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COVER NOTE

From:	Mr Johannes HAHN, Member of the European Commission
date of receipt:	23 March 2022
To:	Mr Bruno LE MAIRE, President of the Council of the European Union
Subject:	Proposal for transfer of appropriations No DEC 09/2022 within Section III - Commission - of the general budget for 2022

Delegations will find attached Commission document DEC 09/2022.

Encl.: DEC 09/2022



BRUSSELS, 23/03/2022

GENERAL BUDGET - 2022
SECTION III - COMMISSION TITLES: 14, 15, 30

TRANSFER OF APPROPRIATIONS N° DEC 09/2022

FROM

CHAPTER - 1502 Instrument for Pre-accession Assistance (IPA III)

ITEM - 15 02 02 02 Transition to the rules of the Union	Commitments	-11 066 559,56
ITEM - 15 02 99 01 Completion of previous instruments for pre-accession assistance (prior to 2021)	Payments	-1 066 559,56

CHAPTER - 3004 Solidarity mechanisms (special instruments)

ARTICLE - 30 04 01 Solidarity and emergency aid reserve (SEAR) (Approp carried over to commit up to 31/12)	Commitments	-38 933 440,44
	Payments	-38 933 440,44

TO

CHAPTER - 1403 Humanitarian Aid

ARTICLE - 14 03 01 Humanitarian aid (Approp carried over to commit up to 31/12)	Commitments	38 933 440,44
	Payments	38 933 440,44
ARTICLE - 14 03 01 Humanitarian aid	Commitments	11 066 559,56
	Payments	1 066 559,56

Following Russia's invasion of Ukraine on 24 February 2022, the humanitarian situation in the country is deteriorating rapidly. Humanitarian assistance partners are already responding all over the country and are scaling up their response. Additional funding is requested urgently while Ukraine is facing an unprecedented humanitarian catastrophe.

As of mid-March, the number of people stranded in conflict-affected areas has risen to 12,7 million. The UN Refugee Agency estimates that 1,9 million people are newly internally displaced and over 2,8 million people have fled the country. According to United Nations Office for the Coordination of Humanitarian Affairs, the most concerning humanitarian situation is seen in the biggest cities currently under siege or nearly completely cut off (including Kiev, Mariupol, Kharkiv and Kherson) and throughout the contact line in Donbas.

Emergency assistance is already delivered in various parts of the country, including food and non-food items, emergency shelter support, water, hygiene kits, health and protection support. On 1 March 2022, the UN launched an appeal of USD 1,7 billion.

The present transfer proposes to reinforce the Humanitarian Aid by **EUR 50 million** in commitment and **EUR 40 million** in payment appropriations to help the affected people with food and non-food items, emergency medical services, critical medicines, health supplies and equipment, psychosocial support, child protection, drinking water and hygiene kits, shelter, and protection for the persons affected by the conflict.

As of mid-March, the overall implementation rate of commitment appropriations of the humanitarian aid chapter 14 03 was at 89% of available appropriations and the implementation in payment appropriations was at 8,9%. On 12 March 2022, EUR 90,7 million have already been contracted for Ukraine. The Commission has identified available resources in Heading 6 for EUR 11 million in commitment and EUR 1 million in payment appropriations from the Instrument for Pre-Accession. In addition, it is requested to mobilise the Solidarity and Emergency Aid Reserve for a total of EUR 39 million in commitment and payment appropriations.

I. DECREASE

I.1

a) Heading

15 02 02 02 - Transition to the rules of the Union

b) Figures at 21/03/2022

	Commitments
1 Appropriations in budget (Initial Budget + AB)	113 000 000,00
2 Transfers	0,00
3 Total appropriations for the year (1+2)	113 000 000,00
4 Appropriations already used	0,00
5 Appropriations available (3-4)	113 000 000,00
6 Decrease proposed	11 066 559,56
7 Total appropriations up to year end (5-6)	101 933 440,44
8 Decrease as percentage of appropriations in budget (6/1)	9,79 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Commitments
1 Appropriations available at start of the year	18 131 721,71
2 Appropriations available on 21/03/2022	18 131 721,71
3 Implementation rate [(1-2)/1]	0,00 %

d) Detailed grounds for the decrease

Due to recoveries made under previous commitments, an amount of EUR 11 million in commitment appropriations can be made available from Transition to the rules of the European Union part of the Instrument for Pre-Accession Assistance in order to cover for urgent needs in Heading 6.

I.2

a) Heading

15 02 99 01 - Completion of previous instruments for pre-accession assistance (prior to 2021)

b) Figures at 21/03/2022

	Payments
1 Appropriations in budget (Initial Budget + AB)	1 734 568 865,00
2 Transfers	0,00
3 Total appropriations for the year (1+2)	1 734 568 865,00
4 Appropriations already used	208 416 446,80
5 Appropriations available (3-4)	1 526 152 418,20
6 Decrease proposed	1 066 559,56
7 Total appropriations up to year end (5-6)	1 525 085 858,64
8 Decrease as percentage of appropriations in budget (6/1)	0,06 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a

c) Receipts arising from recovery (carried over from previous year)

	Payments
1 Appropriations available at start of the year	5 487 771,69
2 Appropriations available on 21/03/2022	241 281,66
3 Implementation rate [(1-2)/1]	95,60 %

d) Detailed grounds for the decrease

A surplus of EUR 1 million in payment appropriations has been identified, which can be made available from this completion line to cover urgent needs under Heading 6.

I.3

a) Heading

30 04 01 - Solidarity and emergency aid reserve (SEAR) (Appropriations carried over to commit up to 31/12)

b) Figures at 21/03/2022

	Commitments	Payments
1 Appropriations in budget (Appropriations carried over to commit up to 31/12)	40 776 273,00	62 539 398,00
2 Transfers	0,00	0,00
3 Total appropriations for the year (1+2)	40 776 273,00	62 539 398,00
4 Appropriations already used	0,00	0,00
5 Appropriations available (3-4)	40 776 273,00	62 539 398,00
6 Decrease proposed	38 933 440,44	38 933 440,44
7 Total appropriations up to year end (5-6)	1 842 832,56	23 605 957,56
8 Decrease as percentage of appropriations in budget (6/1)	95,48 %	62,25 %
9 Decrease, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

c) Receipts arising from recovery (carried over from previous year)

	Commitments	Payments
1 Appropriations available at start of the year	0,00	0,00
2 Appropriations available on 21/03/2022	0,00	0,00
3 Implementation rate [(1-2)/1]	n/a	n/a

d) Detailed grounds for the decrease

According to article 9 of the Multiannual Financial Framework Regulation, the Solidarity and Emergency Aid Reserve (SEAR) is intended to allow for

- (a) assistance to respond to emergency situations resulting from major disasters that are covered by the European Union Solidarity Fund, the objectives and scope of which are set out in Council Regulation (EC) No 2012/2002; and
- (b) rapid responses to specific emergency needs within the Union or in third countries following events which could not be foreseen when the budget was established, in particular for emergency responses and support operations following natural disasters not covered by point (a), man-made disasters, humanitarian crises in cases of large-scale public health, veterinary or phytosanitary threats, as well as in situations of particular pressure at the Union's external borders resulting from migratory flows, where circumstances so require.

II. INCREASE

II.1

a) Heading

14 03 01 - Humanitarian aid (Appropriations carried over to commit up to 31/12)

b) Figures at 21/03/2022

	Commitments	Payments
1 Appropriations in budget (Appropriations carried over to commit up to 31/12)	0,00	0,00
2 Transfers	0,00	0,00
3 Total appropriations for the year (1+2)	0,00	0,00
4 Appropriations already used	0,00	0,00
5 Appropriations available (3-4)	0,00	0,00
6 Increase requested	38 933 440,44	38 933 440,44
7 Total appropriations up to year end (5+6)	38 933 440,44	38 933 440,44
8 Increase as percentage of appropriations in budget (6/1)	n/a	n/a
9 Increase, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

c) Receipts arising from recovery (carried over from previous year)

	Commitments	Payments
1 Appropriations available at start of the year	1 906 535,33	79 890,92
2 Appropriations available on 21/03/2022	1 896 535,33	0,00
3 Implementation rate [(1-2)/1]	0,52 %	100,00 %

d) Detailed grounds for the increase

In view of the humanitarian situation rapidly deteriorating in Ukraine, EUR 50 million in commitment and EUR 40 million in payment appropriations are needed to help the affected people with food and non-food items, emergency medical services, critical medicines, health supplies and equipment, psycho-social support, child protection, drinking water and hygiene kits, shelter, and protection for the persons affected by the conflict.

Only part of the necessary reinforcement can be covered by available resources in Heading 6. Therefore, the Commission requests the mobilisation of the Solidarity and Emergency Aid Reserve for a total of EUR 39 million in commitment and payment appropriations.

II.2

a) Heading

14 03 01 - Humanitarian aid

b) Figures at 21/03/2022

	Commitments	Payments
1 Appropriations in budget (Initial Budget + AB)	1 717 901 913,00	2 008 851 440,00
2 Transfers	23 740 000,00	23 740 000,00
3 Total appropriations for the year (1+2)	1 741 641 913,00	2 032 591 440,00
4 Appropriations already used	1 492 591 913,00	217 746 342,59
5 Appropriations available (3-4)	249 050 000,00	1 814 845 097,41
6 Increase requested	11 066 559,56	1 066 559,56
7 Total appropriations up to year end (5+6)	260 116 559,56	1 815 911 656,97
8 Increase as percentage of appropriations in budget (6/1)	0,64 %	0,05 %
9 Increase, as a percentage of the final appropriations for the year, in the sum of transfers referred to in Article 30(1)(b) of the Financial Regulation	n/a	n/a

c) Receipts arising from recovery (carried over from previous year)

	Commitments	Payments
1 Appropriations available at start of the year	1 906 535,33	79 890,92
2 Appropriations available on 21/03/2022	1 896 535,33	0,00
3 Implementation rate [(1-2)/1]	0,52 %	100,00 %

d) Detailed grounds for the increase

In view of the humanitarian situation rapidly deteriorating in Ukraine, EUR 50 million in commitment and EUR 40 million in payment appropriations are needed to help the affected people with food and non-food items, emergency medical services, critical medicines, health supplies and equipment, psycho-social support, child protection, drinking water and hygiene kits, shelter, and protection for the persons affected by the conflict.

The Commission has identified available redeployments in Heading 6 for EUR 11 million in commitment and EUR 1 million in payment appropriations from the Instrument for Pre-Accession.

ANNEX

COMMISSION TRANSFER PROPOSALS RELATED TO THE SOLIDARITY AND EMERGENCY AID RESERVE IN 2022

The tables below show the transfer proposals transmitted to the Budgetary Authority to date during 2022, which relate to the Solidarity and Emergency Aid Reserve (SEAR), and the remaining amount under the SEAR reserve following the approval of these proposals.

Commitment Appropriations 2022 Reserve (EUR)

Transfer Ref	Content	TOTAL	carried over	voted budget	Internal (excluding EUSF)	EUSF	External*	End-of-Year Cushion (25%)
	General Budget 2022 - Initial appropriations	1.339.695.273	40.776.273	1.298.919.000	152.244.828	507.482.761	355.237.934	324.729.750
	General Budget 2022 - EUSF advances (Article 4a EUSF Regulation)	-50.000.000		-50.000.000		-50.000.000		
DEC 09	Mobilisation of the SEAR for humanitarian aid for the crisis in Ukraine and neighbouring countries	38.933.440	38.933.440				38.933.440	
	Total DEC transfer proposals	38.933.440	38.933.440	0	0	0	38.933.440	0
	Remainder	1.250.761.833	1.842.833	1.248.919.000	152.244.828	457.482.761	316.304.494	324.729.750

Payment Appropriations 2022 Reserve (EUR)

Transfer Ref	Content	TOTAL	carried over	voted budget	Internal (excluding EUSF)	EUSF	External*	End-of-Year Cushion (25%)
	General Budget 2022 - Initial appropriations	1.361.458.398	62.539.398	1.298.919.000	155.509.297	518.364.324	362.855.027	324.729.750
	General Budget 2022 - EUSF advances (Article 4a EUSF Regulation)	-50.000.000		-50.000.000		-50.000.000		
DEC 09	Mobilisation of the SEAR for humanitarian aid for the crisis in Ukraine and neighbouring countries	38.933.440	38.933.440				38.933.440	
	Total DEC transfer proposals	38.933.440	38.933.440	0	0	0	38.933.440	0
	Remainder	1.272.524.958	23.605.958	1.248.919.000	155.509.297	468.364.324	323.921.587	324.729.750

* of which EUR 211 million earmarked for floods