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COVER NOTE

From:	Mr Johannes HAHN, Member of the European Commission
date of receipt:	9 November 2021
To:	Ms Irena DRMAŽ, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 29/2021 within Section III - Commission - of the general budget for 2021

Delegations will find attached Commission document DEC 29/2021.

Encl.: DEC 29/2021



BRUSSELS, 09/11/2021

GENERAL BUDGET - 2021

SECTION III - COMMISSION TITLES: 10, 11, 12, 14

TRANSFER OF APPROPRIATIONS N° DEC 29/2021

FROM

CHAPTER - 1002 Asylum, Migration and Integration Fund (AMIF)

ARTICLE - 10 02 01 Asylum, Migration and Integration Fund	Payments	-23 332 623,00
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ITEM - 10 02 99 01 Completion of previous actions in the areas of migration (prior to 2021)	Payments	-19 067 377,00
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CHAPTER - 1102 Integrated Border Management Fund (IBMF) -- Instrument for financial support for border management and visa

ARTICLE - 11 02 01 Instrument for financial support for border management and visa)	Payments	-33 600 000,00
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CHAPTER - 1210 Decentralised agencies

ARTICLE - 12 10 01 European Union Agency for Law Enforcement Cooperation (Europol)	Payments	-4 000 000,00
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CHAPTER - 1402 Neighbourhood, Development and International Cooperation Instrument (NDICI)

ITEM - 14 02 01 30 Middle East and Central Asia	Commitments	-62 000 000,00
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TO

CHAPTER - 1403 Humanitarian Aid

ARTICLE - 14 03 01 Humanitarian aid	Commitments	62 000 000,00
	Payments	80 000 000,00

I. DECREASE

I.1

a) Heading

10 02 01 - Asylum, Migration and Integration Fund

b) Figures at 04/11/2021

	Payments
1 Appropriations in budget (Initial Budget + AB)	358 838 000,00
2 Transfers	-267 037 414,40
3 Total appropriations for the year (1+2)	91 800 585,60
4 Appropriations already used	40 667 377,51
5 Appropriations available (3-4)	51 133 208,09
6 Decrease proposed	23 332 623,00
7 Total appropriations up to year end (5-6)	27 800 585,09
8 Decrease as percentage of appropriations in budget (6/1)	6,50 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Payments
1 Appropriations available at start of the year	0,00
2 Appropriations available on 04/11/2021	0,00
3 Implementation rate [(1-2)/1]	n/a

d) Detailed grounds for the decrease

Based on currently available information, no pre-financing payments are expected on shared management national programmes and on Union Actions. This is due to the delay in the adoption of the legal basis and the subsequent expected delay in the adoption of national programmes.

Therefore, EUR 23,3 million in payments appropriations can be made available for humanitarian assistance in Afghanistan.

I.2

a) Heading

10 02 99 01 - Completion of previous actions in the areas of migration (prior to 2021)

b) Figures at 04/11/2021

	Payments
1 Appropriations in budget (Initial Budget + AB)	939 510 000,00
2 Transfers	267 000 000,00
3 Total appropriations for the year (1+2)	1 206 510 000,00
4 Appropriations already used	1 016 818 607,51
5 Appropriations available (3-4)	189 691 392,49
6 Decrease proposed	19 067 377,00
7 Total appropriations up to year end (5-6)	170 624 015,49
8 Decrease as percentage of appropriations in budget (6/1)	2,03 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Payments
1 Appropriations available at start of the year	2 162 570,12
2 Appropriations available on 04/11/2021	0,00
3 Implementation rate [(1-2)/1]	100,00 %

d) Detailed grounds for the decrease

The identified surplus in payments appropriations is due to the delays in submission of final reports and projects deliverables, as well as to corrections applied by auditors in the framework of the second clearance of accounts.

Therefore, EUR 19,1 million in payments appropriations can be made available for humanitarian assistance in Afghanistan.

I.3

a) Heading

11 02 01 - Instrument for financial support for border management and visa)

b) Figures at 04/11/2021

	Payments
1 Appropriations in budget (Initial Budget + AB)	92 121 429,00
2 Transfers	-11 022 864,59
3 Total appropriations for the year (1+2)	81 098 564,41
4 Appropriations already used	0,00
5 Appropriations available (3-4)	81 098 564,41
6 Decrease proposed	33 600 000,00
7 Total appropriations up to year end (5-6)	47 498 564,41
8 Decrease as percentage of appropriations in budget (6/1)	36,47 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Payments
1 Appropriations available at start of the year	0,00
2 Appropriations available on 04/11/2021	0,00
3 Implementation rate [(1-2)/1]	n/a

d) Detailed grounds for the decrease

Based on currently available information, no pre-financing payments are expected on shared management national programmes and on Union Actions. This is due to the delay in the adoption of the legal basis and the subsequent expected delay in the adoption of national programmes.

Therefore, EUR 33,6 million in payments appropriations can be made available for humanitarian assistance in Afghanistan.

I.4

a) Heading

12 10 01 - European Union Agency for Law Enforcement Cooperation (Europol)

b) Figures at 04/11/2021

	Payments
1 Appropriations in budget (Initial Budget + AB)	170 600 706,00
2 Transfers	0,39
3 Total appropriations for the year (1+2)	170 600 706,39
4 Appropriations already used	127 359 642,89
5 Appropriations available (3-4)	43 241 063,50
6 Decrease proposed	4 000 000,00
7 Total appropriations up to year end (5-6)	39 241 063,50
8 Decrease as percentage of appropriations in budget (6/1)	2,34 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Payments
1 Appropriations available at start of the year	2 363 547,61
2 Appropriations available on 04/11/2021	0,00
3 Implementation rate [(1-2)/1]	100,00 %

d) Detailed grounds for the decrease

Europol had a lower than expected spending on missions, meetings and trainings budget, as result of the on-going COVID-19 measures. The Agency had expected that for the second half of 2021 the COVID-19 measures would be less strict, which would have allowed more trainings, meetings and missions. This is not currently the case.

Therefore, EUR 4 million in payments appropriations can be made available for humanitarian assistance in Afghanistan.

I.5

a) Heading

14 02 01 30 - Middle East and Central Asia

b) Figures at 04/11/2021

	Commitments
1 Appropriations in budget (Initial Budget + AB)	389 490 660,00
2 Transfers	-37 936 330,00
3 Total appropriations for the year (1+2)	351 554 330,00
4 Appropriations already used	0,00
5 Appropriations available (3-4)	351 554 330,00
6 Decrease proposed	62 000 000,00
7 Total appropriations up to year end (5-6)	289 554 330,00
8 Decrease as percentage of appropriations in budget (6/1)	15,92 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Commitments
1 Appropriations available at start of the year	0,00
2 Appropriations available on 04/11/2021	3 482 821,94
3 Implementation rate [(1-2)/1]	n/a

d) Detailed grounds for the decrease

Following the takeover of the country by the Taliban, international development assistance to Afghanistan can no longer be provided through government channels. Only part of the assistance initially planned for bilateral cooperation with Afghanistan can be provided, as it will be channelled through International Organisations. At the same time, it is necessary to take immediate action to support the enormous and acute humanitarian aid needs and to avoid a total collapse of the country.

Therefore, it is proposed to transfer EUR 62 million in commitments appropriations to the Humanitarian aid budget line 14 03 01 to support the humanitarian assistance in Afghanistan.

II. INCREASE

II.1

a) Heading

14 03 01 - Humanitarian aid

b) Figures at 04/11/2021

	Commitments	Payments
1 Appropriations in budget (Initial Budget + AB)	1 416 512 450,00	1 820 000 000,00
2 Transfers	451 035 655,43	401 000 000,00
3 Total appropriations for the year (1+2)	1 867 548 105,43	2 221 000 000,00
4 Appropriations already used	1 725 165 655,43	2 028 020 529,79
5 Appropriations available (3-4)	142 382 450,00	192 979 470,21
6 Increase requested	62 000 000,00	80 000 000,00
7 Total appropriations up to year end (5+6)	204 382 450,00	272 979 470,21
8 Increase as percentage of appropriations in budget (6/1)	4,38 %	4,40 %
9 Increase, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

c) Receipts arising from recovery (carried over from previous year)

	Commitments	Payments
1 Appropriations available at start of the year	154 184,80	7 514,62
2 Appropriations available on 04/11/2021	141 184,80	0,00
3 Implementation rate [(1-2)/1]	8,43 %	100,00 %

d) Detailed grounds for the increase

The humanitarian situation in Afghanistan and in neighbouring countries is drastically deteriorating, calling for an urgent assistance to prevent more dramatic consequences.

Humanitarian needs in Afghanistan are huge and are expected to further increase in the coming weeks and months, adding to the already very dire situation following decades of conflict, drought and the COVID-19 pandemic.

The international organisations estimate that more than 1 million children are at risk of dying from starvation during the coming winter. The public sector is in a state of collapse, as salaries for public teachers and health workers in clinics and hospitals are not being paid. There is not enough food in the country, with the crops 40% lower in 2021 due to the drought. The food security situation is therefore further deteriorating. In October, the people considered to be highly food insecure were estimated at 18,8 million. It is expected that their number will reach 22,8 million people (55% of the population) in November.

The Commission intends to step up and enlarge the scope of its response, including the provision of basic emergency services in relation to food, nutrition, health, education, protection and logistics, as well as better preparing the population for the winter. In addressing immediate and intermediate needs, the Commission will build on and expand existing partnership agreements with International Organisations and Non-government Organisations, thus increasing coverage to the most affected vulnerable population.

The Commission has identified and proposes for redeployment EUR 62 million in commitment appropriations from the instrument Neighbourhood, Development and International Cooperation Instrument - Global Europe (NDICI-GE), and EUR 80 million in payment appropriations from the instrument Asylum, Migration and Integration Fund, the instrument for border management and visa and European Union Agency for Law Enforcement Cooperation, to support the humanitarian assistance in Afghanistan.

The present transfer is complemented by a Commission autonomous transfer of EUR 38 million in commitment appropriations from NDICI-GE "Middle East and Central Asia" budget line, in order to respond to the immediate needs and reach the total reinforcement of EUR 100 million in humanitarian assistance.

This reinforcement is in line with the announcement, in the Commission's State of the Union address, that humanitarian aid for the crisis in Afghanistan would be increased by EUR 100 million from the previously announced EUR 205 million.

As of 3 November, the overall implementation rate of commitment appropriations of the Humanitarian aid chapter 14 03 is at 90,56% in commitment appropriations and 91,06% in payment appropriations.