



Council of the  
European Union

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**COVER NOTE**

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From:	Mr Johannes HAHN, Member of the European Commission
date of receipt:	29 October 2021
To:	Ms Irena DRMAŽ, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 28/2021 within Section III - Commission - of the general budget for 2021

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Delegations will find attached Commission document DEC 28/2021.

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Encl.: DEC 28/2021



BRUSSELS, 29/10/2021

GENERAL BUDGET - 2021  
SECTION III - COMMISSION TITLES: 16, 30

TRANSFER OF APPROPRIATIONS N° **DEC 28/2021**

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**FROM**

**CHAPTER** - 3004 Solidarity mechanisms (special instruments)

ARTICLE - 30 04 01 Solidarity and emergency aid reserve (SEAR)

Commitments	-277 866 852,00
Payments	-277 866 852,00

**TO**

**CHAPTER** - 1602 Mobilisation of solidarity mechanisms (Special instruments)

ITEM - 16 02 01 01 Assistance to Member States in relation to events eligible under the European Union Solidarity Fund (EUSF)

Commitments	277 866 852,00
Payments	277 866 852,00

The present transfer relates to the proposal for Decision on the mobilisation of the European Union Solidarity Fund to provide assistance to Croatia in relation to the series of earthquakes starting from 28 December 2020 in accordance with Council Regulation (EC) No 2012/2002 of 11 November 2002 establishing the European Union Solidarity Fund, as amended by Regulation (EU) No 661/2014 of the European Parliament and the Council of 15 May 2014, and by Regulation (EU) No 461/2020 of the European Parliament and the Council of 30 March 2020.

## I. DECREASE

### I.1

#### a) Heading

#### **30 04 01 - Solidarity and emergency aid reserve (SEAR)**

#### b) Figures at 19/10/2021

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations in budget (Initial Budget + AB)	1 223 450 000,00	1 223 450 000,00
2 Transfers	-904 806 875,00	-883 043 750,00
3 Total appropriations for the year (1+2)	318 643 125,00	340 406 250,00
4 Appropriations already used	0,00	0,00
<b>5 Appropriations available (3-4)</b>	<b>318 643 125,00</b>	<b>340 406 250,00</b>
<b>6 Decrease proposed</b>	<b>277 866 852,00</b>	<b>277 866 852,00</b>
<b>7 Total appropriations up to year end (5-6)</b>	<b>40 776 273,00</b>	<b>62 539 398,00</b>
8 Decrease as percentage of appropriations in budget (6/1)	22,71 %	22,71 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

#### c) Receipts arising from recovery (carried over from previous year)

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	0,00	0,00
2 Appropriations available on 19/10/2021	0,00	0,00
3 Implementation rate [(1-2)/1]	n/a	n/a

#### d) Detailed grounds for the decrease

According to article 9 of the Multiannual Financial Framework Regulation<sup>1</sup>, the Solidarity and Emergency Aid Reserve (SEAR) is intended to allow for

- (a) assistance to respond to emergency situations resulting from major disasters that are covered by the European Union Solidarity Fund, the objectives and scope of which are set out in Council Regulation (EC) No 2012/2002; and
- (b) rapid responses to specific emergency needs within the Union or in third countries following events which could not be foreseen when the budget was established, in particular for emergency responses and support operations following natural disasters not covered by point (a), man-made disasters, humanitarian crises in cases of large-scale public health, veterinary or phytosanitary threats, as well as in situations of particular pressure at the Union's external borders resulting from migratory flows, where circumstances so require.

Point 10 of the Interinstitutional Agreement of 16 December 2020 between the European Parliament, the Council of the European Union and the European Commission on budgetary discipline, on cooperation on budgetary matters and on sound financial management, as well as on new own resources, including a roadmap towards the introduction of new own resources (IIA), lays down the modalities for the mobilisation of the European Union Solidarity Fund (EUSF) in the context of the SEAR.

<sup>1</sup> Council Regulation (EU, EURATOM) No 2020/2093 of 17 December 2020

## **II. INCREASE**

### **II.1**

#### **a) Heading**

**16 02 01 01 - Assistance to Member States in relation to events eligible under the European Union Solidarity Fund (EUSF)**

#### **b) Figures at 19/10/2021**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations in budget (Initial Budget + AB)	97 981 598,00	97 981 598,00
2 Transfers	414 470 698,00	414 470 698,00
3 Total appropriations for the year (1+2)	512 452 296,00	512 452 296,00
4 Appropriations already used	236 219 375,00	233 362 350,00
<b>5 Appropriations available (3-4)</b>	<b>276 232 921,00</b>	<b>279 089 946,00</b>
<b>6 Increase requested</b>	<b>277 866 852,00</b>	<b>277 866 852,00</b>
<b>7 Total appropriations up to year end (5+6)</b>	<b>554 099 773,00</b>	<b>556 956 798,00</b>
8 Increase as percentage of appropriations in budget (6/1)	283,59 %	283,59 %
9 Increase, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

#### **c) Receipts arising from recovery (carried over from previous year)**

	<b>Commitments</b>	<b>Payments</b>
1 Appropriations available at start of the year	0,00	0,00
2 Appropriations available on 19/10/2021	0,00	0,00
3 Implementation rate [(1-2)/1]	n/a	n/a

#### **d) Detailed grounds for the increase**

The reinforcement of EUR 277,9 million in commitment and payment appropriations will cover the needs arising from the Commission's proposal to mobilise financial contribution from the European Union Solidarity Fund (EUSF) to provide assistance to Croatia further to the series of earthquakes that started from 28 December 2020.

The total EUSF mobilisation following the application from Croatia amounts to EUR 319,2 million. In line with Article 4a of the EUSF Regulation, once the definitive amount of the financial contribution has been determined, the Commission shall take into account the sum of the advance prior to the balance of the financial contribution being paid. The Commission has already paid an advance of EUR 41,3 million to Croatia. Therefore, the balance payment amounts to EUR 277,9 million.

## ANNEX

### COMMISSION TRANSFER PROPOSALS RELATED TO THE SOLIDARITY AND EMERGENCY AID RESERVE IN 2021

The tables below show the transfer proposals transmitted to the Budgetary Authority to date during 2021, which relate to the Solidarity and Emergency Aid Reserve (SEAR), and the remaining amount under the SEAR reserve following the approval of these proposals.

Transfer Ref	Content	Commitment Appropriations carried-over Reserve (EUR)	Commitment Appropriations 2021 Reserve (EUR)				
			Total	Internal (excluding EUSF)	EUSF	External	End-of-Year Cushion (25%)
	<b>General Budget 2021 - Initial appropriations</b>	<b>0</b>	<b>1.273.450.000</b>	<b>143.263.125</b>	<b>477.543.750</b>	<b>334.280.625</b>	<b>318.362.500</b>
	General Budget 2021 - EUSF advances (Article 4a EUSF Regulation)		-50.000.000		-50.000.000		
	DAB2 carryover EUSF	47.981.598			47.981.598		
	DAB2 mobilisation EUSF	-47.981.598			-47.981.598		
	Use end-of-year cushion				277.866.852		-277.866.852
DEC 02	Mobilisation of the SEAR to support the roll out of Covid-19 vaccination in Africa		100.000.000			100.000.000	
DEC 03	Mobilisation of the EUSF to provide assistance to Greece and France in relation to regional natural disasters and to Albania, Austria, Belgium, Croatia, Czechia, Estonia, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Montenegro, Portugal, Romania, Serbia, Spain in response to the major public health emergency caused by the COVID-19 pandemic in early 2020		427.543.750		427.543.750		
DEC 05	Mobilisation of the SEAR for new Covid-19 actions under ESI and ECDC		121.500.000	121.500.000			
DEC 12	Mobilisation of the SEAR related to famine and food insecurity in Yemen, Africa, Afghanistan and Venezuela		204.000.000			204.000.000	
DEC 17	Mobilisation of the SEAR for Tigray		30.000.000			30.000.000	
DEC 18	Mobilisation of the SEAR for migration Lithuania		21.763.125	21.763.125			
DEC 28	Mobilisation of the SEAR for Croatia further to earthquakes		277.866.852		277.866.852		
	<b>Total DEC transfer proposals</b>	<b>0</b>	<b>1.182.673.727</b>	<b>143.263.125</b>	<b>705.410.602</b>	<b>334.000.000</b>	<b>0</b>
	<b>Remainder</b>	<b>0</b>	<b>40.776.273</b>	<b>0</b>	<b>0</b>	<b>280.625</b>	<b>40.495.648</b>
	<b>Total remainder of commitment appropriations</b>	<b>40.776.273</b>					

Transfer Ref	Content	Payment Appropriations carried-over Reserve (EUR)	Payment Appropriations 2021 Reserve				
			Total	Internal (excluding EUSF)	EUSF	External	End-of-Year Cushion (25%)
	<b>General Budget 2021 - Initial appropriations</b>	<b>0</b>	<b>1.273.450.000</b>	<b>143.263.125</b>	<b>477.543.750</b>	<b>334.280.625</b>	<b>318.362.500</b>
	General Budget 2021 - EUSF advances (Article 4a (4) EUSF Regulation)		-50.000.000		-50.000.000		
	DAB2 carryover EUSF	47.981.598			47.981.598		
	DAB2 mobilisation EUSF	-47.981.598			-47.981.598		
	Use end-of-year cushion				277.866.852		-277.866.852
DEC 02	Mobilisation of the SEAR to support the roll out of Covid-19 vaccination in Africa		100.000.000			100.000.000	
DEC 03	Mobilisation of the EUSF to provide assistance to Greece and France in relation to regional natural disasters and to Albania, Austria, Belgium, Croatia, Czechia, Estonia, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Montenegro, Portugal, Romania, Serbia, Spain in response to the major public health emergency caused by the COVID-19 pandemic in early 2020		427.543.750		427.543.750		
DEC 05	Mobilisation of the SEAR for new Covid-19 actions under ESI and ECDC		121.500.000	121.500.000			
DEC 12	Mobilisation of the SEAR related to famine and food insecurity in Yemen, Africa, Afghanistan and Venezuela		204.000.000			204.000.000	
DEC 17	Mobilisation of the SEAR for Tigray		30.000.000			30.000.000	
DEC 28	Mobilisation of the SEAR for Croatia further to earthquakes		277.866.852		277.866.852		
	<b>Total DEC transfer proposals</b>	<b>0</b>	<b>1.160.910.602</b>	<b>121.500.000</b>	<b>705.410.602</b>	<b>334.000.000</b>	<b>0</b>
	<b>Remainder</b>	<b>0</b>	<b>62.539.398</b>	<b>21.763.125</b>	<b>0</b>	<b>280.625</b>	<b>40.495.648</b>
	<b>Total remainder of payment appropriations</b>	<b>62.539.398</b>					