Article 15(5) of AMIF Regulation							
	Actions co-financed in line with Article 12(5) of BMVI Regulation							
Total for SO 1								

Specific objective (SO)	Type of action	Basis for calculation Union support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
SO 2	Actions co-financed in line with Article 12(1) of ISF Regulation or Article 12(1) of BMVI Regulation or Article 15(1) of AMIF Regulation							
	Actions co-financed in line with Article 12(2) of ISF Regulation or Article 12(2) of BMVI Regulation or Article 15(2) of AMIF Regulation							
	Actions co-financed in line with Article 12(3) of ISF Regulation or Article 12(3) of BMVI Regulation or Article 15(3) of AMIF Regulation							
	Actions co-financed in line with Article 12(4) of ISF Regulation or Article 12(4) of BMVI Regulation or Article 15(4) of AMIF Regulation							
	Actions co-financed in line with Article 12(5) of ISF or Article 12(6) of BMVI Regulation or Article 15(5) AMIF Regulation							
Total for SO 2								

Specific objective (SO)	Type of action	Basis for calculation Union support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
SO 3	Actions co-financed in line with Article 12(1) of ISF Regulation or Article 15(1) of AMIF Regulation							
	Actions co-financed in line with Article 12(2) of ISF Regulation or Article 15(2) of AMIF Regulation							
	Actions co-financed in line with Article 12(3) of ISF Regulation or Article 15(3) of AMIF Regulation							
	Actions co-financed in line with Article 12(4) of ISF Regulation or Article 15(4) of AMIF Regulation							
	Actions co-financed in line with Article 12(5) of ISF Regulation or Article 15(5) of AMIF Regulation							
Total for SO 3								

Specific objective (SO)	Type of action	Basis for calculation Union support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
SO 4	Actions co-financed in line with Article 15(1) of AMIF Regulation							
	Actions co-financed in line with Article 15(2) of AMIF Regulation							
	Actions co-financed in line with Article 15(3) of AMIF Regulation							
	Actions co-financed in line with Article 15(4) of AMIF Regulation							
	Actions co-financed in line Article 15(5) of AMIF Regulation							
	Actions co-financed in line with Article 19 of AMIF Regulation							

Specific objective (SO)	Type of action	Basis for calculation Union support (total or public)	Union contribution (a)	National contribution (b)=(c)+(d)	Indicative breakdown of national contribution		Total e=(a)+(b)	Co-financing rate (f)=(a)/(e)
					public (c)	private (d)		
	Actions co-financed in line with Article 20 of AMIF Regulation ('transfer in')							
	Actions co-financed in line with Article 20 of AMIF Regulation ('transfer out')							
Total for SO 4								
Technical assistance pursuant to Article 36(5) CPR								
Technical assistance pursuant to Article 37 CPR								
Grand total								

Table 6A: Pledging plan

Category	Number of persons per year						
	2021	2022	2023	2024	2025	2026	2027
Resettlement							
Humanitarian admission in line with Article 19(2) AMIF Regulation							
Humanitarian admission of vulnerable persons in line with Article 19(3) AMIF Regulation							
Transfer of applicants for or beneficiaries of international protection ('transfer in')							
Transfer of applicants for or beneficiaries of international protection ('transfer out')							
[other categories]							

3.3. Transfers

Table 7: Transfers between shared management funds¹

Receiving fund / instrument \ Transferring fund / instrument	AMIF	ISF	BMVI	ERDF	ESF+	Cohesion Fund	EMFAF	Total
AMIF								
ISF								
BMVI								
Total								

¹ Cumulative amounts for all transfers during programming period.

Table 8: Transfers to instruments under direct or indirect management¹

	Transfer amount
Instrument 1[name]	
Instrument 2[name]	
Total	

4. Enabling conditions

Reference: point (i) of Article 22(3) CPR

Table 9: Horizontal enabling conditions

Enabling condition	Fulfilment of enabling condition	Criteria	Fulfilment of criteria	Reference to relevant documents	Justification
		Criterion 1	Y/N	[500]	[1 000]
		Criterion 2			

¹ Cumulative amounts for all transfers during programming period.

5. Programme authorities

Reference: point (k) of Article 22(3) and Articles 71 and 84 CPR

Table 10: Programme authorities

	Name of the institution [500]	Contact name and position [200]	e-mail [200]
Managing authority			
Audit authority			
Body which receives payments from the Commission			

6. Partnership

Reference: point (h) of Article 22(3) CPR

Text field [10 000]

7. Communication and visibility

Reference: point (j) of Article 22(3) CPR

Text field [4 500]

8. Use of unit costs, lump sums, flat rates and financing not linked to costs

Reference: Articles 94 and 95 CPR

Intended use of Articles 94 and 95 CPR	YES	NO
From the adoption programme will make use of reimbursement of the Union contribution based on unit costs, lump sums and flat rates under the priority according to Article 94 CPR (if yes, fill in Appendix 1)	<input type="checkbox"/>	<input type="checkbox"/>
From the adoption programme will make use of reimbursement of the Union contribution based on financing not linked to costs according to Article 95 CPR (if yes, fill in Appendix 2)	<input type="checkbox"/>	<input type="checkbox"/>

Union contribution based on unit costs, lump sums and flat rates

Template for submitting data for the consideration of the Commission
(Article 94 CPR)

Date of submitting the proposal	

This Appendix is not required when Union-level simplified cost options (SCO) established by the delegated act referred to in Article 94(4) CPR are used.

A. Summary of the main elements

Specific objective	Estimated proportion of the total financial allocation within the specific objective to which the SCO will be applied in %	Type(s) of operation covered		Indicator triggering reimbursement		Unit of measurement for the indicator triggering reimbursement	Type of SCO (standard scale of unit costs, lump sums or flat rates)	Amount (in EUR) or percentage (in case of flat rates) of the SCO
		Code ¹	Description	Code ²	Description			

¹ This refers to the code in Annex VI of the AMIF, BMVI and ISF Regulations.

² This refers to the code of a common indicator, if applicable.

B. Details by type of operation (to be completed for every type of operation)

Did the managing authority receive support from an external company to set out the simplified costs below?

If so, please specify which external company: Yes/No – Name of external company

1. Description of the operation type including the timeline for implementation ¹	
2. Specific objective(s)	
3. Indicator triggering reimbursement ²	
4. Unit of measurement for the indicator triggering reimbursement	
5. Standard scale of unit cost, lump sum or flat rate	
6. Amount per unit of measurement or percentage (for flat rates) of the SCO	

¹ Envisaged starting date of the selection of operations and envisaged final date of their completion (ref. Article 63(5) CPR).

² For operations encompassing several simplified cost options covering different categories of costs, different projects or successive phases of an operation, the fields 3 to 11 need to be filled in for each indicator triggering reimbursement.

7. Categories of costs covered by the unit cost, lump sum or flat rate	
8. Do these categories of costs cover all eligible expenditure for the operation? (Y/N)	
9. Adjustment(s) method ¹	
10. Verification of the achievement of the units [delivered] - describe what document(s)/system will be used to verify the achievement of the units delivered? - describe what will be checked and by whom during management verifications. - describe what arrangements will be made to collect and store relevant data/documents	
11. Possible perverse incentives, mitigating measures ² and the estimated level of risk (high/medium/low)	

¹ If applicable, indicate the frequency and timing of the adjustment and a clear reference to a specific indicator (including a link to the website where this indicator is published, if applicable).

² Are there any potential negative implications on the quality of the supported operations and, if so, what measures (e.g. quality assurance) will be taken to offset this risk?

12. Total amount (national and Union) expected to be reimbursed by the Commission on this basis	
---	--

C. Calculation of the standard scale of unit costs, lump sums or flat rates

1. Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.).

--

2. Please specify why the proposed method and calculation based on Article 94(2) CPR is relevant to the type of operation.

--

3. Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and, if requested, provided in a format that is usable by the Commission.

4. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate.

5. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data.

Union contribution based on financing not linked to costs

Template for submitting data for the consideration of the Commission
(Article 95 CPR)

Date of submitting the proposal	

This Appendix is not required when amounts for Union-level financing not linked to costs established by the delegated act referred to in Article 95(4) CPR are used.

A. Summary of the main elements

Specific objective	The amount covered by the financing not linked to costs	Type(s) of operation covered		Conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission	indicator		Unit of measurement for the conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission	Envisaged type of reimbursement method used to reimburse the beneficiary or beneficiaries
		Code ¹	Description		Code ²	Description		

¹ Refers to the code in Annex VI of the AMIF, BMVI and ISF Regulations.

² Refers to the code of a common indicator, if applicable.

B. Details by type of operation (to be completed for every type of operation)

1. Description of the operation type			
2. Specific objective			
3. Conditions to be fulfilled or results to be achieved			
4. Deadline for fulfilment of conditions or results to be achieved			
5. Indicator definition			
6. Unit of measurement for conditions to be fulfilled/results to be achieved triggering reimbursement by the Commission			
7. Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements	Intermediate deliverables	Envisaged date	Amounts (in EUR)
8. Total amount (including Union and national funding)			
9. Adjustment(s) method			

<p>10. Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables):</p> <ul style="list-style-type: none"> - describe what document(s)/system will be used to verify the achievement of the result or condition (and where relevant, each of the intermediate deliverables); - describe what will be checked, by whom and how during management verifications (including on-the-spot); - describe what arrangements will be made to collect and store relevant data/documents. 	
<p>11. Use of grants in the form of financing not linked to costs.</p> <p>Does the grant provided by Member State to beneficiaries take the form of financing not linked to costs? [Y/N]</p>	
<p>12. Arrangements to ensure the audit trail</p> <p>Please list the body(ies) responsible for these arrangements.</p>	

Thematic Facility

Procedure reference	Specific objective	Modality: Specific action/ emergency assistance/ resettlement and humanitarian admission/ transfer of applicants for or beneficiaries of international protection	Type of intervention	Union contribution (EUR)	Pre-financing rate
<type='N' input='M'>	<type='N' input='M'>	<type='S' input='S'>	<type='S' input='S'>	<type='N' input='M'>	<type='N' input='M'>
Description of the action		[text]			
Member State submits a thematic facility amendment /declines			Date: <type='N' input='M'> Submit/Decline: <type='S' input='S'>		
Comment (if Member State declines or if indicators targets and milestones are not updated a justification should be encoded; tables 1 of point 2.1.3, 1 of point 3.1 and 1 of point 3.2 of this Annex should be revised)			[text]		

ANNEX VII

Template for the transmission of data – Article 42¹

Table 1: Financial information at priority and programme level for the ERDF, the ESF+, the Cohesion Fund, the JTF and the EMFAF (point (a) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
The financial allocation of the priority based on the programme							Cumulative data on the financial progress of the programme					

¹ Legend for the characteristics of fields:

type: N=Number, D=Date, S=String, C=Checkbox, P=Percentage, B=Boolean, Cu=Currency; input: M=Manual, S=Selection, G=Generated by system.

Priority	Specific objective	Fund	Category of region ¹	Basis for the calculation of Union contribution* (Total contribution or public contribution)**	Total financial allocation by fund and national contribution (EUR)	Co-financing rate (%)	Total eligible cost of selected operations (EUR)	Contribution from the funds to selected operations (EUR)	Proportion of the total financial allocation ² covered with selected operations (%) [column 8/ column 6x 100]	Total eligible expenditure declared by beneficiaries	Proportion of the total financial allocation covered by eligible expenditure declared by beneficiaries (%) [column 11/ column 6x100]	Number of selected operations
									Calculation		Calculation	
<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='P' input='G'>	<type='Cu' input='M'>		<type='P' input='G'>	<type='Cu' input='M'>	<type='P' input='G'>	<type='N' input='M'>
Priority 1	SO 1	ERDF										

¹ It does not apply to the Cohesion Fund, the JTF and the EMFAF.

² For the purposes of this Annex, the data for selected operations will be based on the document setting out the conditions for support in accordance with Article 73(3).

Priority 2	SO 2	ESF+										
Priority 3	SO 3	Cohesion Fund	NA									
Priority 4	SO JTF	JTF*										
Total		ERDF	Less developed		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		ERDF	Transition		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		ERDF	More developed		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		ERDF	Special allocation to outermost regions or northern sparsely populated regions		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>

Total		ESF+	Less developed		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		ESF+	Transition		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		ESF+	More developed		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		ESF+	Special allocation to outermost regions or northern sparsely populated regions		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		Cohesion Fund	N/A		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Total		EMFAF	N/A		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>

Total		JTF*	N/A		<type='N' input=' G '>		<type='Cu' input=' G '>		<type='P' input=' G '>	<type='Cu' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>
Grand Total		All Funds			<type='N' input=' G '>		<type='N' input=' G '>		<type='P' input=' G '>	<type='N' input=' G '>	<type='P' input='G'>	<type='N' input=' G '>

* Amounts including the complementary support transferred from the ERDF and the ESF+.

** Only total public contribution for the EMFAF.

Table 2: Breakdown of the cumulative financial data by type of intervention for the ERDF, the ESF+, the Cohesion Fund and the JTF (point (a) of Article 42(2))

Priority	Specific objective	Characteristics of expenditure		Categorisation dimension								Financial data		
		Fund	Category of region ¹	1 Intervention field	2 Form of support	3 Territorial delivery dimension	4 Economic activity dimension	5 Location dimension	6 ESF+ secondary theme	7 Gender equality dimension	8 Macro-regional and sea-basin dimension	Total eligible cost of selected operations (EUR)	Total eligible expenditure declared by beneficiaries	Number of selected operations
<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='S', input='S'>	<type='Cu', input='M'>	<type='Cu', input='M'>	<type='N', input='M'>

¹ It does not apply to the Cohesion Fund and the JTF.

Table 3: Financial information and its breakdown by type of intervention for the AMIF, the ISF and the BMVI (point (a) of Article 42(2))

Specific objective (repeated for each specific objective)	Co-financing rate (Annex VI)	Categorisation dimension				Financial data						
		1	2	3	4	5	6	7	8	9	10	11
		Type of intervention (Table 1 of Annex VI, Fund specific regulation)	Type of intervention (Table 2 of Annex VI Fund specific regulation)	Type of intervention (Table 3 of Annex VI Fund specific regulation)	Type of intervention (Table 4 of Annex VI Fund specific regulation)	Total financial allocation (EUR) from the Fund and national contribution	Total eligible cost of selected operations (EUR)	Contribution from the funds to selected operations (EUR)	Proportion of the total financial allocation covered with selected operations (%) [column 8/ column 7 x 100]	Total eligible expenditure declared by beneficiaries (EUR)	Proportion of the total financial allocation covered by eligible expenditure declared by beneficiaries (%) [column 11/column 7 x 100]	Number of selected operations

<type='S' input='S'>	<type='S' input='S'>	<type='S' input='S'>	<type='S' input='S'>	<type='S' input='S'>	<type='S' input='S'>	<type='N' input='G'>	<type='Cu' input='M'>	<type='Cu' input='M'>	<type='P' input='G'>	<type='Cu' input='M'>	<type='P' input='G'>	<type='Cu' input='M'>
Subtotal by Specific Objective	SO1											

Table 4: Breakdown of the cumulative financial data by type of intervention for the EMFAF (point (a) of Article 42(2))

Priority	Specific objective	Type of intervention (Annex IV of the EMFAF Regulation)	Financial data		
			Total eligible cost of selected operations (EUR)	Total eligible expenditure declared by beneficiaries	Number of selected operations
<type='S' input='S'>	<type='S' input='S'>	<type='S' input='S'>	<type='Cu' input='M'>	<type='Cu' input='M'>	<type='N' input='M'>

Table 5: Common and programme specific output indicators for the ERDF, the Cohesion Fund, the JTF and the EMFAF (point (b) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.
Data on output indicators from the programme [extracted from table 2 of point 2.1.1.1.2 of Annex V]									Progress in output indicators to date			
Priority	Specific objective	Fund	Category of region ¹	ID	Indicator name	Indicator breakdown ² (of which:)	Measurement unit	Milestone (2024)	Target 2029	Selected operations[d/d/mm/yy]	Implemented operations[d/d/mm/yy]	Comments
<type='S' input='G'> ³	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='N' input='M'>	<type='N' input='M'>	<type='S' input='M'>
...												

¹ It does not apply to the Cohesion Fund, the JTF and the EMFAF.

² It applies only to some indicators. See Commission guidelines for details.

³ Legend for the characteristics of fields: type: N=Number, S=String, C=Checkbox; input: M=Manual, S=Selection, G=Generated by system.

Table 6: Common and programme specific output indicators for the ESF+ (point (b) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8 ¹ .	9.	10.	11.	12.
Data on all common output indicators as set out in Annexes I, II and III of the ESF+ Regulation and on programme-specific indicators [extracted from table 2 of point 2.1.1.1.2 of Annex V and table 2 of point 2.1.1.2.2. of Annex V]									Progress in output indicators		
Priority	Specific objective	Fund	Category of region	ID	Indicator name	Measurement unit	Milestone (2024)	Target 2029 (gender break-down optional)	Values achieved to date [dd/mm/yy]	Achievement ratio	Comments
<type='S' input='G'> ²	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='N' input='M'>	<type='N' input='G'>	<type='S' input='M'>
								M F N T	M F N T	M F N T	
...											

¹ Columns 8, 9, 10 and 11 are not applicable to the indicators in Annex III of the ESF+ Regulation - Common indicators for ESF+ support for addressing material deprivation (point (m) of Article 4(1) ESF+ Regulation).

² Legend for the characteristics of fields: type: N=Number, S=String, C=Checkbox; input: M=Manual, S=Selection, G=Generated by system.

Table 7: Common output indicators for the AMIF, the ISF and the BMVI (point (b) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.
Data on all common output indicators listed in annex VIII of AMIF/ISF/BMVI Regulations for each specific objective [extracted from Table 1 of point 2.1.2 of Annex VI]							Progress in output indicators to date		
Specific objective	ID	Indicator name	Indicator breakdown (of which)	Measurement unit	Milestone (2024)	Target (2029)	Planned values in selected operations ¹	Values achieved ²	Comments
							[dd/mm/yy]	[dd/mm/yy]	
<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='N' input='M'>	<type='N' input='M'>	<type='S' input='M'>

¹ Including gender and age breakdown, where required.

² Including gender and age breakdown, where required.

Table 8: Multiple support to enterprises for the ERDF, the Cohesion Fund and the JTF at programme level (point (b) of Article 42(2))

1.	2.	3.	4.	5.
ID	Indicator name	Indicator breakdown (of which:)	Number of enterprises net of multiple support by [dd/mm/yy]	Comments
<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='M' >	<type='S' input='M'>
RCO 01	Enterprises supported	Micro		
RCO 01	Enterprises supported	Small		
RCO 01	Enterprises supported	Medium		
RCO 01	Enterprises supported	Large		
RCO 01	Enterprises supported	Total	<type='N' input='G'>	

Table 9: Common and programme specific result indicators for the ERDF, the Cohesion Fund, the JTF and the EMFAF (point (b) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.	12.	13.	14.	15.
Data on result indicators from the programme [extracted from Table 5 of Annex VII]										Progress in result indicators to date				
Priority	Specific objective	Fund	Category of region ¹	ID	Indicator name	Indicator breakdown ² (of which:)	Measurement unit	Baseline in the programme	Target 2029	Selected operations [dd/mm/yy]		Implemented operations [dd/mm/yy]		Comments
										Baseline	Planned achievements	Baseline	Achieved	
<type='S' input='G'> ³	<type='S' input='G'>	<type='S' input='G'>		<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='N' input='M'>	<type='S' input='M'>
...														

¹ It does not apply to the Cohesion Fund, the JTF and the EMFAF.

² It applies only to some indicators. See Commission guidelines for details.

³ Legend for the characteristics of fields: type: N=Number, S=String, C=Checkbox; input: M=Manual and [also covering automatically upload], S=Selection, G=Generated by system.

Table 10: Common and programme specific result indicators for the ESF+ (point (b) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10. ¹	11.	12.	13.
Data on all common result indicators as set out in Annexes I, II and III of the ESF+ Regulation and on programme specific indicators [extracted from Table 5 of Annex VII and table 3 of point 2.1.1.2.2. of Annex V]										Progress in result indicators		
Priority	Specific objective	Fund	Category of region	ID	Indicator name	Output indicator used as a basis for target setting	Measurement unit of indicator	Measurement unit of target	Target 2029 (gender breakdown optional)	Values achieved to date [dd/mm/yy]	Achievement ratio	Comments
<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='N' input='M'>	<type='N' input='G'>	<type='S' input='M'>
									M F N T	M* F N* T	M F N T	
...												

* Not requested for specific objective in point (m) of Article 4(1) ESF+ Regulation.

¹ Columns 9, 10 and 12 are not applicable to the indicators in Annex III of the ESF+ Regulation - Common indicators for ESF+ support for addressing material deprivation (point (m) of Article 4(1) ESF+ Regulation).

Table 11: Common result indicators for the AMIF, the ISF and the BMVI (point (a) of Article 42(2))

1.	2.	3.	4.	5.	6.	7.	8.	9.	10.	11.
Data on all common result indicators listed in Annex VIII of AMIF/ISF/BMVI Regulations for each specific objective [extracted from Table 2 of point 2.1.2 of Annex VI]							Progress in result indicators to date			
Specific objective	ID	Indicator name	Indicator breakdown (of which)	Measurement unit (for indicators and baseline)	Baseline	Target 2029	Measurement unit (for target)	Planned values in selected operations ¹	Values achieved ²	Comments
								[dd/mm/yy]	[dd/mm/yy]	
<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='S' input='G'>	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='G'>	<type='N' input='G'>	<type='S' input='G'>	<type='S' input='M'>

¹ Including gender and age breakdown, where required.

² Including gender and age breakdown, where required.

Table 12: Financial instruments data for the Funds (Article 42(3))

Priority ¹	Characteristics of expenditure			Eligible expenditure by product				Amount of private and public resources mobilised in addition to the contribution from the Funds				Amount of management costs and fees declared as eligible expenditure, including (MCF are to be reported separately in case of direct award and in case of competitive tender) ² :						Interest and other gains generated by support from the Funds to financial instruments referred to in Article 60	Resources returned attributable to support from the Funds as referred to in Article 62	For guarantees, total value of loans, equity or quasi-equity investments in final recipients guaranteed with programme resources and actually disbursed to final recipients
	Fund	Specific objective	Category of region ³	Loans (form of support code for FI)	Guarantee (form of support code for FI)	Equity or quasi-equity (form of support code for FI)	Grants within a financial instrument operation (form of support code for FI)	Loans (form of support code for FI)	Guarantee (form of support code for FI)	Equity or quasi-equity (form of support code for FI)	Grants within a financial instrument operation (form of support code for FI)	Management costs and fees for holding funds depending on the financial product operating within the holding fund structure			Management costs and fees for specific funds (set-up either with or without the holding fund structure) by financial product					
												Loans	Guarantees	Equity	Loans	Guarantees	Equity			
www.patrimoine-int.eu.at	Input = selection	Input = selection	Input = selection	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual	Input = manual

¹ Not applicable to the AMIF, ISF or BMVI.

² In the data exchange system SFC2021 the column should separate possibility to report MCF paid in case of direct of award of contract and in case of competitive tender.

³ Not applicable to the Cohesion Fund, JTF, AMIF, BMVI, ISF or EMFAF.

ANNEX VIII

A forecast of the amount for which the Member State expects to submit payment applications for the current and the subsequent calendar year (Article 69(10))

For each programme, to be filled in by Fund and category of region, where appropriate.

Fund	Category of region	Expected Union contribution		
		[current calendar year]		[subsequent calendar year]
		January - October	November - December	January- December
ERDF	Less developed regions	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
	Transition regions	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
	More developed regions	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">

Fund	Category of region	Expected Union contribution		
		[current calendar year]		[subsequent calendar year]
		January - October	November - December	January- December
	Outermost regions and Northern sparsely populated regions ¹	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
Interreg		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
ESF+	Less developed regions	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
	Transition regions	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
	More developed regions	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
	Outermost regions and Northern sparsely populated regions ²	<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">

¹ This should only show the specific allocation for outermost regions / Northern sparsely populated regions.

² This should only show the specific allocation for outermost regions/ Northern sparsely populated regions.

Fund	Category of region	Expected Union contribution		
		[current calendar year]		[subsequent calendar year]
		January - October	November - December	January- December
Cohesion Fund		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
JTF*		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
EMFAF		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">

Fund	Category of region	Expected Union contribution		
		[current calendar year]		[subsequent calendar year]
		January - October	November - December	January- December
AMIF		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
ISF		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">
BMVI		<type="Cu" input="M">	<type="Cu" input="M">	<type="Cu" input="M">

* Amounts including the complementary funding transferred from the ERDF and the ESF+ as appropriate.

ANNEX IX

Communication and visibility – Articles 47, 49 and 50

1. The use and technical characteristics of the emblem of the Union ('the emblem')
- 1.1. The emblem shall be prominently featured on all communication materials such as printed or digital products, websites and their mobile views relating to the implementation of an operation, used for the public or for participants.
- 1.2. The statement 'Funded by the European Union' or 'Co-funded by the European Union' shall be written in full and placed next to the emblem.
- 1.3. The typeface to be used in conjunction with the emblem may be any of the following fonts: Arial, Auto, Calibri, Garamond, Trebuchet, Tahoma, Verdana or Ubuntu. Italic, underlined variations or font effects shall not be used.
- 1.4. The positioning of the text in relation to the emblem shall not interfere with the emblem in any way.
- 1.5. The font size used shall be proportionate to the size of the emblem.
- 1.6. The colour of the font shall be reflex blue, black or white depending on the background.

- 1.7. The emblem shall not be modified or merged with any other graphic elements or texts. If other logos are displayed in addition to the emblem, the emblem shall have at least the same size, measured in height or width, as the biggest of the other logos. Apart from the emblem, no other visual identity or logo must be used to highlight the support from the Union.
- 1.8. Where several operations are taking place at the same location, supported by the same or different funding instruments, or where further funding is provided for the same operation at a later date, at least one plaque or billboard shall be displayed.
- 1.9. Graphic standards for the emblem and the definition of standard colours:

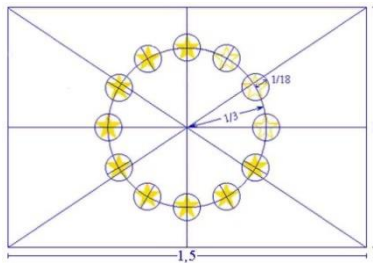
(A) SYMBOLIC DESCRIPTION

Against a background of blue sky, twelve golden stars form a circle representing the union of the peoples of Europe. The number of stars is fixed, twelve being the symbol of perfection and unity.

(B) HERALDIC DESCRIPTION

On an azure field a circle of twelve golden mullets, their points not touching.

(C) GEOMETRIC DESCRIPTION



The emblem has the form of a blue rectangular flag of which the fly is one and a half times the length of the hoist. Twelve gold stars situated at equal intervals form an invisible circle whose centre is the point of intersection of the diagonals of the rectangle. The radius of the circle is equal to one third of the height of the hoist. Each of the stars has five points which are situated on the circumference of an invisible circle whose radius is equal to one eighteenth of the height of the hoist. All the stars are upright, i.e. with one point vertical and two points in a straight line at right angles to the mast. The circle is arranged so that the stars appear in the position of the hours on the face of a clock. Their number is invariable.

(D) REGULATION COLOURS

The emblem is in the following colours: PANTONE REFLEX BLUE for the surface of the rectangle; PANTONE YELLOW for the stars

(E) FOUR-COLOUR PROCESS

If the four-colour process is used, recreate the two standard colours by using the four colours of the four-colour process.

PANTONE YELLOW is obtained by using 100 % 'Process Yellow'.

PANTONE REFLEX BLUE is obtained by mixing 100 % 'Process Cyan' and 80 % 'Process Magenta'.

INTERNET

PANTONE REFLEX BLUE corresponds in the web-palette colour RGB:0/51/153 (hexadecimal: 003399) and PANTONE YELLOW corresponds in the web-palette colour RGB: 255/204/0 (hexadecimal: FFCC00).

MONOCHROME REPRODUCTION PROCESS

Using black, outline the rectangle in black and print the stars in black on white.



Using blue (Reflex Blue), use 100 % with the stars reproduced in negative white.



REPRODUCTION ON A COLOURED BACKGROUND

If there is no alternative to a coloured background, put a white border around the rectangle, the width of the border being 1/25th of the height of the rectangle.



The principles of the use of the Union emblem by third parties are set out in the Administrative agreement with the Council of Europe regarding the use of the European emblem by third parties¹.

2. The licence on intellectual property rights referred to in Article 49(6) shall grant to the Union at least the following rights:
 - 2.1. internal use i.e. right to reproduce, copy and make available the communication and visibility materials to Union's institutions and agencies, Member States' authorities, and their employees;
 - 2.2. reproduction of the communication and visibility materials by any means and in any form, in whole or in part;
 - 2.3. communication to the public of the communication and visibility materials by using any and all means of communication;

¹ OJ C 271, 8.9.2012, p. 5.

- 2.4. distribution to the public of the communication and visibility materials (or copies thereof) in any and all forms;
 - 2.5. storage and archiving of the communication and visibility materials;
 - 2.6. sub-licensing of the rights on the communication and visibility materials to third parties.
-

ANNEX X

Elements for funding agreements and strategy documents – Article 59(1) and (5)

1. Required elements of the funding agreement for financial instruments implemented under Article 59(5):
 - (a) the investment strategy or policy including implementation arrangements, financial products to be offered, final recipients targeted, and envisaged combination with grant support (as appropriate);
 - (b) a business plan or equivalent documents for the financial instrument to be implemented, including the estimated leverage effect referred to in point (a) of Article 58(3);
 - (c) the target results that the financial instrument concerned is expected to achieve to contribute to the specific objectives and results of the relevant priority;
 - (d) provisions for monitoring of the implementation of investments and of deal flows including reporting by the financial instrument to the holding fund and to the managing authority to ensure compliance with Article 42;

- (e) audit requirements, such as minimum requirements for documentation to be kept at the level of the financial instrument (and at the level of the holding fund where appropriate) in accordance with Article 82, and requirements in relation to the maintenance of separate records for the different forms of support in compliance with Article 58(6), where applicable, including provisions and requirements regarding access to documents by audit authorities of Member States, Commission auditors and the Court of Auditors in order to ensure a clear audit trail;
- (f) requirements and procedures for managing the contribution provided by the programme in accordance with Article 92 and for the forecast of deal flows, including requirements for fiduciary or separate accounting as set out in Article 59;
- (g) requirements and procedures for managing interest and other gains generated as referred to in Article 60, including acceptable treasury operations or investments, and the responsibilities and liabilities of the parties concerned;
- (h) provisions regarding the calculation and payment of management costs incurred or of the management fees of the financial instrument in compliance with point (d) of Article 68(1);
- (i) provisions regarding the re-use of resources attributable to the support from the Funds in compliance with Article 62 and an exit policy for the contribution from the Funds out of the financial instrument;

- (j) conditions for a possible total or partial withdrawal of programme contributions from programmes to financial instruments, including the holding fund where applicable;
- (k) provisions to ensure that bodies implementing financial instruments manage financial instruments with independence and in accordance with the relevant professional standards, and act in the exclusive interest of the parties providing contributions to the financial instrument;
- (l) provisions for the winding-up of the financial instrument;
- (m) other terms and conditions for making contributions from the programme to the financial instrument;
- (n) terms and conditions to ensure that through contractual arrangements final recipients comply with the requirements of displaying of durable plaques or billboards in accordance with point (c) of Article 50(1), and other arrangements to ensure compliance with Article 50 and Annex IX for the acknowledgement of support from the Funds;
- (o) appraisal and selection of bodies implementing the financial instruments, including calls for expression of interest or public procurement procedures (only where financial instruments are organised through a holding fund).

2. Required elements of the strategy document(s) referred to in Article 59(1):
- (a) the investment strategy or policy of the financial instrument, general terms and conditions of envisaged debt products, target recipients and actions to be supported;
 - (b) a business plan or equivalent documents for the financial instrument to be implemented, including the estimated leverage effect referred to in Article 58;
 - (c) the use and re-use of resources attributable to the support of the Funds in accordance with Articles 60 and 62;
 - (d) monitoring and reporting of the implementation of the financial instrument to ensure compliance with Articles 42 and 50.
-

ANNEX XI

Key requirements of management and control systems and their classification - Article 69(1)

Table 1 - Key requirements of management and control systems

		Bodies/authorities concerned
1	Appropriate separation of functions and written arrangements for reporting, supervising and monitoring of delegated tasks to an intermediate body	Managing authority
2	Appropriate criteria and procedures for the selection of operations	Managing authority ¹
3	Appropriate information to beneficiaries on applicable conditions for support for the selected operations	Managing authority
4	Appropriate management verifications, including appropriate procedures for checking fulfilment of conditions for financing not linked to costs and for simplified cost options	Managing authority
5	Effective system to ensure that all documents necessary for the audit trail are held	Managing authority
6	Reliable electronic system (including links with electronic data exchange systems with beneficiaries) for recording and storing data for monitoring, evaluation, financial management, verifications and audits, including appropriate processes to ensure the security, integrity and confidentiality of the data and the authentication of users	Managing authority
7	Effective implementation of proportionate anti-fraud measures	Managing authority

¹ Territorial authorities or bodies pursuant to Article 29(3) of this Regulation and steering committee pursuant to Article 22(2) of Interreg Regulation, where applicable.

8	Appropriate procedures for drawing up the management declaration	Managing authority
9	Appropriate procedures for confirming that the expenditure entered into the accounts is legal and regular	Managing authority
10	Appropriate procedures for drawing up and submission of payment applications and of accounts and confirming completeness, accuracy and veracity of the accounts	Managing authority/ Body carrying out the accounting function
11	Appropriate separation of functions and functional independence between the audit authority (and any body carrying out audit work under the responsibility of the audit authority on which the audit authority relies and supervises, if applicable) and the other programme authorities and audit work carried out in accordance with internationally accepted audit standards	Audit authority
12	Appropriate system audits	Audit authority
13	Appropriate audits of operations	Audit authority
14	Appropriate audits of accounts	Audit authority
15	Appropriate procedures for providing a reliable audit opinion and for preparing the annual control report	Audit authority

Table 2 - Classification of management and control systems with regard to their effective functioning

Category 1	Works well. No or only minor improvement needed.
Category 2	Works. Some improvement needed.
Category 3	Works partially. Substantial improvement needed.
Category 4	Essentially does not work.

ANNEX XII

Detailed rules and template for the reporting of irregularities - Article 69(2) and (12)

SECTION 1

DETAILED RULES FOR THE REPORTING OF IRREGULARITIES

1.1. Irregularities to be reported

The following irregularities shall be reported to the Commission in accordance with Article 69(2):

- (a) irregularities that have been the subject of a first written assessment by a competent authority, either administrative or judicial, which has concluded on the basis of specific facts that an irregularity has been committed, regardless of the possibility that this conclusion may subsequently have to be revised or withdrawn as a result of developments in the course of the administrative or judicial procedure;

- (b) irregularities that give rise to the initiation of administrative or judicial proceedings at national level in order to establish the presence of fraud or other criminal offences, as referred to in points (a) and (b) of Article 3(2) and Article 4(1), (2) and (3) of Directive (EU) 2017/1371 and point (a) of Article 1(1) of the Convention drawn up on the basis of Article K.3 of the Treaty on European Union, on the protection of the European Communities' financial interests¹ for the Member States not bound by that Directive;
- (c) irregularities preceding a bankruptcy;
- (d) specific irregularity or group of irregularities for which the Commission submits a written request for information to the Member State following the initial reporting from a Member State.

1.2. Irregularities exempted from reporting

The following irregularities shall not be reported:

- (a) irregularities for an amount lower than EUR 10 000 in contribution from the Funds; this does not apply in the case of irregularities which are interlinked and the total amount of which exceeds EUR 10 000 in contribution from the Funds, even when none of them exceeds that ceiling on its own;

¹ OJ C 316, 27.11.1995, p. 49.

- (b) cases where the irregularity consists solely of the failure to execute, in whole or in part, an operation included in the co-financed programme owing to the non-fraudulent bankruptcy of the beneficiary;
- (c) cases brought to the attention of the managing authority or the authority in charge of the accounting function by the beneficiary voluntarily and before detection by either authority, whether before or after the payment of the public contribution;
- (d) cases which are detected and corrected by the managing authority before inclusion in a payment application submitted to the Commission.

The exemptions in points (c) and (d) of the first subparagraph of this point shall not apply to irregularities referred to under point 1.1(b).

1.3. Determination of the reporting Member State

The Member State in which the irregular expenditure is incurred by the beneficiary and paid in implementing the operation shall be responsible for reporting the irregularity in accordance with Article 69(2). For programmes under the European territorial cooperation goal (Interreg), the reporting Member State shall inform the managing authority and the audit authority of the programme.

1.4. Timing of the reporting

Member States shall report irregularities within two months following the end of each quarter from their detection or as soon as additional information on the reported irregularities becomes available. However, a Member State shall immediately report to the Commission irregularities discovered or supposed to have occurred, indicating any other Member States concerned, in case the irregularities may have repercussions outside its territory.

1.5. Submission, use and processing of information reported

Where national provisions provide for the confidentiality of investigations, only information subject to the authorisation of the competent tribunal, court or other body in accordance with national rules may be reported.

The information reported in accordance with this Annex may be used for the purposes of protecting the financial interests of the Union, in particular to perform risk analyses and develop systems serving to identify risks more effectively.

This information shall not be used for any purposes other than the protection of the Union's financial interests unless the authorities that have provided it have given their express consent.

This information shall be covered by professional secrecy and may not be disclosed to persons other than those in the Member States or within the Union's institutions, agencies, offices and bodies whose duties require that they have access to it.

SECTION 2
TEMPLATE FOR ELECTRONIC REPORTING
VIA THE IRREGULARITY MANAGEMENT SYSTEM (IMS)

	Identification	Fund
		Member State
		Reporting authority
		Year
		Sequence number
		Programming period
		Reference number – national
	Drafting information	Initiating authority - complete name
		Request language
		Drafting date
		Quarter
	Special request	Necessity to inform other countries
		Person found in other case(s)
	Status	Proceedings
	Case closure	Case closure date

Personal data	Identification of persons involved	Legal person / natural person
		Legal status
		National ID number
		Company name /Family name
		Trade name / First name
		Parent name / Independent prefix
		Street
		Postcode
		City
	Territorial unit where the person is registered	Member State
		Relevant NUTS level
		Flagged on basis of the Financial Regulation ¹ (Articles 135 to145)
		Justification for non-disclosure of personal data

¹ Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 (OJ L 193, 30.7.2018, p. 1).

	Description of operation	CCI number
		Objective – CCI
		Category of region where applicable
		Goal (IJG/Interreg)
		Programme
		Programme closure date
		Commission decision - number
		Commission decision - date
		Policy objective
		Priority
	Specific objective	
	Territorial unit where the operation takes place	Member State
		Relevant NUTS level
Competent authority		
Operation - specific - project	Project	Project
		Project – name
		Project – number
		Co-financing rate
		Total amount of expenditure
		Total amount of irregular expenditure

Irregularity	Information leading to a suspicion of an irregularity	Date
		Source
	Provisions breached	Provisions – Union: Type, title, reference, Article and paragraph as relevant
		Provisions - national – Type, title, reference, Article and paragraph as relevant
	Other States involved	Member State(s)
		Non-Member State(s)
	Specific information on the irregularity	Start date Irregularity
		End date irregularity
		Type of irregularity - typology
		Type of irregularity - category
		Modus operandi
		Additional information
		Findings of the administration
		Classification of the irregularity
		Offences pursuant to Directive (EU) 2017/1371

Detection		Date of discovery (Primary administrative or judicial finding)
		Reason for performing a control (why)
		Type and/or method of control (how)
		Control carried out after payment(s) of public contribution
		Competent authority
	OLAF-Case	OLAF number – Reference
		OLAF number – Year
		OLAF number – Sequence
		Status

Total Amounts	Financial impact	Expenditure – EU contribution
		Expenditure – National contribution
		Expenditure - Public contribution
		Expenditure - Private contribution
		Expenditure – Total
		Irregular amount – EU contribution
		Irregular amount - National contribution
		Irregular amount - Public contribution
		whereof not paid – EU contribution
		whereof not paid – National contribution-
		whereof not paid - Public contribution
		Where of paid – EU contribution
		Where of paid – National contribution
		Where of paid - Public contribution
Comments		

Penalties	Procedures	Procedures initiated to impose penalties
		Type of procedure
		Start date of procedure
		(Expected) end date of procedure
		Status of procedure
	Sanctions	Penalties
		Penalties – Category
		Penalties – Type
		Penalties applied
		Amounts related to financial penalties
		End date of procedure
Comments	Comments	Comments - Reporting authority
	Attachments	Attachments
		Attachments description
	Request for cancellation	Cancellation reasons
		Rejection reasons

ANNEX XIII

Elements for the audit trail – Article 69(6)

As regards Union contribution based on unit costs, lump sums and flat rates reimbursed by the Commission under Article 94 and on financing not linked to costs reimbursed by the Commission under Article 95, only the elements set out under Sections III and IV respectively shall be required.

I. Obligatory elements of audit trail for grants taking the forms set out in points (a) to (e) of Article 53(1):

1. documentation that allows verification of the application of the selection criteria by the managing authority, as well as documentation relating to the overall selection procedure and the approval of operations;
2. document (grant agreement or equivalent) setting out the conditions for support signed between the beneficiary and the managing authority/intermediate body;
3. accounting records of payment claims submitted by the beneficiary, as recorded in the managing authority/intermediate body's electronic system;
4. documentation on verifications addressing the non-relocation and durability requirements as set out in Article 65, Article 66(2) and point (h) of Article 73(2);
5. proof of payment of the public contribution to the beneficiary and of the date the payment was made;
6. documentation evidencing the administrative and, where applicable, on-the-spot checks carried out by the managing authority/intermediate body;

7. information on audits carried out;
8. documentation relating to the follow-up by the managing authority/intermediate body for purposes of management verifications and audit findings;
9. documentation demonstrating verification of compliance with applicable law;
10. data in relation to output and result indicators enabling reconciliation with corresponding targets and reported milestones;
11. documentation related to financial corrections to and deductions from the expenditure declared to the Commission to ensure compliance with Article 98(6) made by the managing authority/intermediate body/the body to which the accounting function has been entrusted to;
12. for grants taking the form set out in point (a) of Article 53(1), the invoices (or documents of equivalent probative value) and proof of their payment by the beneficiary, as well as accounting records of the beneficiary relating to the expenditure declared to the Commission;
13. for grants taking the forms set out in points (b), (c) and (d) of Article 53(1), and as applicable, documents justifying the method of establishing unit costs, lump sums and flat rates; the categories of costs forming the basis for the calculation; documents evidencing costs declared under other categories of costs to which a flat rate applies; the explicit agreement by the managing authority on the draft budget on the document setting out the conditions for support; documentation on the gross employment costs and on calculation of the hourly rate; where simplified cost options are used based on existing methods, documentation confirming compliance with similar type of operations and with documentation required by the existing method, if any.

- II. Obligatory elements for audit trail for financial instruments:
1. documents on the establishment of the financial instrument, such as funding agreements, etc.;
 2. documents identifying the amounts contributed by each programme and under each priority to the financial instrument, the expenditure that is eligible under each programme and the interest and other gains generated by support from the Funds and re-use of resources attributable to the Funds in accordance with Articles 60 and 62;
 3. documents on the functioning of the financial instrument, including those related to monitoring, reporting and verifications;
 4. documents concerning exits of programme contributions and the winding-up of the financial instrument;
 5. documents on the management costs and fees;
 6. application forms, or equivalent, submitted by final recipients with supporting documents, including business plans and, when relevant, previous annual accounts;
 7. checklists and reports from the bodies implementing the financial instrument;
 8. declarations made in connection with *de minimis* aid;

9. agreements signed in connection with the support provided by the financial instrument, including for equity, loans, guarantees or other forms of investment provided to final recipients;
10. evidence that the support provided through the financial instrument is to be used for its intended purpose;
11. records of the financial flows between the managing authority and the financial instrument, and within the financial instrument at all levels, down to the final recipients, and, for guarantees, proof that underlying loans were disbursed;
12. separate records or accounting codes for a programme contribution paid or a guarantee committed by the financial instrument for the benefit of the final recipient.

- III. Obligatory elements of audit trail for reimbursement of the Union contribution by the Commission under Article 94 to be kept at the level of the managing authority/ intermediate body:
1. documents evidencing the ex-ante agreement of the Commission on the types of operations covered by unit costs, lump sums and flat rates and the definition of related amounts and rates, as well as the methods for adjustment of the amounts (programme approval or amendment);
 2. documents evidencing the categories of costs and the amounts forming the basis for the calculation to which the flat rate applies;
 3. documents evidencing the fulfilment of the conditions for reimbursement by the Commission;
 4. documents evidencing the adjustment of the amounts, where relevant;
 5. documents evidencing the calculation method if point (a) of the second subparagraph of Article 94(2) is applied;

6. documentation relating to the selection and approval of operations covered by the reimbursement of the Union contribution by the Commission on the basis of simplified cost options;
7. document setting out the conditions of support signed by the beneficiary and the managing authority/intermediate body stating the form of support provided to beneficiaries;
8. documentation evidencing management verifications and audits carried out in accordance with the third subparagraph of Article 94(3);
9. proof of payment of the public contribution to the beneficiary and of the date the payment was made.

- IV. Obligatory elements of audit trail for reimbursement of the Union contribution by the Commission under Article 95 to be kept at the level of the managing authority/ intermediate body:
1. documents evidencing the ex-ante agreement of the Commission on the conditions to be fulfilled or the results to be achieved and corresponding amounts (programme approval or amendment);
 2. documentation relating to the selection and approval of operations covered by the reimbursement of the Union contribution by the Commission based on Article 95 (financing not linked to costs);
 3. document setting out the conditions of support signed by the beneficiary and the managing authority/intermediate body stating the form of support provided to beneficiaries;
 4. documentation evidencing management verifications and audits carried out in accordance with the second subparagraph of Article 95(3);
 5. proof of payment of the public contribution to the beneficiary and of the date the payment was made;
 6. documents evidencing the fulfilment of conditions or the achievement of results at each stage if done in steps, as well as before final expenditure is declared to the Commission.

ANNEX XIV

Electronic data exchange systems between programme authorities and beneficiaries - Article 69(8)

1. Responsibilities of programme authorities regarding the characteristics of electronic data exchange systems
 - 1.1. Ensuring the data security, data integrity, data confidentiality, authentication of the sender in accordance with Articles 69(6), 69(8), point (e) of Article 72(1) and Article 82.
 - 1.2. Ensuring availability and functioning during and outside standard office hours (except during technical maintenance).
 - 1.3. Ensuring that the system aims to make use of logical, simple and intuitive functions and interface.
 - 1.4. Use of functionalities in the system providing for:
 - (a) interactive forms and/or forms prefilled by the system on the basis of the data which are stored at consecutive steps of the procedures;
 - (b) automatic calculations, where applicable;

- (c) automatic embedded controls which reduce repeated exchanges of documents or information;
- (d) system-generated alerts to inform the beneficiary that certain actions can be performed;
- (e) online status tracking allowing the beneficiary to monitor the current status of the project;
- (f) all previously available data and documents processed by the electronic data exchange system.

1.5. Ensuring record-keeping and data storage in the system enabling both administrative verifications of payment claims submitted by beneficiaries in accordance with Article 74(2) and audits.

2. Responsibilities of programme authorities regarding the modalities for transmission of documents and data for all exchanges

2.1. Ensuring the use of electronic signature compatible with one of the three types of electronic signature defined by Regulation (EU) No 910/2014 of the European Parliament and of the Council¹.

¹ Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC (OJ L 257, 28.8.2014, p. 73).

- 2.2. Providing for storing the date of transmission of documents and data by the beneficiary to the programme authorities and vice versa.
 - 2.3. Ensuring accessibility directly through an interactive user interface (a web application) or via a technical interface that allows for automatic synchronisation and transmission of data between beneficiaries' and Member States' systems.
 - 2.4. Ensuring the protection of privacy of personal data for individuals and commercial confidentiality for legal entities according to Directive 2002/58/EC of the European Parliament and of the Council¹ and Regulation (EU) 2016/679.
-

¹ Directive 2002/58/EC of the European Parliament and of the Council of 12 July 2002 concerning the processing of personal data and the protection of privacy in the electronic communications sector (Directive on privacy and electronic communications) (OJ L 201, 31.7.2002, p. 37).

ANNEX XV

SFC2021: electronic data exchange system between the Member States and the Commission – Article 69(9)

1. Responsibilities of the Commission
 - 1.1. Ensuring the operation of an electronic data exchange system ('SFC2021') for all official exchanges of information between the Member State and the Commission. SFC2021 shall contain at least the information specified in the templates established in accordance with this Regulation.
 - 1.2. Ensuring the following characteristics of SFC2021:
 - (a) interactive forms or forms pre-filled by the system on the basis of the data already recorded in the system previously;
 - (b) automatic calculations, where they reduce the encoding effort of users;
 - (c) automatic embedded controls to verify internal consistency of transmitted data and consistency of this data with applicable rules;
 - (d) system generated alerts warning SFC2021 users that certain actions can or cannot be performed;

- (e) online status tracking of the treatment of information entered into the system;
- (f) availability of historical data in respect of all information entered for a programme;
- (g) availability of a compulsory electronic signature within the meaning of Regulation (EU) No 910/2014 which will be recognised as evidence in legal proceedings.

1.3. Ensuring an information technology security policy for SFC2021 applicable to the personnel using the system in accordance with relevant Union rules, in particular Commission Decision C(2006)3602¹ and its implementing rules.

1.4. Designating a person or persons responsible for defining, maintaining and ensuring the correct application of the security policy to SFC2021.

2. Responsibilities of Member States

2.1. Ensuring that the programme authorities of the Member State identified in accordance with Article 71(1) as well as the bodies identified to carry out certain tasks under the responsibility of the managing authority or the audit authority in accordance with Article 71(2) and (3) enter into SFC2021 the information for the transmission of which they are responsible and any updates thereto.

¹ Commission Decision C(2006)3602 of 16 August 2006 concerning the security of information systems used by the European Commission.

- 2.2. Ensuring the verification of information submitted by a person other than the person who entered the data for that transmission.
- 2.3. Providing arrangements for the separation of the above tasks through the Member State's management and control information systems connected automatically with SFC2021.
- 2.4. Appointing a person or persons responsible for managing access rights to fulfil the following tasks:
 - (a) identifying users requesting access, making sure those users are employed by the organisation;
 - (b) informing users about their obligations to preserve the security of the system;
 - (c) verifying the entitlement of users to the required privilege level in relation to their tasks and their hierarchical position;
 - (d) requesting the termination of access rights when those access rights are no longer needed or justified;
 - (e) promptly reporting suspicious events that may bring prejudice to the security of the system;

- (f) ensuring the continued accuracy of user identification data by reporting any changes;
- (g) taking the necessary data protection and commercial confidentiality precautions in accordance with Union and national rules;
- (h) informing the Commission of any changes affecting the capacity of the Member State authorities or users of SFC2021 to carry out the responsibilities referred to in point 2.1 or their personal capacity to carry out responsibilities referred to in points (a) to (g).

2.5. Providing arrangements for the respect of the protection of privacy and of personal data for individuals, and of commercial confidentiality for legal entities in accordance with Directive 2002/58/EC, Regulation (EU) 2016/679 and Regulation (EU) 2018/1725.

2.6. Adopting national, regional or local information security policies on access to SFC2021 based on a risk assessment applicable to all authorities using SFC2021 and addressing the following aspects:

- (a) the IT security aspects of the work performed by the person or persons responsible for managing the access rights referred to in point 2.4 of section II in case of application of direct use;

- (b) for national, regional or local computer systems connected to SFC2021, through a technical interface referred to in point 2.3 the security measures for those systems allowing to be aligned with SFC2021 security requirements and covering:
- (i) physical security;
 - (ii) data media and access control;
 - (iii) storage control;
 - (iv) access and password control;
 - (v) monitoring;
 - (vi) interconnection with SFC2021;
 - (vii) communication infrastructure;
 - (viii) human resources management prior to employment, during employment and after employment;
 - (ix) incident management.

- 2.7. Making the document referred to in point 2.6 available to the Commission upon request.
- 2.8. Appointing a person or persons responsible for maintaining and ensuring the application of the national, regional or local IT security policies and acting as a contact point with the person or persons designated by the Commission and referred to in point 1.4.
3. Joint responsibilities of the Commission and the Member States
 - 3.1. Ensuring accessibility either directly through an interactive user-interface (i.e. a web-application) or via a technical interface using pre-defined protocols (i.e. web-services) that allows for automatic synchronisation and transmission of data between Member States information systems and SFC2021.
 - 3.2. Providing for the date of electronic transmission of the information by the Member State to the Commission and vice-versa in electronic data exchange, which constitutes the date of submission of the document concerned.
 - 3.3. Ensuring that official data is exchanged exclusively through SFC2021, except where force majeure occurs, and that information provided in the electronic forms embedded in SFC2021 (hereinafter referred to as 'structured data') is not replaced by non-structured data and, in the event of inconsistency, that structured data prevails over non-structured data.

In the event of *force majeure*, a malfunctioning of SFC2021 or a lack of a connection with SFC2021 exceeding one working day in the last week before a regulatory deadline for the submission of information or in the period from 18 to 26 December, or five working days at other times, the information exchange between the Member State and the Commission may take place in paper form using the templates set out in this Regulation in which case the date of submission of the document is the date stamped by the post. When the cause of the force majeure ceases, the party concerned enters in SFC2021 without delay the information already provided in paper form.

- 3.4. Ensuring compliance with the IT security terms and conditions published in the SFC2021 portal and the measures that are implemented in SFC2021 by the Commission to secure the transmission of data, in particular in relation to the use of the technical interface referred to in point 2.3.
- 3.5. Implementing and ensuring the effectiveness of the security measures adopted to protect the data stored and transmitted through SFC2021.
- 3.6. Updating and reviewing annually the SFC2021 IT security policy and the relevant national, regional and local IT security policies in the event of technological changes, the identification of new threats or other relevant developments.
