



Brussels, 6 July 2021
(OR. en)

10561/21

Interinstitutional File:
2020/0266 (COD)

EF 237
ECOFIN 697
CODEC 1053
TELECOM 294
CYBER 207

INFORMATION NOTE

From:	General Secretariat of the Council
To:	Delegations
No. prev. doc.:	5158/21
No. Cion doc.:	11051/20 + ADD 1 + ADD 2
Subject:	Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL on digital operational resilience for the financial sector and amending Regulations (EC) No 1060/2009, (EU) No 648/2012, (EU) No 600/2014 and (EU) No 909/2014 - list of working papers (WK) distributed between 1 January and 30 June 2021

The working papers (WK) listed below were distributed by the General Secretariat of the Council with regard to the above file between 1 January and 30 June 2021.

Reference No.	Creation Date	Subject
WK 467 2021 INIT	13/01/2021	Working Party on Financial Services (Digital Operational Resilience) -Virtual Meeting, 21 January 2021- Draft Agenda
WK 506 2021 INIT	14/01/2021	PRESIDENCY DISCUSSION NOTE on the interaction between DORA and NIS Directive
WK 507 2021 INIT	14/01/2021	PRESIDENCY DISCUSSION NOTE on Chapter V, Section II: selected issues
WK 935 2021 INIT	21/01/2021	Commission presentation on DORA-NIS2 interaction
WK 1609 2021 INIT	03/02/2021	Working Party on Financial Services (Digital Operational Resilience) -Virtual Meeting, 11 February 2021 - Draft Agenda
WK 1624 2021 INIT	04/02/2021	PRESIDENCY DISCUSSION NOTE - Oversight Framework

Reference No.	Creation Date	Subject
WK 1625 2021 INIT	04/02/2021	PRESIDENCY DISCUSSION NOTE - Scope
WK 1733 2021 INIT	05/02/2021	Existing national defence and security frameworks in the context of DORA - Non-paper by 5 MS
WK 1733 2021 REV 1	08/02/2021	Existing national defence and security frameworks in the context of DORA - Nonpaper by Finland, Sweden, Italy, Czech Republic, Estonia and France
WK 2614 2021 INIT	24/02/2021	Working Party on Financial Services (Digital Operational Resilience) - Virtual Meeting, 3 March 2021 - Draft Agenda
WK 2615 2021 INIT	24/02/2021	Presidency discussion Note - Critical ICT TPP
WK 2616 2021 INIT	24/02/2021	Presidency discussion Note - Competent authorities
WK 2618 2021 INIT	24/02/2021	Presidency discussion Note - Scope
WK 2615 2021 REV 1	26/02/2021	Presidency discussion Note - Critical ICT TPP
WK 2761 2021 INIT	26/02/2021	Commission Presentation - Hypothetical examples of critical ICT TPPs, designation of the Lead Overseer and criticality assessment
WK 11428 2020 REV 2	05/03/2021	Amending directive accompanying the DORA and MiCA proposals - Consolidation of comments (13 MS)
WK 14886 2020 REV 1	05/03/2021	DORA - Comments received on PCY paper WK 13462/20 (Proportionality) Replies from 19 MS
WK 14887 2020 REV 1	05/03/2021	DORA - Comments received on PCY paper WK 13465/20 (ICT-related incident reporting) - Replies from 20 MS
WK 14889 2020 REV 1	05/03/2021	DORA - Comments received on PCY paper WK 14099/20 (Oversight) - Replies from 18 MS
WK 14891 2020 REV 1	05/03/2021	DORA - Comments received on PCY paper WK 14130/20 (Chapter Vs1) - Replies from 19 MS
WK 14898 2020 REV 1	05/03/2021	DORA - DE Presidency technical proposal - Replies from 15 MS
WK 3186 2021 INIT	05/03/2021	DORA: PCY discussion notes WK 507/2021 and WK 506/2021 - Replies from 23 MS
WK 3190 2021 INIT	05/03/2021	DORA: Presidency notes WK 1624/21 ,WK 1625/21, WK 1733/21, and ST 6107/21 REV1 - Replies from 23 MS
WK 3235 2021 INIT	08/03/2021	CZ, PL and IE non-paper on correlation of NIS authorities and NCA under DORA

Reference No.	Creation Date	Subject
WK 3836 2021 INIT	17/03/2021	Working Party on Financial Services (Digital Operational Resilience) - Virtual Meeting, 24 March 2021 - Draft Agenda
WK 3838 2021 INIT	17/03/2021	Presidency discussion Note - Testing and ICT risk management
WK 3839 2021 INIT	17/03/2021	Presidency discussion Note - ICT-RELATED INCIDENTS - Reporting and Supervisory feedback
WK 3839 2021 REV 1	18/03/2021	Presidency discussion Note - ICT-RELATED INCIDENTS - Reporting and Supervisory feedback
WK 4156 2021 INIT	25/03/2021	DORA - Presidency partial compromise proposal I
WK 4299 2021 INIT	29/03/2021	DORA: Presidency notes WK 2615/21 ,WK 2616/21, WK 2618/21 - Replies from 20 MS
WK 4452 2021 INIT	31/03/2021	Working Party on Financial Services (Digital Operational Resilience) - Virtual Meeting, 12 April 2021 - Draft Agenda
WK 4526 2021 INIT	31/03/2021	Proportionality
WK 4527 2021 INIT	31/03/2021	Scope and timeline of specific RTS and Entry into force and Application of DORA
WK 4299 2021 REV 1	08/04/2021	DORA: Presidency notes WK 2615/21 ,WK 2616/21, WK 2618/21 - Replies from 22 MS
WK 4674 2021 INIT	08/04/2021	DORA: Presidency notes WK 3838/21 ,WK 3839/21, WK 3235/21 - Replies from 22 MS
WK 4855 2021 INIT	12/04/2021	DORA - Presidency partial compromise proposal II
WK 4955 2021 INIT	14/04/2021	DORA - Presidency partial compromise proposal III
WK 4957 2021 INIT	14/04/2021	Amending directive accompanying Dora and Crypto proposals – Presidency compromise proposal I
WK 5349 2021 INIT	21/04/2021	Working Party on Financial Services (Digital Operational Resilience) - Virtual Meeting, 28 April 2021 - Draft Agenda
WK 5358 2021 INIT	21/04/2021	DORA: Presidency discussion note - Scope, contractual arrangements, oversight
WK 5361 2021 INIT	21/04/2021	DORA: Presidency discussion note - (re)insurance intermediaries
WK 5455 2021 INIT	22/04/2021	DORA: non-paper by DK, EE, ES, RO, SE on provisions concerning ICT infrastructures enabling retail payment clearing
WK 5460 2021 INIT	22/04/2021	DORA: non-paper by FR on personal scope of DORA regulation – Inclusion of payment card networks
WK 5556 2021 INIT	26/04/2021	DORA - Presidency partial compromise proposal I - Replies from 18 MS

Reference No.	Creation Date	Subject
WK 5850 2021 ADD 1	30/04/2021	DORA - Presidency partial compromise proposal IV - Explanation on proportionality
WK 5850 2021 INIT	30/04/2021	DORA - Presidency partial compromise proposal IV
WK 5998 2021 INIT	05/05/2021	Working Party on Financial Services (Digital Operational Resilience) - Virtual Meeting, 12 May 2021 - Draft Agenda
WK 6001 2021 INIT	05/05/2021	DORA: Presidency discussion note - Chapter III - Reporting requirements
WK 6002 2021 INIT	05/05/2021	DORA: Presidency discussion note - Chapter IV - Advanced testing
WK 5556 2021 REV 1	06/05/2021	DORA - Presidency partial compromise proposal I - Replies from 19 MS
WK 6060 2021 INIT	06/05/2021	DORA: Presidency notes WK 4526/21 and WK 4527/21 - Replies from 18 MS
WK 6066 2021 INIT	06/05/2021	DORA - Presidency partial compromise proposal II - Replies from 16 MS
WK 6419 2021 INIT	13/05/2021	DORA - Presidency First Global Compromise
WK 6420 2021 INIT	13/05/2021	DORA Global Amending Directive
WK 6420 2021 REV 1	17/05/2021	DORA Global Amending Directive
WK 6420 2021 REV 2	17/05/2021	DORA Global Amending Directive
WK 6524 2021 INIT	17/05/2021	Amending directive accompanying Dora and Crypto proposals - Presidency partial compromise proposal I - Replies from 11 MS
WK 6526 2021 INIT	17/05/2021	DORA - Presidency partial compromise proposal III - Replies from 10 MS
WK 6527 2021 INIT	17/05/2021	DORA - Presidency partial compromise proposal IV - Replies from 13 MS
WK 6533 2021 INIT	17/05/2021	DORA: SRB suggestions - Replies from 8 MS
WK 6535 2021 INIT	17/05/2021	DORA: Presidency notes WK 5358/21 and WK 5361/21 - Replies from 20 MS
WK 6702 2021 INIT	19/05/2021	DORA: Presidency discussion note - EDPS Opinion
WK 6704 2021 INIT	19/05/2021	DORA: Presidency discussion note - Proportionality
WK 6712 2021 INIT	19/05/2021	DORA: Presidency discussion note - General Issues
WK 6713 2021 INIT	19/05/2021	Working Party on Financial Services (Digital Operational Resilience) - Virtual Meeting, 26 May 2021 - Draft Agenda

Reference No.	Creation Date	Subject
WK 6712 2021 REV 1	20/05/2021	DORA: Presidency discussion note - General Issues
WK 6527 2021 REV 1	21/05/2021	DORA - Presidency partial compromise proposal IV - Replies from 15 MS
WK 6844 2021 INIT	21/05/2021	DORA: Presidency notes WK 6001/21 and WK 6002/21 - Replies from 16 MS
WK 6855 2021 INIT	21/05/2021	DORA: Presidency notes WK 6001/21 and WK 6002/21 - Replies from 2 MS
WK 6970 2021 INIT	26/05/2021	Drafting suggestions by FR, DK, EE, ES, FI, HU, RO, SE for the inclusion of payment systems in the scope of DORA
WK 6993 2021 INIT	27/05/2021	DORA - Presidency Second Global Compromise
WK 7079 2021 INIT	28/05/2021	DORA - Presidency global compromise proposal I - Replies from 19 MS
WK 6844 2021 REV 1	02/06/2021	DORA: Presidency notes WK 6001/21 and WK 6002/21 - Replies from 17 MS
WK 7674 2021 ADD 1	09/06/2021	DORA: Amending Directive - Presidency Revised Compromise proposal
WK 7674 2021 INIT	09/06/2021	DORA - Presidency Revised Compromise proposal
WK 7918 2021 INIT	15/06/2021	DORA: Presidency notes WK 6702/21, 6704/21 and WK 6712/21 - Replies from 20 MS
WK 7920 2021 INIT	15/06/2021	DORA - Presidency Second Global Compromise, Replies from 22 MS
WK 7921 2021 INIT	15/06/2021	Amending directive accompanying Dora and Crypto proposals - Presidency partial compromise proposal II - Replies from 9 MS
WK 8260 2021 ADD 1	21/06/2021	Amending Directive: Presidency revised compromise text (global)
