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## COVER NOTE

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From: Secretary-General of the European Commission, signed by Ms Martine DEPREZ, Director

date of receipt: 20 May 2026

To: Ms Thérèse BLANCHET, Secretary-General of the Council of the European Union

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No. Cion doc.: COM(2026) 198 final

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Subject: Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL amending Regulation (EU) 2017/2107 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), Regulation (EU) 2018/975 laying down management, conservation and control measures applicable in the South Pacific Regional Fisheries Management Organisation (SPRFMO) Convention Area, Regulation (EU) 2019/833 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, Regulation (EU) 2021/56 laying down management, conservation and control measures applicable in the Inter-American Tropical Tuna Convention area, Regulation (EU) 2022/2056 laying down conservation and management measures applicable in the Western and Central Pacific Fisheries Convention Area, Regulation (EU) 2022/2343 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, and Regulation (EU) 2023/2053 establishing a multiannual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean

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Delegations will find attached document COM(2026) 198 final.

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Encl.: COM(2026) 198 final



Brussels, 20.5.2026  
COM(2026) 198 final

2026/0111 (COD)

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**amending Regulation (EU) 2017/2107 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), Regulation (EU) 2018/975 laying down management, conservation and control measures applicable in the South Pacific Regional Fisheries Management Organisation (SPRFMO) Convention Area, Regulation (EU) 2019/833 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, Regulation (EU) 2021/56 laying down management, conservation and control measures applicable in the Inter-American Tropical Tuna Convention area, Regulation (EU) 2022/2056 laying down conservation and management measures applicable in the Western and Central Pacific Fisheries Convention Area, Regulation (EU) 2022/2343 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, and Regulation (EU) 2023/2053 establishing a multiannual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean**

## EXPLANATORY MEMORANDUM

### 1. CONTEXT OF THE PROPOSAL

#### • Reasons for and objectives of the proposal

The purpose of the proposal is to implement into Union law certain measures adopted by the following Regional Fisheries Management Organisations (RFMOs): the International Commission for the Conservation of Atlantic Tunas (ICCAT); the South Pacific Fisheries Management Organisation (SPRFMO); the Northwest Atlantic Fisheries Organisation (NAFO); the Inter-American Tropical Tuna Commission (IATTC); the Western and Central Pacific Fisheries Commission (WCPFC) and the Indian Ocean Tuna Commission (IOTC).

ICCAT is the RFMO responsible for managing tuna and tuna-like fishery resources in the Atlantic and the Mediterranean. ICCAT has the authority to adopt recommendations for the conservation and management of the fisheries under its purview, which are binding for the Contracting Parties. Those recommendations are essentially addressed to the Contracting Parties to the Convention, but also contain obligations for operators (e.g. vessel masters) which apply exclusively to the ICCAT Convention area, covering the high seas and exclusive economic zones of Contracting Parties. Article VIII(2) of the ICCAT Convention states that ICCAT recommendations become effective for all Contracting Parties six months after the date of the notification from the ICCAT Commission and the Contracting Parties are required to implement them. The last ICCAT conservation and enforcement recommendations were implemented into Union law through Regulations (EU) 2017/2107<sup>(1)</sup>, (EU) 2023/2053<sup>(2)</sup> and (EU) 2023/2833<sup>(3)</sup> of the European Parliament and of the Council. This proposal seeks to implement the recommendations adopted by ICCAT in its annual meetings in 2024 and 2025.

SPRFMO is the RFMO responsible for the management of fishery resources in the South Pacific Ocean and adjoining seas excluding tuna and tuna-like species. The EU has been a Contracting Party to the SPRFMO since 2010. The SPRFMO Convention provides that decisions adopted by the SPRFMO are binding on its Contracting Parties, participating fishing entities, and cooperating non-Contracting Parties, as well as operators. Regulation (EU) 2018/975<sup>(4)</sup> implemented into Union law the management, conservation and control measures adopted by the SPRFMO between 2013 and 2017. This proposal seeks to implement certain management, conservation and control measures adopted by the SPRFMO at its annual meeting in 2025.

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<sup>(1)</sup> Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007 (OJ L 315, 30.11.2017, p. 1), ELI: <http://data.europa.eu/eli/reg/2017/2107/oj>.

<sup>(2)</sup> Regulation (EU) 2023/2053 of the European Parliament and of the Council of 13 September 2023 establishing a multiannual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean, amending Regulations (EC) No 1936/2001, (EU) 2017/2107, and (EU) 2019/833 and repealing Regulation (EU) 2016/1627 (OJ L 238, 27.9.2023, p. 1), ELI: <http://data.europa.eu/eli/reg/2023/2053/oj>.

<sup>(3)</sup> Regulation (EU) 2023/2833 of the European Parliament and of the Council of 13 December 2023 establishing a catch documentation programme for bluefin tuna (*Thunnus thynnus*) and repealing Regulation (EU) No 640/2010 (OJ L, 2023/2833, 20.12.2023), ELI: <http://data.europa.eu/eli/reg/2023/2833/oj>.

<sup>(4)</sup> Regulation (EU) 2018/975 of the European Parliament and of the Council of 4 July 2018 laying down management, conservation and control measures applicable in the South Pacific Regional Fisheries Management Organisation (SPRFMO) Convention Area (OJ L 179, 16.7.2018, p. 30), ELI: <http://data.europa.eu/eli/reg/2018/975/oj>.

NAFO is the RFMO responsible for managing fishery resources in the Northwest Atlantic under its purview. NAFO's conservation and management measures apply exclusively to the NAFO Regulatory Area, the high seas, defined as the area that lies beyond the area where coastal states exercise fisheries jurisdiction. The EU has been a Contracting Party to NAFO since 1979. The NAFO Convention states that the conservation measures adopted by the NAFO Commission are binding (Articles XIV, VI.8 and VI.9) and that the Contracting Parties are required to implement them. Regulation (EU) 2019/833<sup>(5)</sup> implemented into Union law the NAFO conservation and enforcement measures adopted by NAFO until 2018 and that regulation was amended in 2021 and 2022 to implement the measures adopted by NAFO in 2019, 2020, 2021 and 2022. This proposal seeks to implement the amendments adopted by NAFO at its annual meeting in 2025.

IATTC is the RFMO responsible for the conservation and management of fisheries for tunas and other species taken by tuna-fishing vessels in the Eastern Pacific Ocean (EPO). The EU has been member of the IATTC since 2006. The IATTC Convention ('Antigua Convention') provides that resolutions adopted by IATTC are binding on its Contracting Parties and cooperating non-Contracting Parties, as well as operators. Regulation (EU) 2021/56<sup>(6)</sup> implemented into Union law laying down management, conservation and control measures applicable in IATTC. This proposal seeks to implement amendments adopted by IATTC at its annual meeting in 2021.

The Western and Central Pacific Fisheries Commission (WCPFC) is the RFMO responsible for managing tuna and tuna-like fishery resources in the Western and Central Pacific Ocean, (WCPO). The conservation and management measures (CMMs) adopted by WCPFC are binding upon Members, Participating Territories and Cooperating Non-Members. CMMs apply throughout the WCPFC Convention area, covering the high seas and exclusive economic zones of CCMs. Regulation (EU) 2022/2056<sup>(7)</sup> implemented into Union law the resolutions of the WCPFC adopted between 2004 and 2021. This proposal seeks to implement the amendments adopted by WCPFC at its annual meetings in 2024 and 2025.

The IOTC is the RFMO responsible for managing tuna and tuna-like fishery resources in the Indian Ocean. The IOTC's conservation and management measures apply to the IOTC area of competence, which is the Indian Ocean (defined for the purpose of the IOTC agreement as the FAO statistical areas 51 and 57 and adjacent seas, north of the Antarctic Convergence). The EU has been a Contracting Party to IOTC since 1995. The IOTC Agreement states that the resolutions adopted by the IOTC are binding and that the Contracting Parties are required to implement them. Regulation (EU) 2022/2343<sup>(8)</sup> implemented into Union law the resolutions

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<sup>(5)</sup> Regulation (EU) 2019/833 of the European Parliament and of the Council of 20 May 2019 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, amending Regulation (EU) 2016/1627, and repealing Council Regulations (EC) No 2115/2005 and (EC) 1386/2007 (OJ L 141, 28.5.2019, p. 1), ELI: <http://data.europa.eu/eli/reg/2019/833/oj>.

<sup>(6)</sup> Regulation (EU) 2021/56 of the European Parliament and of the Council of 20 January 2021 laying down management, conservation and control measures applicable in the Inter-American Tropical Tuna Convention area and amending Council Regulation (EC) No 520/2007 (OJ L 24, 26.1.2021, p. 1), ELI: <http://data.europa.eu/eli/reg/2021/56/oj>.

<sup>(7)</sup> Regulation (EU) 2022/2056 of the European Parliament and of the Council of 19 October 2022 laying down conservation and management measures applicable in the Western and Central Pacific Fisheries Convention Area and amending Council Regulation (EC) No 520/2007 (OJ L 276, 26.10.2022, p.1) ELI: <http://data.europa.eu/eli/reg/2022/2056/oj>.

<sup>(8)</sup> Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC)

of the IOTC adopted between 2000 and 2021. This proposal seeks to implement the amendments and new resolutions adopted by IOTC at its annual meeting in 2025.

- **Consistency with existing policy provisions in the policy area**

The proposal is in line with Part VI (external policy) of the common fisheries policy Regulation (EU) 1380/2013<sup>(9)</sup> which provides that the Union conducts its external fisheries in accordance with its international obligations and bases the Union's fishing activities on regional fisheries cooperation.

The proposal complements both the external fleet management Regulation (EU) 2017/2403<sup>(10)</sup>, which provides that Union fishing vessels are subject to Regional Fisheries Management Organisations fishing authorisations, and Council Regulation (EC) 1005/2008<sup>(11)</sup> concerning illegal unreported and unregulated fishing, which provides for the inclusion of the Illegal, Unreported and Unregulated (IUU) list into the Union IUU vessels list.

This proposal does not cover the EU's fishing opportunities decided by ICCAT, SPRFMO, NAFO, IATTC, WCPFC, and IOTC. Under Article 43(3) of the Treaty on the Functioning of the European Union (TFEU), it is the Council's prerogative to adopt measures on fixing prices, levies, aid and quantitative limitations, and on the fixing and allocation of fishing opportunities.

- **Consistency with other Union policies**

The proposal is consistent with other Union policies and seeks to implement obligations incurred by the Union as a member of the relevant RFMOs.

## 2. LEGAL BASIS, SUBSIDIARITY AND PROPORTIONALITY

- **Legal basis**

The proposal is based on Article 43(2) TFEU, as it sets out the provisions needed to pursue the objectives of the common fisheries policy.

- **Subsidiarity (for non-exclusive competence)**

The proposal falls under the exclusive competence of the Union (Article 3(1)(d) TFEU). Therefore, the subsidiarity principle does not apply.

- **Proportionality**

The proposal will ensure that the Union's obligations in ICCAT, SPRFMO, NAFO, IATTC, WCPFC and IOTC are met, without going beyond what is necessary to achieve this objective.

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No 1984/2003 and (EC) No 520/2007 (OJ L 311, 2.12.2022, p1) ELI: <http://data.europa.eu/eli/reg/2022/2343/oj>.

<sup>(9)</sup> Regulation (EU) No 1380/2013 of the European Parliament and of the Council of 11 December 2013 on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council Decision 2004/585/EC (OJ L 354, 28.12.2013, p. 22) ELI: <http://data.europa.eu/eli/reg/2013/1380/oj>.

<sup>(10)</sup> Regulation (EU) 2017/2403 of the European Parliament and of the Council of 12 December 2017 on the sustainable management of external fishing fleets, and repealing Council Regulation (EC) No 1006/2008 (OJ L 347, 28.12.2017, p. 81) ELI: <http://data.europa.eu/eli/reg/2017/2403/oj>.

<sup>(11)</sup> Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999 (OJ L 286, 29.10.2008, p. 1) ELI: <http://data.europa.eu/eli/reg/2008/1005/oj>.

- **Choice of the instrument**

A regulation is chosen to amend existing Regulation (EU) 2017/2107, Regulation (EU) 2018/975, Regulation (EU) 2019/833, Regulation (EU) 2021/56, Regulation (EU) 2022/2056, Regulation (EU) 2022/2343, and Regulation (EU) 2023/2053.

### **3. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS**

- **Ex-post evaluations/fitness checks of existing legislation**

Not relevant.

- **Stakeholder consultations**

EU Member States' national experts and industry representatives were consulted both during the run-up to the relevant annual meetings of above referred organisations at which these measures were adopted and during the negotiations.

- **Collection and use of expertise**

This proposal implements into Union law measures adopted by ICCAT, SPRFMO, NAFO, IATTC, WCPFC and IOTC in accordance with the advice of these organisations' respective standing committees on science and control issues.

- **Impact assessment**

Not relevant. This proposal implements into Union law the ICCAT, SPRFMO, NAFO, IATTC, WCPFC and IOTC conservation and management measures that are binding on the Union.

- **Regulatory fitness and simplification**

This proposal is not linked to regulatory fitness and simplification (REFIT).

- **Fundamental rights**

This proposal does not impact fundamental rights.

### **4. BUDGETARY IMPLICATIONS**

This proposal has no budgetary implications.

### **5. OTHER ELEMENTS**

- **Implementation plans and monitoring, evaluation and reporting arrangements**

Not applicable.

- **Detailed explanation of the specific provisions of the proposal**

For ICCAT, the amendments align with the most recent ICCAT rules on by-catch of tropical tunas. For bluefin tuna, the concept of "farming capacity" is removed and new procedures regarding the verification of processing declarations are introduced.

For SPRFMO the proposal covers further measure adopted in 2025, prohibiting subsidies to a vessel or operator of a vessel listed on the SPRFMO IUU Vessel list.

For NAFO, the amendments relate to the replacement of the word "discrepancies" by "potential non-compliance" as well as the introduction in NAFO of an electronic observation programme and the requirements it shall meet if be used.

For IATTC, the amendments relate to some definitions and the transmission of information related to FADs and satellite buoy.

For WCPFC, amendments are related to chartering and protection of sensitive species, including reporting.

For IOTC, the amendments align with the most recent IOTC rules on:

- the vessel monitoring system (VMS) programme;
- the regional observer scheme;
- the conservation of sharks caught in association with fisheries managed by IOTC;
- the conservation of shortfin and longfin mako sharks caught in association with IOTC fisheries;
- the underage and overage of skipjack, bigeye and yellowfin tuna in the IOTC area of competence,

For IOTC, a series of definitions is also reintroduced.

Proposal for a

## REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

**amending Regulation (EU) 2017/2107 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), Regulation (EU) 2018/975 laying down management, conservation and control measures applicable in the South Pacific Regional Fisheries Management Organisation (SPRFMO) Convention Area, Regulation (EU) 2019/833 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, Regulation (EU) 2021/56 laying down management, conservation and control measures applicable in the Inter-American Tropical Tuna Convention area, Regulation (EU) 2022/2056 laying down conservation and management measures applicable in the Western and Central Pacific Fisheries Convention Area, Regulation (EU) 2022/2343 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, and Regulation (EU) 2023/2053 establishing a multiannual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean**

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) thereof,

Having regard to the proposal from the European Commission,

Having regard to the opinion of the European Economic and Social Committee<sup>(1)</sup>,

Acting in accordance with the ordinary legislative procedure,

Whereas:

- (1) Regulation (EU) 2017/2107<sup>(2)</sup> implemented management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT) that had been adopted by ICCAT up to, and including at, its annual meeting in 2015. This Regulation was subsequently amended by Regulations (EU) 2019/1154, (EU) 2023/2053 and (EU) 2024/897 of the European Parliament and of the Council in order to implement further measures adopted by ICCAT.
- (2) At its 2024 and 2025 annual meetings, ICCAT adopted further measures in relation to tropical tunas as well as to blue marlin, white marlin and roundscale

<sup>(1)</sup> OJ C [...], [...], p. [...]

<sup>(2)</sup> Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007, (OJ L 315, 30.11.2017, p. 1), ELI: <http://data.europa.eu/eli/reg/2017/2107/oj>

spearfish, Regulation (EU) 2017/2107 should therefore be amended to implement those new ICCAT measures.

- (3) Regulation (EU) 2018/975<sup>(3)</sup> implemented management, conservation and control measures applicable to the Convention Area of the South Pacific Regional Fisheries Management Organisation (SPRFMO) that had been adopted by SPRFMO up to, and including at, its annual meeting in 2017.
- (4) The SPRFMO Commission adopted at its 2025 annual meeting further measures prohibiting subsidies to a vessel or operator of a vessel listed on SPRFMO Illegal, Unreported and Unregulated (IUU) Vessel list and the Regulation (EU) 2018/975 should therefore be amended to implement new SPRFMO measure.
- (5) Regulation (EU) 2019/833<sup>(4)</sup> implemented into Union law the most updated rules for conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation (NAFO). This Regulation was subsequently amended in order to implement the NAFO measures adopted at its annual meetings in 2019, 2020, 2021 and 2022<sup>(5)</sup>.
- (6) The NAFO subsequently adopted at its 47th Annual Meeting in 2025 a number of legally binding measures as regards its observer scheme and the requirements to be met when using an electronic observation programme, as well as relevant additional procedure for serious infringements.
- (7) Regulation (EU) 2019/833 should therefore be amended in order to implement these new NAFO measures.
- (8) Certain provisions of the CEM are likely to be amended at future NAFO annual meetings following the introduction of new measures in relation to the technical specifications for a compliant electronic observation programme. In order to implement swiftly into Union law such future amendments to the CEM, the power to adopt acts in accordance with Article 290 of the TFEU of the should be delegated to the Commission in respect of Member State duties related to derogations from to the observer programme.
- (9) Regulation (EU) 2021/56<sup>(6)</sup> implemented into Union law conservation and management measures applicable to the Convention area of the Inter-American Tropical Tuna Commission (IATTC) that had been adopted by IATTC up to, and including at, its 2020 annual meeting.

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<sup>(3)</sup> Regulation (EU) 2018/975 of the European Parliament and of the Council of 4 July 2018 laying down management, conservation and control measures applicable in the South Pacific Regional Fisheries Management Organisation (SPRFMO) Convention Area (OJ L 179, 16.7.2018, p. 30), ELI: <http://data.europa.eu/eli/reg/2018/975/oj>.

<sup>(4)</sup> Regulation (EU) 2019/833 of the European Parliament and of the Council of 20 May 2019 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, amending Regulation (EU) 2016/1627, and repealing Council Regulations (EC) No 2115/2005 and (EC) 1386/2007 (OJ L 141, 28.5.2019, p. 1), ELI: <http://data.europa.eu/eli/reg/2019/833/oj>.

<sup>(5)</sup> Regulation (EU) 2019/833 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, amending Regulation (EU) 2016/1627, and repealing Council Regulations (EC) No 2115/2005 and (EC) 1386/2007 (OJ L 141, 28.5.2019, p. 1), ELI: <http://data.europa.eu/eli/reg/2019/833/oj>.

<sup>(6)</sup> Regulation (EU) 2021/56 of the European Parliament and of the Council of 20 January 2021 laying down management, conservation and control measures applicable in the Inter-American Tropical Tuna Convention area and amending Council Regulation (EC) No 520/2007 (OJ L 24, 26.1.2021, pp. 1) ELI: <http://data.europa.eu/eli/reg/2021/56/oj>.

- (10) IATTC adopted at its 2021 annual meeting measures related to definitions and the transmission of information related to FADs and satellite buoy. These measures are binding on the Union and they should therefore be implemented into Union law.
- (11) Regulation 2022/2056<sup>(7)</sup> implemented into Union law conservation and management measures applicable to Convention area of the Western and Central Pacific Fisheries Commission (WCPFC) that had been adopted by WCPFC up to, and including at, its 2021 annual meeting.
- (12) WCPFC amended at its 2024 and 2025 annual meetings measures related to chartering and protection of sensitive species, including reporting. Those measures are binding on the Union. They should therefore be implemented into Union law.
- (13) Regulation (EU) 2022/2343<sup>(8)</sup> implemented into Union law management, conservation and control measures applicable in the area of competence of the Indian Ocean Tuna Commission (IOTC) and that had been adopted by IOTC up to, and including at, its annual meeting in 2021.
- (14) The IOTC subsequently adopted at its 29th Annual Meeting in 2025 a number of legally binding measures as regards its Regional observer scheme, its vessel monitoring system programme, its conservation measures for sharks, including shortfin and longfin mako sharks, and underage and overage of quotas of tropical tuna quotas. Regulation (EU) 2022/2343 should therefore be amended in order to implement these new IOTC measures.
- (15) Regulation (EU) 2023/2053<sup>(9)</sup> implements into Union law measures adopted by ICCAT for the management of bluefin tuna. At its 2025 annual meeting ICCAT adopted measures in relation to the management of bluefin tuna. Regulation (EU) 2023/2053 should therefore be amended to implement those new ICCAT measures,

HAVE ADOPTED THIS REGULATION:

#### *Article 1*

#### **Amendments to Regulation (EU) 2017/2107**

Regulation (EU) 2017/2107 is amended as follows:

- (1) Article 5 is deleted;
- (2) in Article 7, paragraph 3 is replaced by the following:

“3. Union fishing vessels not authorised to fish for tropical tunas pursuant to Article 6 may be allowed to retain on board, tranship, transport, process or land bycatch of

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<sup>(7)</sup> Regulation (EU) 2022/2056 of the European Parliament and of the Council of 19 October 2022 laying down conservation and management measures applicable in the Western and Central Pacific Fisheries Convention Area and amending Council Regulation (EC) No 520/2007 (OJ L 276, 26.10.2022, p. 1), ELI: <http://data.europa.eu/eli/reg/2022/2056/oj>.

<sup>(8)</sup> Regulation (EU) 2022/2343 of the European Parliament and of the Council of 23 November 2022 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

<sup>(9)</sup> Regulation (EU) 2023/2053 of the European Parliament and of the Council of 13 September 2023 establishing a multiannual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean, amending Regulations (EC) No 1936/2001, (EU) 2017/2107, and (EU) 2019/833 and repealing Regulation (EU) 2016/1627 (OJ L 238, 27.9.2023, p. 1), ELI: <http://data.europa.eu/eli/reg/2023/2053/oj>.

tropical tunas in accordance with a maximum per trip onboard bycatch limit of 5% by species.

Member States shall report to the Commission, as part of their annual report :

- (a) the maximum bycatch limit they allow for such vessels,
  - (b) the total amount of tropical tuna harvested as bycatch that year;
  - (c) information about how the Member State ensures compliance with the limit; and
  - (d) the maximum per trip bycatch limit the Member State will allow per stock for the coming fishing year.”;
- (3) Article 28 is deleted.

#### *Article 2*

#### **Amendments to Regulation (EU) 2018/975**

In Article 38 of Regulation (EU) 2018/975 the following paragraph 3 is added:

“3. Member States shall not grant or maintain any subsidy to vessels on the SPRFMO IUU Vessel List, or to the owner of such vessels, or to any person who is in charge of or directs or controls such vessels.”

#### *Article 3*

#### **Amendments to Regulation (EU) 2019/833**

Regulation (EU) 2019/833 is amended as follows:

- (1) in Article 3, the following point (32) is added:

“(32) “Electronic Monitoring System (EMS)” means a system on board a fishing vessel that acquires, stores and, as appropriate, transmits data from sensors and video camera footage that can be reviewed and analysed by a human reviewer, data analysis software, or edge based automation.”
- (2) Article 27 is amended as follows:
  - (a) the following paragraph (1a) is inserted between paragraph 1 and paragraph 2:

“(1a) All observers’ data, electronic reports and the data collected under the electronic observation program under this Chapter and supporting documentation shall be treated as confidential.”
  - (b) paragraph 3, point (d) is replaced by the following:

“(d) where vessels utilize an electronic observation program compliant with the requirements established in paragraph 11a;”
  - (c) in paragraph 6 point (b) “discrepancies” is replaced by “potential non-compliance”.
  - (d) in paragraph 11 point (d) “discrepancies” is replaced by “potential non-compliance”.
  - (e) in paragraph 11 point (h): “discrepancies” is replaced by “potential non-compliance”.

- (f) paragraph 11a is inserted between paragraphs 11 and 12:
- "11a. Each Member State making use of the derogation in, paragraph (3), point (b) shall ensure that:
- (a) the EMS installation and components of each fishing vessel are approved by the competent authorities of the flag Member States and correspond to an EMS Vessel Monitoring Plan (VMP);
  - (b) the VMP includes the following information:
    - (i) the fishing vessel details and the identification of the owner;
    - (ii) pictures, the technical characteristics and specifications and the location on board of the EMS components, including cameras, sensors, antenna and control box;
    - (iii) pictures and the measurement details of sorting and discard areas;
    - (iv) the obligations of the master of the fishing vessel relating to:
      - (-) the use of designated discard areas;
      - (-) the maintenance and cleaning of the EMS and its components;
      - (-) the testing and system diagnosis of the EMS; and
      - (-) the fallback procedures to be followed in case of system or power failures;
  - (c) the EMS includes:
    - (i) cameras in all designated discard areas placed in such a way as to allow the identification and quantification of discarded catch, the main deck or the area where the catches are hauled on board and the processing area;
    - (ii) sensors providing information on the position, speed, winch or power block usage, and hold temperatures;
  - (d) the EMS has a minimum data storage capacity sufficient to store the EMS data until all catch onboard from the NAFO Regulatory Area has been landed;
  - (e) the footage and sensor data collected by the EMS follows a specified format allowing that all sensors and video footage data can be analysed using an EMS analyser software to identify and quantify the catch and discards from all fishing operations;
  - (f) a copy of the VMP and changes thereof are submitted to the Commission and EFCA for any vessels not carrying an observer before the beginning of a fishing trip in which the vessel will be subject to the electronic observation programme referred to in paragraph 3, point (b);
  - (g) the NAFO Executive Secretary is notified by flag Member State, with the Commission and EFCA in copy, at least 72 hours in advance of the period during which a vessel will be subject to the electronic observation programme referred to in Article 27, paragraph 3, point (b) ;
  - (h) the EMS is turned on, recording, and fully operational as soon as the vessel enters the Regulatory Area and until the vessel offloads all of its catch from the Regulatory Area, barring use of any system failure contingency plan outlined in the VMP; and

- (i) a fishing trip report based on the template of Annex II.M, using the results of the EMS data analysis is sent to EFCA with the Commission in copy within 3 months after the end of the trip without human observer on board for at least 5% of the fishing trips subject to the electronic observation programme."
- (g) in paragraph 12, the following point (h) is added:  
 "(h) comply with the obligations and conditions established in the VMP of a vessel subject to the electronic observation program referred to in paragraph 3, point (b).";
- (h) Paragraph 15 is replaced by the following:  
 "(15) The information that Member States are required to provide in accordance with paragraph 3 (c) and (d), paragraph 5(a), paragraph 6(c) and paragraph 7(c), paragraph 11a(g) and (j) shall be transmitted to the Commission or a body designated by it."
- (3) in Article 35, paragraph 1, point (m) is replaced by the following:  
 "(m) committing an infringement where there is no observer on board and the vessel is not subject to electronic observation;"
- (4) in Article 35, paragraph 1, the following points (s) and (t) are added:  
 "(s) failing to carry on board an EMS contrary to Article 27, paragraph 11a, or to tamper with the EMS;  
 (t) failing to comply with the obligations of the master established in the VMP in a manner that prevents the EMS on board from being fully operational."
- (5) In Article 41, paragraph 3 is replaced by the following:  
 "3. The master of the vessel shall not commence landing or transshipment operations, or make use of other port services, before authorisation has been given by the competent port authority or prior to the expiry of the estimated time of arrival (ETA) as reported in PSC1 or PSC2. However, landing or transshipment operations and making use of other port services may commence prior to ETA with permission from the competent authorities of the port Member State.";
- (6) In Article 50, paragraph 2, the following point (t) is added:  
 "(t) the technical specifications of an electronic observation program set out in Article 27, paragraph 11a."

#### *Article 4*

#### **Amendment to Regulation (EU) 2021/56**

Regulation (EU) 2021/56 is amended as follows:

- (1) In Article 3, the following points (25) to (29) are added:  
 "(25) 'Satellite buoy' means a buoy clearly marked with a unique identification code that uses satellite network service to indicate its geographical position and is compliant with this Regulation.  
 (26) 'Activation of a satellite buoy' means the act of initializing network service for receiving the satellite buoy's position by the buoy supplier company at the request of the vessel owner or manager.

(27) 'Deactivation of a satellite buoy' means the act of cancelling network service for receiving the satellite buoy's position by the buoy supplier company at the request of the vessel owner or manager.

(28) 'Reactivation of a satellite buoy' means the act of re-initializing network service for transmission of a satellite buoy's position after deactivation by the buoy supplier company at the request of the vessel owner or manager.

(29) 'Signal loss' means the situation in which, without it being caused by the owner, operator or manager, a satellite buoy cannot be located by the owner on a monitoring device, including due to buoy being retrieved by another vessel or person (at sea or on-shore), FAD sinking and buoy failure."

(2) in Article 6, the following paragraph (3a) is inserted as follows:

"3a. The information referred to in paragraph 3 shall be reported in accordance with Annex X.";

(3) in Article 28, paragraph 1, the following point (p) is added:

"(p) the buoy data format referred to in Article 6(3a)."

(4) the Annex X is added to Regulation (EU) 2021/56 in accordance with Annex I to this Regulation.

#### *Article 5*

#### **Amendments to Regulation (EU) 2022/2056**

Regulation (EU) 2022/2056 is amended as follows:

(1) in Article 14, the following paragraphs 2 and 3 are added:

"2. For sharks that are caught by longline vessels and are not retained, fishing vessels shall release these sharks, as soon as possible, taking into consideration the safety of the crew and observer, using the following rules:(1) Leave the shark in the water, where possible; and (2) Use a line cutter to cut the branchline as close to the hook as possible.

3. Fishing vessels shall ensure that sharks that are caught and are not to be retained, are hauled alongside the vessel before being cut free in order to facilitate a species identification. This requirement shall only apply when an observer or electronic monitoring camera is present, and should only be implemented taking into consideration the safety of the crew and observer."

(2) in Article 19, the following paragraph 2a is inserted between paragraphs 2 and 3:

"2a. As from 1 January 2028, the above requirement under paragraph 1 shall also apply in the region West of 175°W between 25°S - 30°S, whereas, the requirement under paragraph 2 shall only apply in the region East of 175°W between 25°S - 30°S."

(3) in Article 20, the following paragraph 4a is added:

"4a: The measures referred to in paragraphs 1 and 2 of this Article, shall be reported in the logbook in accordance with Article 14 of Regulation (EC) No 1224/2009. The information to be reported shall include whether the status is dead or alive life upon release."

(4) in Article 27, paragraph 1 the following point (g) is added:

"(g) area of application (EEZ and/or High Seas)".

(5) in Article 38, paragraph 3 the following point (j) is added:

"(j): Information on the implementation of the finning ban in line with the template provided in Annex 2 of CMM 2025-06."

#### Article 6

### Amendments to Regulation (EU) 2022/2343

Regulation (EU) 2022/2343 is hereby amended as follows:

(1) In Article 3, paragraph (8) is replaced by the following:

"(8) 'Fish Aggregating Device' or 'FAD' means a permanent, semi-permanent or temporary object, structure or device of any material, man-made or natural, which is deployed and/or tracked, and may aggregate fish."

(2) the following paragraph (4a) is inserted between paragraphs (4) and (5):

"(4a) 'Sharks' means all species belonging to the 8 orders of *Selachimorpha* (*Carcharhiniformes*, *Lamniformes*, *Orectolobiformes*, *Heterodontiformes*, *Squaliformes*, *Squatiformes*, *Hexanchiformes*, and *Pristiophoriformes*) and all species of the order of *Rhinopristiformes*."

(3) the following paragraphs (19) to (26) are added:

"

(19) 'biodegradable' means non-synthetic materials and/or bio-based alternatives for materials that are biodegradable in marine environments;

(20) 'log' means a floating object of natural source or accidentally lost from anthropic activities and that was not built and deployed for the purpose of aggregating and/or locating target tuna species for subsequent capture;

(21) 'instrumented buoy' means a buoy clearly marked with a unique reference number allowing identification of its owner and equipped with a satellite tracking system to monitor at least its position;

(22) 'buoy owner' means the owner/master/operator of a fishing vessel who is in charge of tracking an instrumented buoy and is authorised to request its activation and/or deactivation;

(23) 'Active buoy' means an instrumented buoy from which the satellite communication service has been initiated and switched on, which has been deployed at sea on a DFAD or log and which is transmitting position.

(24) 'deactivation of a buoy' means the act of ending satellite communications service, which is done by the buoy supplier company at the request of the vessel owner or buoy owner;

(25) 'reactivation of a buoy' means the act of re-enabling satellite communications services by the buoy supplier company at the request of the buoy owner;

(26) 'abandoned DFAD' means a DFAD which was initially deployed with the intention of later retrieval but that is deliberately left at sea due to force majeure;

(27) 'lost DFAD' means a DFAD over which the buoy owner has lost control and that cannot be located by the buoy owner;

(28) 'discarded DFAD' means a DFAD that is released at sea without any attempt for further control or recovery by the buoy owner;"

(4) the following Article 5a is inserted between Article 5 and Article 6:

"Article 5a Underage or overage of skipjack, bigeye and yellowfin tuna

1. Any unused or excess portion of a Member State's annual quota or catch limit for skipjack and bigeye tuna may be added to or shall be deducted from, as appropriate, the relevant quota or catch limit during or before the adjustment year in accordance with the IOTC Resolution in force for skipjack tuna and bigeye tuna .

2. The maximum underage of skipjack and bigeye tuna that a Member State may carry-over in any given year shall not exceed the amount permitted in that particular year by IOTC in accordance with the IOTC Resolution in force for skipjack tuna and bigeye tuna .

3. Any excess portion of a Member State's annual quota or catch limit for yellowfin tuna shall be deducted from, as appropriate, the relevant quota or catch limit during or before the adjustment year in accordance with the IOTC Resolution in force for yellowfin tuna."

(5) in Chapter II, Section 3 is renamed "Blue Sharks and Mako Sharks".

(6) Article 7a is added:

"Article 7a Shortfin and longfin Mako sharks

1. Unionfishing vessels shall promptly release unharmed, to the extent practicable, shortfin mako sharks (*Isurus oxyrinchus*) and longfin mako sharks (*Isurus paucus*) when brought along side for taking on board the vessel. Member States shall require vessels flying their flag to implement, while giving due consideration to the safety of the crew, the minimum standards for safe handling and release procedures of shortfin and longfin mako sharks, as provided under Annex 13 of this Regulation, in order to promptly release unharmed, to the extent practicable, and to improve survivability of live shortfin and longfin mako sharks.

2. Any sharks retention is permissible only when the fish is dead at haulback, and the vessel has an observer or a functioning and compliant electronic monitoring system (EMS) on board to verify the condition of the sharks. In the absence of an observer or a functioning EMS, all shortfin and longfin mako sharks shall be released or discarded.

3. It is prohibited to tranship, whole or in part, shortfin or longfin mako sharks caught in association with IOTC fisheries and retained on board.

4. Union fishing vessels shall record and report all catches including dead discards and live releases of mako sharks, at species level.

5. Member States shall provide all relevant data for IOTC mako sharks in line with Article 51, paragraph 1(b).

6. Member States are encouraged to continue undertaking scientific research that provides information on key biological/ecological parameters, life-history, migrations and post-release survival of shortfin and longfin mako sharks."

(7) in Article 15, the following paragraphs 4 and 5 are added:

"4. Union fishing vessels shall fully utilise all sharks retained on board their vessels, meaning retain all parts of the shark except head, guts and skin, to the point of first landing.

5. Where possible, Member States and the Commission shall endeavour to conduct research on sharks taken in the Area, in order to:

a) identify ways to make fishing gears more selective and reduce the mortality of incidentally caught sharks, in particular oceanic whitetip sharks, thresher sharks, whale sharks;

b) improve knowledge on key biological/ecological parameters, life-history, behavioural traits, migration patterns, and post-release survival of key shark species, including oceanic whitetip sharks, thresher sharks, whale sharks and silky sharks, hammerhead sharks and mako sharks;

c) facilitate capacity building of CPCs in shark species identification to improve data reporting at species level;

d) identify key shark mating, pupping and nursery areas, including for oceanic whitetip sharks, thresher sharks, whale sharks, and silky sharks, hammerhead sharks and mako sharks; and

e) improve handling practices for live sharks to maximise post-release survival."

(8) the following Article 15a is inserted between Articles 15 and 16:

"Article 15a Bycatch mitigation measures

1. Union long-line vessels do not use branch lines running directly off the longline floats or drop lines, known as shark lines, depicted as a schematic diagram of a shark line is set out in Annex 12 of this Regulation.

2. Union fishing vessels shall:

a) promptly release, to the extent practicable, oceanic whitetip sharks, thresher sharks, whale sharks if recognised before bringing them on board the vessel or when brought alongside to ensure safe identification;

b) release, in fisheries in which sharks are unwanted species, sharks (in particular juveniles and pregnant sharks) alive that are caught incidentally and are not used on board for food and/or subsistence

3. Flag Member State shall ensure that their flag recreational and sport fishing vessels:

a) release alive all caught oceanic whitetip sharks, thresher sharks and whale sharks; and

b) are equipped, if they are carrying out fishing with high probability of catching oceanic whitetip sharks, thresher sharks, whale sharks, with instruments suitable to release the animals alive.

4. Union fishing vessels shall release the shark as soon as practically possible, taking into consideration the safety of the crew and observer, in accordance with the Minimum Standards for Safe Handling And Live Release Procedures set out in Annex 13 of this Regulation."

(9) in Article 31, paragraph (2) is replaced by the following:

"2. The observer shall, within 30 days of completion of each trip, provide a report to the flag Member State. The report shall be provided by area of 1° latitude by 1° longitude. Member States shall send each report and observer data to the Commission, or to a body designated by it, for the previous year no later than 15 June of the following year. For the observer placed on the longline fleet, Member States shall ensure that the provisional data are provided by 15 June, and the final data are provided no later than 10 December, to the Commission or to a body designated by it."

(10) in Article 34, the following paragraph 3 is added:

"3. When the vessel in the e-RAV first appears inside the EEZ of another CPC on a given trip and is not transiting and not authorised to fish in that CPC's EEZ, and is encouraged to provide ongoing vessel position reports while the vessel remains inside the EEZ, except for port entry in accordance with Chapter VI on Port State Measures, Member States shall ensure the following information is transmitted to the competent authority of other CPC:

(a) the vessel identification;

(b) the geographical position of the vessel (longitude, latitude) with a position error which shall be less than 500 metres, at a confidence level of 99%; and

(c) the date and time (expressed in UTC) of the fixing of the said position of the vessel."

(11) in Article 19, the following paragraphs 1a and 1b are inserted between paragraphs 1 and 2:

"1a. Union fishing vessels shall not retain on board, transship, land and store any part or whole carcass of whale sharks.

1b. By way of derogation from paragraph 1a, scientific observers shall be allowed to collect biological samples from whale sharks taken in the Area that are dead at haul back, provided that the samples are a part of a research project approved by the IOTC Scientific Committee or the IOTC Working Party on Ecosystems and Bycatch."

(12) in Article 51, paragraph 1 the following point (g) is added:

"(g) all relevant data for IOTC mako sharks, including estimates of dead discards and live releases using the methods approved by the IOTC Scientific Committee."

(13) in Article 54, paragraph 1 point (i) is replaced by the following:

"(i) Annexes 1 to 13;"

(14) the Annexes are amended in accordance with Annex II of this Regulation.

#### *Article 7*

#### **Amendments to Regulation (EU) 2023/2053**

Regulation (EU) 2023/2053 is amended as follows:

(1) in Article 15, paragraph 2 is replaced by the following:

"2. In the annual farming management plan, each Member State shall ensure that the total input capacity is commensurate with the estimated amount of bluefin tuna available for farming."

(2) in Article 28a, paragraph 1, point (d) is replaced by the following:

“(d) the input capacity allocated to each farm;”

(3) in Article 56a, paragraph 6 is replaced by the following:

“6. When the destination of the bluefin tuna is a processing vessel, the master of the processing vessel or its representative, shall complete a processing declaration. When the harvested bluefin tuna is to be landed directly into port, the operator of the farm or trap shall complete a harvesting declaration. The processing and harvesting declarations shall be verified and, where appropriate, signed by the national observer or ICCAT regional observer present at the harvesting operation.”

#### *Article 8*

#### **Entry into force**

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

*For the European Parliament*

*The President*

[...]

*For the Council*

*The President*

[...]

## LEGISLATIVE FINANCIAL AND DIGITAL STATEMENT

### 1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

#### 1.1. Title of the proposal/initiative

Proposal for a Regulation of the European Parliament and of the Council amending Regulation (EU) 2017/2107 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), Regulation (EU) 2018/975 laying down management, conservation and control measures applicable in the South Pacific Regional Fisheries Management Organisation (SPRFMO) Convention Area, Regulation (EU) 2019/1154 on a multiannual recovery plan for Mediterranean swordfish, Regulation (EU) 2019/833 laying down conservation and enforcement measures applicable in the Regulatory Area of the Northwest Atlantic Fisheries Organisation, Regulation (EU) 2021/56 laying down management, conservation and control measures applicable in the Inter-American Tropical Tuna Convention area, Regulation (EU) 2022/2056 laying down conservation and management measures applicable in the Western and Central Pacific Fisheries Convention Area, Regulation (EU) 2022/2343 laying down management, conservation and control measures applicable in the Indian Ocean Tuna Commission (IOTC) Area of Competence, and Regulation (EU) 2023/2053 establishing a multiannual management plan for bluefin tuna in the eastern Atlantic and the Mediterranean

#### 1.2. Policy area(s) concerned

International fisheries

#### 1.3. Objective(s)

##### 1.3.1. General objective(s)

Implementation of Union's international obligations.

##### 1.3.2. Specific objective(s)

Specific objective No

[...]

##### 1.3.3. Expected result(s) and impact

*Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.*

[...]

##### 1.3.4. Indicators of performance

*Specify the indicators for monitoring progress and achievements.*

[...]

#### 1.4. The proposal/initiative relates to:

- a new action
- a new action following a pilot project/preparatory action <sup>(1)</sup>
- the extension of an existing action

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<sup>(1)</sup> As referred to in Article 58(2), point (a) or (b) of the Financial Regulation.

- a merger or redirection of one or more actions towards another/a new action**

## 1.5. **Grounds for the proposal/initiative**

- 1.5.1. *Requirement(s) to be met in the short or long term including a detailed timeline for roll-out of the implementation of the initiative*

[...]

- 1.5.2. *Added value of EU involvement (it may result from different factors, e.g. coordination gains, legal certainty, greater effectiveness or complementarities). For the purposes of this section 'added value of EU involvement' is the value resulting from EU action, that is additional to the value that would have been otherwise created by Member States alone.*

Reasons for action at EU level (ex-ante) [...]

Expected generated EU added value (ex-post) [...]

- 1.5.3. *Lessons learned from similar experiences in the past*

[...]

- 1.5.4. *Compatibility with the multiannual financial framework and possible synergies with other appropriate instruments*

[...]

- 1.5.5. *Assessment of the different available financing options, including scope for redeployment*

[...]

## 1.6. **Duration of the proposal/initiative and of its financial impact**

- limited duration**

- in effect from [DD.MM]YYYY to [DD.MM]YYYY

- financial impact from YYYY to YYYY for commitment appropriations and from YYYY to YYYY for payment appropriations.

- unlimited duration**

Implementation with a start-up period from YYYY to YYYY, followed by full-scale operation.

## 1.7. **Method(s) of budget implementation planned<sup>(2)</sup>**

- Direct management** by the Commission

- by its departments, including by its staff in the Union delegations;

- by the executive agencies

- Shared management** with the Member States

- Indirect management** by entrusting budget implementation tasks to:

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<sup>(2)</sup> Details of budget implementation methods and references to the Financial Regulation may be found on the BUDGpedia site: <https://myintracomm.ec.europa.eu/corp/budget/financial-rules/budget-implementation/Pages/implementation-methods.aspx>.

- third countries or the bodies they have designated;
- international organisations and their agencies (to be specified);
- the European Investment Bank and the European Investment Fund;
- bodies referred to in Articles 70 and 71 of the Financial Regulation;
- public law bodies;
- bodies governed by private law with a public service mission to the extent that they are provided with adequate financial guarantees;
- bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that are provided with adequate financial guarantees;
- bodies or persons entrusted with the implementation of specific actions in the common foreign and security policy pursuant to Title V of the Treaty on European Union, and identified in the relevant basic act
- bodies established in a Member State, governed by the private law of a Member State or Union law and eligible to be entrusted, in accordance with sector-specific rules, with the implementation of Union funds or budgetary guarantees, to the extent that such bodies are controlled by public law bodies or by bodies governed by private law with a public service mission, and are provided with adequate financial guarantees in the form of joint and several liability by the controlling bodies or equivalent financial guarantees and which may be, for each action, limited to the maximum amount of the Union support.

Comments

[...]

## 2. **MANAGEMENT MEASURES**

### 2.1. **Monitoring and reporting rules**

[...]

### 2.2. **Management and control system(s)**

#### 2.2.1. *Justification of the budget implementation method(s), the funding implementation mechanism(s), the payment modalities and the control strategy proposed*

[...]

#### 2.2.2. *Information concerning the risks identified and the internal control system(s) set up to mitigate them*

[...]

#### 2.2.3. *Estimation and justification of the cost-effectiveness of the controls (ratio between the control costs and the value of the related funds managed), and assessment of the expected levels of risk of error (at payment & at closure)*

[...]

### 2.3. **Measures to prevent fraud and irregularities**

[...]

3. **ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE**

3.1. **Heading(s) of the multiannual financial framework and expenditure budget line(s) affected**

Existing budget lines

*In order of multiannual financial framework headings and budget lines.*

| Heading of multiannual financial framework | Budget line       | Type of expenditure            | Contribution                       |  |                            |                        |
|--|-------------------|--------------------------------|------------------------------------|--|----------------------------|------------------------|
|  | Number            | Diff./Non-diff. <sup>(3)</sup> | from EFTA countries <sup>(4)</sup> | from candidate countries and potential candidates <sup>(5)</sup> | from other third countries | other assigned revenue |
|  | [XX.YY.Y<br>Y.YY] | Diff./Non-diff.                | YES/NO                             | YES/NO   | YES/NO                     | YES/NO                 |
|  | [XX.YY.Y<br>Y.YY] | Diff./Non-diff.                | YES/NO                             | YES/NO   | YES/NO                     | YES/NO                 |
|  | [XX.YY.Y<br>Y.YY] | Diff./Non-diff.                | YES/NO                             | YES/NO   | YES/NO                     | YES/NO                 |

New budget lines requested

*In order of multiannual financial framework headings and budget lines.*

<sup>(3)</sup> Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

<sup>(4)</sup> EFTA: European Free Trade Association.

<sup>(5)</sup> Candidate countries and, where applicable, potential candidates from the Western Balkans.

| Heading of multiannual financial framework | Budget line       | Type of expenditure | Contribution        |   |                            |                        |
|--|-------------------|---------------------|---------------------|---|----------------------------|------------------------|
|  | Number            | Diff./non-diff.     | from EFTA countries | from candidate countries and potential candidates | from other third countries | other assigned revenue |
|  | [XX.YY.Y<br>Y.YY] | Diff./Non-diff.     | YES/NO              | YES/NO  | YES/NO                     | YES/NO                 |
|  | [XX.YY.Y<br>Y.YY] | Diff./Non-diff.     | YES/NO              | YES/NO  | YES/NO                     | YES/NO                 |
|  | [XX.YY.Y<br>Y.YY] | Diff./Non-diff.     | YES/NO              | YES/NO  | YES/NO                     | YES/NO                 |

### 3.2. Estimated financial impact of the proposal on appropriations

#### 3.2.1. Summary of estimated impact on operational appropriations

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

##### 3.2.1.1. Appropriations from voted budget

EUR million (to three decimal places)

| Heading of multiannual financial framework | Number |      |      |      |      |                  |
|--|--------|------|------|------|------|------------------|
| DG: <.....>                                |        | Year | Year | Year | Year | <b>TOTAL MFF</b> |

|   |             |          | 2024         | 2025         | 2026         | 2027         | 2021-2027                      |
|---|-------------|----------|--------------|--------------|--------------|--------------|--------------------------------|
| Operational appropriations  |             |          |              |              |              |              |                                |
| Budget line   | Commitments | (1a)     |              |              |              |              | 0.000                          |
|   | Payments    | (2a)     |              |              |              |              | 0.000                          |
| Budget line   | Commitments | (1b)     |              |              |              |              | 0.000                          |
|   | Payments    | (2b)     |              |              |              |              | 0.000                          |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(6)</sup> |             |          |              |              |              |              |                                |
| Budget line   |             | (3)      |              |              |              |              | 0.000                          |
| <b>TOTAL appropriations<br/>for DG &lt;.....&gt;</b>  | Commitments | =1a+1b+3 | 0.000        | 0.000        | 0.000        | 0.000        | 0.000                          |
|   | Payments    | =2a+2b+3 | 0.000        | 0.000        | 0.000        | 0.000        | 0.000                          |
| DG: <.....>   |             |          | Year<br>2024 | Year<br>2025 | Year<br>2026 | Year<br>2027 | <b>TOTAL MFF<br/>2021-2027</b> |
| Operational appropriations  |             |          |              |              |              |              |                                |
| Budget line   | Commitments | (1a)     |              |              |              |              | 0.000                          |
|   | Payments    | (2a)     |              |              |              |              | 0.000                          |
| Budget line   | Commitments | (1b)     |              |              |              |              | 0.000                          |

<sup>(6)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|   |             |          |                  |                  |                  |                  |                            |              |
|---|-------------|----------|------------------|------------------|------------------|------------------|----------------------------|--------------|
|   | Payments    | (2b)     |                  |                  |                  |                  |                            | <b>0.000</b> |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(7)</sup> |             |          |                  |                  |                  |                  |                            |              |
| Budget line   |             | (3)      |                  |                  |                  |                  |                            | <b>0.000</b> |
| <b>TOTAL appropriations for DG &lt;.....&gt;</b>  | Commitments | =1a+1b+3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   | Payments    | =2a+2b+3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   |             |          | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b> | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |              |
| TOTAL operational appropriations  | Commitments | (4)      | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
|   | Payments    | (5)      | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
| TOTAL appropriations of an administrative nature financed from the envelope for specific programmes         |             | (6)      | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
| <b>TOTAL appropriations under HEADING &lt;....&gt; of the multiannual financial framework</b>               | Commitments | =4+6     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   | Payments    | =5+6     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
| <b>Heading of multiannual financial framework</b>   |             | Number   |                  |                  |                  |                  |                            |              |
| DG: <.....>   |             |          | Year             | Year             | Year             | Year             | <b>TOTAL MFF</b>           |              |

<sup>(7)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|   |             |           | 2024         | 2025         | 2026         | 2027         | 2021-2027                      |
|---|-------------|-----------|--------------|--------------|--------------|--------------|--------------------------------|
| Operational appropriations  |             |           |              |              |              |              |                                |
| Budget line   | Commitments | (1a)      |              |              |              |              | 0.000                          |
|   | Payments    | (2a)      |              |              |              |              | 0.000                          |
| Budget line   | Commitments | (1b)      |              |              |              |              | 0.000                          |
|   | Payments    | (2b)      |              |              |              |              | 0.000                          |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(8)</sup> |             |           |              |              |              |              |                                |
| Budget line   |             | (3)       |              |              |              |              | 0.000                          |
| <b>TOTAL appropriations<br/>for DG &lt;.....&gt;</b>  | Commitments | =1a+1b +3 | 0.000        | 0.000        | 0.000        | 0.000        | 0.000                          |
|   | Payments    | =2a+2b+3  | 0.000        | 0.000        | 0.000        | 0.000        | 0.000                          |
| DG: <.....>   |             |           | Year<br>2024 | Year<br>2025 | Year<br>2026 | Year<br>2027 | <b>TOTAL MFF<br/>2021-2027</b> |
| Operational appropriations  |             |           |              |              |              |              |                                |
| Budget line   | Commitments | (1a)      |              |              |              |              | 0.000                          |
|   | Payments    | (2a)      |              |              |              |              | 0.000                          |
| Budget line   | Commitments | (1b)      |              |              |              |              | 0.000                          |

<sup>(8)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|   |             |           |                  |                  |                  |                  |                            |              |
|---|-------------|-----------|------------------|------------------|------------------|------------------|----------------------------|--------------|
|   | Payments    | (2b)      |                  |                  |                  |                  |                            | <b>0.000</b> |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(9)</sup> |             |           |                  |                  |                  |                  |                            |              |
| Budget line   |             | (3)       |                  |                  |                  |                  |                            | <b>0.000</b> |
| <b>TOTAL appropriations for DG &lt;.....&gt;</b>  | Commitments | =1a+1b +3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   | Payments    | =2a+2b+3  | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   |             |           | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b> | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |              |
| TOTAL operational appropriations  | Commitments | (4)       | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
|   | Payments    | (5)       | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
| TOTAL appropriations of an administrative nature financed from the envelope for specific programmes         |             | (6)       | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
| <b>TOTAL appropriations under HEADING &lt;....&gt; of the multiannual financial framework</b>               | Commitments | =4+6      | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   | Payments    | =5+6      | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|   |             |           | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b> | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |              |
| TOTAL operational   | Commitments | (4)       | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |

<sup>(9)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|  |                |          |                  |                  |   |                  |                            |
|--|----------------|----------|------------------|------------------|---|------------------|----------------------------|
| appropriations (all operational headings)  | Payments       | (5)      | 0.000            | 0.000            | 0.000   | 0.000            | <b>0.000</b>               |
| TOTAL appropriations of an administrative nature financed from the envelope for specific programmes (all operational headings) |                | (6)      | 0.000            | 0.000            | 0.000   | 0.000            | <b>0.000</b>               |
| <b>TOTAL appropriations Under Heading 1 to 6</b> of the multiannual financial framework (Reference amount)                     | Commitments    | =4+6     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>  | <b>0.000</b>     | <b>0.000</b>               |
|  | Payments       | =5+6     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>  | <b>0.000</b>     | <b>0.000</b>               |
| <b>Heading of multiannual financial framework</b>  |                | <b>7</b> |                  |                  | <b>'Administrative expenditure' <sup>(10)</sup></b> |                  |                            |
| DG: <.....>  |                |          | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b>                                    | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |
| Human resources  |                |          | 0.000            | 0.000            | 0.000   | 0.000            | <b>0.000</b>               |
| Other administrative expenditure   |                |          | 0.000            | 0.000            | 0.000   | 0.000            | <b>0.000</b>               |
| <b>TOTAL DG &lt;.....&gt;</b>  | Appropriations |          | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>  | <b>0.000</b>     | <b>0.000</b>               |
| DG: <.....>  |                |          | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b>                                    | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |
| Human resources  |                |          | 0.000            | 0.000            | 0.000   | 0.000            | <b>0.000</b>               |
| Other administrative expenditure   |                |          | 0.000            | 0.000            | 0.000   | 0.000            | <b>0.000</b>               |

<sup>(10)</sup> The necessary appropriations should be determined using the annual average cost figures available on the appropriate BUDGpedia webpage.

|  |                                      |              |              |              |              |              |
|--|--------------------------------------|--------------|--------------|--------------|--------------|--------------|
| <b>TOTAL DG &lt;.....&gt;</b>  | Appropriations                       | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |
| <b>TOTAL appropriations under HEADING 7 of the multiannual financial framework</b> | (Total commitments = Total payments) | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |

EUR million (to three decimal places)

|  |             | Year 2024    | Year 2025    | Year 2026    | Year 2027    | TOTAL MFF 2021-2027 |
|--|-------------|--------------|--------------|--------------|--------------|---------------------|
| <b>TOTAL appropriations under HEADINGS 1 to 7 of the multiannual financial framework</b> | Commitments | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>        |
|  | Payments    | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>        |

3.2.1.2. *Appropriations from external assigned revenues*

EUR million (to three decimal places)

| Heading of multiannual financial framework | Number      |           |           |           |                     |              |
|--|-------------|-----------|-----------|-----------|---------------------|--------------|
| DG: <.....>                                | Year 2024   | Year 2025 | Year 2026 | Year 2027 | TOTAL MFF 2021-2027 |              |
| Operational appropriations                 |             |           |           |           |                     |              |
| Budget line                                | Commitments | (1a)      |           |           |                     | <b>0.000</b> |
|  | Payment     | (2a)      |           |           |                     | <b>0.000</b> |

|  |             |          |           |           |           |           |                            |
|--|-------------|----------|-----------|-----------|-----------|-----------|----------------------------|
|  | s           |          |           |           |           |           |                            |
| Budget line  | Commitments | (1b)     |           |           |           |           | 0.000                      |
|  | Payments    | (2b)     |           |           |           |           | 0.000                      |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(11)</sup> |             |          |           |           |           |           |                            |
| Budget line  |             | (3)      |           |           |           |           | 0.000                      |
| <b>TOTAL appropriations for DG &lt;.....&gt;</b>   | Commitments | =1a+1b+3 | 0.000     | 0.000     | 0.000     | 0.000     | 0.000                      |
|  | Payments    | =2a+2b+3 | 0.000     | 0.000     | 0.000     | 0.000     | 0.000                      |
| DG: <.....>  |             |          | Year 2024 | Year 2025 | Year 2026 | Year 2027 | <b>TOTAL MFF 2021-2027</b> |
| Operational appropriations   |             |          |           |           |           |           |                            |
| Budget line  | Commitments | (1a)     |           |           |           |           | 0.000                      |
|  | Payments    | (2a)     |           |           |           |           | 0.000                      |

<sup>(11)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|  |             |          |                  |                  |                  |                  |                            |              |
|--|-------------|----------|------------------|------------------|------------------|------------------|----------------------------|--------------|
| Budget line  | Commitments | (1b)     |                  |                  |                  |                  |                            | <b>0.000</b> |
|  | Payments    | (2b)     |                  |                  |                  |                  |                            | <b>0.000</b> |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(12)</sup> |             |          |                  |                  |                  |                  |                            |              |
| Budget line  |             | (3)      |                  |                  |                  |                  |                            | <b>0.000</b> |
| <b>TOTAL appropriations for DG &lt;.....&gt;</b>   | Commitments | =1a+1b+3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|  | Payments    | =2a+2b+3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     |                            | <b>0.000</b> |
|  |             |          | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b> | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |              |
| TOTAL operational appropriations   | Commitments | (4)      | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |
|  | Payments    | (5)      | 0.000            | 0.000            | 0.000            | 0.000            |                            | <b>0.000</b> |

<sup>(12)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|   |             |        |                     |                     |                     |                     |                                |
|---|-------------|--------|---------------------|---------------------|---------------------|---------------------|--------------------------------|
| TOTAL appropriations of an administrative nature financed from the envelope for specific programmes |             | (6)    | 0.000               | 0.000               | 0.000               | 0.000               | <b>0.000</b>                   |
| <b>TOTAL appropriations under HEADING &lt;....&gt; of the multiannual financial framework</b>       | Commitments | =4+6   | <b>0.000</b>        | <b>0.000</b>        | <b>0.000</b>        | <b>0.000</b>        | <b>0.000</b>                   |
|   | Payments    | =5+6   | <b>0.000</b>        | <b>0.000</b>        | <b>0.000</b>        | <b>0.000</b>        | <b>0.000</b>                   |
| <b>Heading of multiannual financial framework</b>   |             | Number |                     |                     |                     |                     |                                |
| DG: <.....>   |             |        | Year<br><b>2024</b> | Year<br><b>2025</b> | Year<br><b>2026</b> | Year<br><b>2027</b> | <b>TOTAL MFF<br/>2021-2027</b> |
| Operational appropriations  |             |        |                     |                     |                     |                     |                                |
| Budget line   | Commitments | (1a)   |                     |                     |                     |                     | <b>0.000</b>                   |
|   | Payments    | (2a)   |                     |                     |                     |                     | <b>0.000</b>                   |
| Budget line   | Commitments | (1b)   |                     |                     |                     |                     | <b>0.000</b>                   |
|   |             | (2b)   |                     |                     |                     |                     | <b>0.000</b>                   |

|  |             |          |           |           |           |           |                            |
|--|-------------|----------|-----------|-----------|-----------|-----------|----------------------------|
|  | Payments    |          |           |           |           |           |                            |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(13)</sup> |             |          |           |           |           |           |                            |
| Budget line  |             | (3)      |           |           |           |           | 0.000                      |
| <b>TOTAL appropriations for DG &lt;.....&gt;</b>   | Commitments | =1a+1b+3 | 0.000     | 0.000     | 0.000     | 0.000     | 0.000                      |
|  | Payments    | =2a+2b+3 | 0.000     | 0.000     | 0.000     | 0.000     | 0.000                      |
| DG: <.....>  |             |          | Year 2024 | Year 2025 | Year 2026 | Year 2027 | <b>TOTAL MFF 2021-2027</b> |
| Operational appropriations   |             |          |           |           |           |           |                            |
| Budget line  | Commitments | (1a)     |           |           |           |           | 0.000                      |
|  | Payments    | (2a)     |           |           |           |           | 0.000                      |
| Budget line  | Commit      | (1b)     |           |           |           |           | 0.000                      |

<sup>(13)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|  |             |          |                  |                  |                  |                  |                            |
|--|-------------|----------|------------------|------------------|------------------|------------------|----------------------------|
|  | ments       |          |                  |                  |                  |                  |                            |
|  | Payments    | (2b)     |                  |                  |                  |                  | <b>0.000</b>               |
| Appropriations of an administrative nature financed from the envelope of specific programmes <sup>(14)</sup> |             |          |                  |                  |                  |                  |                            |
| Budget line  |             | (3)      |                  |                  |                  |                  | <b>0.000</b>               |
| <b>TOTAL appropriations for DG &lt;.....&gt;</b>   | Commitments | =1a+1b+3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>               |
|  | Payments    | =2a+2b+3 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>               |
|  |             |          | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b> | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |
| TOTAL operational appropriations   | Commitments | (4)      | 0.000            | 0.000            | 0.000            | 0.000            | <b>0.000</b>               |
|  | Payments    | (5)      | 0.000            | 0.000            | 0.000            | 0.000            | <b>0.000</b>               |
| TOTAL appropriations of an administrative nature financed from the envelope for specific programmes          |             | (6)      | 0.000            | 0.000            | 0.000            | 0.000            | <b>0.000</b>               |

<sup>(14)</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

|  |             |      |                  |                  |                  |                  |                            |  |
|--|-------------|------|------------------|------------------|------------------|------------------|----------------------------|--|
| <b>TOTAL appropriations under HEADING &lt;...&gt; of the multiannual financial framework</b>                                   | Commitments | =4+6 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>               |  |
|  | Payments    | =5+6 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>               |  |
|  |             |      | <b>Year 2024</b> | <b>Year 2025</b> | <b>Year 2026</b> | <b>Year 2027</b> | <b>TOTAL MFF 2021-2027</b> |  |
| TOTAL operational appropriations (all operational headings)  | Commitments | (4)  | 0.000            | 0.000            | 0.000            | 0.000            | <b>0.000</b>               |  |
|  | Payments    | (5)  | 0.000            | 0.000            | 0.000            | 0.000            | <b>0.000</b>               |  |
| TOTAL appropriations of an administrative nature financed from the envelope for specific programmes (all operational headings) |             | (6)  | 0.000            | 0.000            | 0.000            | 0.000            | <b>0.000</b>               |  |
| <b>TOTAL appropriations under Headings 1 to 6 of the multiannual financial framework (Reference amount)</b>                    | Commitments | =4+6 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>               |  |
|  | Payments    | =5+6 | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>     | <b>0.000</b>               |  |
| <b>Heading of multiannual financial framework</b>  |             |      | <b>7</b>         |                  |                  |                  |                            | <b>‘Administrative expenditure’<sup>(15)</sup></b> |

EUR million (to three decimal places)

<sup>(15)</sup> The necessary appropriations should be determined using the annual average cost figures available on the appropriate BUDGpedia webpage.

| DG: <.....>  |                | Year<br>2024 | Year<br>2025 | Year<br>2026 | Year<br>2027 | TOTAL<br>MFF<br>2021-2027                             |
|--|----------------|--------------|--------------|--------------|--------------|---|
| Human resources  |                | 0.000        | 0.000        | 0.000        | 0.000        | 0.000   |
| Other administrative expenditure   |                | 0.000        | 0.000        | 0.000        | 0.000        | 0.000   |
| <b>TOTAL DG &lt;.....&gt;</b>  | Appropriations | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>  |
| DG: <.....>  |                | Year<br>2024 | Year<br>2025 | Year<br>2026 | Year<br>2027 | TOTAL<br>MFF<br>2021-2027                             |
| Human resources  |                | 0.000        | 0.000        | 0.000        | 0.000        | 0.000   |
| Other administrative expenditure   |                | 0.000        | 0.000        | 0.000        | 0.000        | 0.000   |
| <b>TOTAL DG &lt;.....&gt;</b>  | Appropriations | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>  |
| <b>TOTAL appropriations under HEADING 7 of the<br/>multiannual financial framework</b> |                | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>  |
|  |                |              |              |              |              | (Total<br>commit<br>ments<br>= Total<br>payme<br>nts) |

EUR million (to three decimal places)

|  | Year<br>2024 | Year<br>2025 | Year<br>2026 | Year<br>2027 | TOTAL<br>MFF<br>2021-<br>2027 |
|--|--------------|--------------|--------------|--------------|-------------------------------|
|  |              |              |              |              |                               |

|  |             |              |              |              |              |              |
|--|-------------|--------------|--------------|--------------|--------------|--------------|
| <b>TOTAL appropriations under HEADINGS 1 to 7<br/>of the multiannual financial framework</b> | Commitments | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |
|  | Payments    | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |

3.2.2. *Estimated output funded from operational appropriations (not to be completed for decentralised agencies)*

Commitment appropriations in EUR million (to three decimal places)

| <b>Indicate<br/>objective<br/>s<br/>and<br/>outputs<br/>↓</b> |                |                 | Year<br><b>2024</b> | Year<br><b>2025</b> | Year<br><b>2026</b> | Year<br><b>2027</b> | Enter as many years as necessary to show<br>the duration of the impact (see Section 1.6) |      |    |      |    |      |    |      |    |      | <b>TOTAL</b> |      |             |               |
|---|----------------|-----------------|---------------------|---------------------|---------------------|---------------------|--|------|----|------|----|------|----|------|----|------|--------------|------|-------------|---------------|
|   | <b>OUTPUTS</b> |                 |                     |                     |                     |                     |  |      |    |      |    |      |    |      |    |      |              |      |             |               |
|   | Type<br>(16)   | Average<br>cost | No                  | Cost                | No                  | Cost                | No   | Cost | No | Cost | No | Cost | No | Cost | No | Cost | No           | Cost | Total<br>No | Total<br>Cost |
| <b>SPECIFIC<br/>OBJECTIVE No 1</b><br>(17): [...]             |                |                 |                     |                     |                     |                     |  |      |    |      |    |      |    |      |    |      |              |      |             |               |
| -<br>Output   |                |                 |                     |                     |                     |                     |  |      |    |      |    |      |    |      |    |      |              |      |             |               |
| -<br>Output   |                |                 |                     |                     |                     |                     |  |      |    |      |    |      |    |      |    |      |              |      |             |               |

(16) Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

(17) As described in point 1.4.2. 'Specific objective(s)...

|                                      |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|--------------------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| -<br>Output                          |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Subtotal for specific objective No 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| SPECIFIC OBJECTIVE No 2<br>...       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| -<br>Output                          |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Subtotal for specific objective No 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| <b>TOTALS</b>                        |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

3.2.3. *Summary of estimated impact on administrative appropriations*

- The proposal/initiative does not require the use of appropriations of an administrative nature
- The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

3.2.3.1. *Appropriations from voted budget*

| <b>VOTED APPROPRIATIONS</b> | <b>Year<br/>2024</b> | <b>Year<br/>2025</b> | <b>Year<br/>2026</b> | <b>Year<br/>2027</b> | <b>TOTAL MFF<br/>2021-2027</b> |
|-----------------------------|----------------------|----------------------|----------------------|----------------------|--------------------------------|
| <b>HEADING 7</b>            |                      |                      |                      |                      |                                |
| Human resources             | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                   |

|   |              |              |              |              |              |
|---|--------------|--------------|--------------|--------------|--------------|
| Other administrative expenditure              | 0.000        | 0.000        | 0.000        | 0.000        | <b>0.000</b> |
| <b>Subtotal HEADING 7</b>                     | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |
| <b>Outside HEADING 7</b>                      |              |              |              |              |              |
| Human resources                               | 0.000        | 0.000        | 0.000        | 0.000        | <b>0.000</b> |
| Other expenditure of an administrative nature | 0.000        | 0.000        | 0.000        | 0.000        | <b>0.000</b> |
| <b>Subtotal outside HEADING 7</b>             | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |
| <b>TOTAL</b>                                  |              |              |              |              |              |
|   | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |

3.2.3.2. Appropriations from external assigned revenues

| <b>EXTERNAL ASSIGNED REVENUES</b> | <b>Year<br/>2024</b> | <b>Year<br/>2025</b> | <b>Year<br/>2026</b> | <b>Year<br/>2027</b> | <b>TOTAL MFF<br/>2021-2027</b> |
|-----------------------------------|----------------------|----------------------|----------------------|----------------------|--------------------------------|
| <b>HEADING 7</b>                  |                      |                      |                      |                      |                                |
| Human resources                   | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                   |
| Other administrative expenditure  | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                   |
| <b>Subtotal HEADING 7</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>                   |
| <b>Outside HEADING 7</b>          |                      |                      |                      |                      |                                |
| Human resources                   | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                   |

|   |              |              |              |              |              |
|---|--------------|--------------|--------------|--------------|--------------|
| Other expenditure of an administrative nature | 0.000        | 0.000        | 0.000        | 0.000        | <b>0.000</b> |
| <b>Subtotal outside HEADING 7</b>             | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |
| <b>TOTAL</b>                                  |              |              |              |              |              |
|   | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |

3.2.3.3. Total appropriations

| <b>TOTAL<br/>VOTED APPROPRIATIONS<br/>+<br/>EXTERNAL ASSIGNED REVENUES</b> | <b>Year<br/>2024</b> | <b>Year<br/>2025</b> | <b>Year<br/>2026</b> | <b>Year<br/>2027</b> | <b>TOTAL<br/>MFF<br/>2021-2027</b> |
|--|----------------------|----------------------|----------------------|----------------------|------------------------------------|
| <b>HEADING 7</b>   |                      |                      |                      |                      |                                    |
| Human resources  | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                       |
| Other administrative expenditure   | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                       |
| <b>Subtotal HEADING 7</b>  | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>                       |
| <b>Outside HEADING 7</b>   |                      |                      |                      |                      |                                    |
| Human resources  | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                       |
| Other expenditure of an administrative nature                              | 0.000                | 0.000                | 0.000                | 0.000                | <b>0.000</b>                       |
| <b>Subtotal outside HEADING 7</b>  | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>         | <b>0.000</b>                       |

|              |              |              |              |              |              |
|--------------|--------------|--------------|--------------|--------------|--------------|
| <b>TOTAL</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> |
|--------------|--------------|--------------|--------------|--------------|--------------|

The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together, if necessary, with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

3.2.4. *Estimated requirements of human resources*

- The proposal/initiative does not require the use of human resources
- The proposal/initiative requires the use of human resources, as explained below

3.2.4.1. *Financed from voted budget*

Estimate to be expressed in full-time equivalent units (FTEs) <sup>(18)</sup>

| <b>VOTED APPROPRIATIONS</b>  | <b>Year<br/>2024</b> | <b>Year<br/>2025</b> | <b>Year<br/>2026</b> | <b>Year<br/>2027</b> |
|--|----------------------|----------------------|----------------------|----------------------|
| <b>Establishment plan posts (officials and temporary staff)</b>    |                      |                      |                      |                      |
| 20 01 02 01 (Headquarters and Commission's Representation Offices) | 0                    | 0                    | 0                    | 0                    |
| 20 01 02 03 (EU Delegations)                                       | 0                    | 0                    | 0                    | 0                    |
| 01 01 01 01 (Indirect research)                                    | 0                    | 0                    | 0                    | 0                    |
| 01 01 01 11 (Direct research)                                      | 0                    | 0                    | 0                    | 0                    |
| Other budget lines (specify)                                       | 0                    | 0                    | 0                    | 0                    |

<sup>(18)</sup> Please specify below the table how many FTEs within the number indicated are already assigned to the management of the action and/or can be redeployed within your DG and what are your net needs.

| <b>External staff (in FTEs)</b>                      |                     |          |          |          |          |
|--|---------------------|----------|----------|----------|----------|
| 20 02 01 (AC, END from the 'global envelope')        |                     | 0        | 0        | 0        | 0        |
| 20 02 03 (AC, AL, END and JPD in the EU Delegations) |                     | 0        | 0        | 0        | 0        |
| Admin. support line<br>[XX.01.YY.YY]                 | - at Headquarters   | 0        | 0        | 0        | 0        |
|  | - in EU Delegations | 0        | 0        | 0        | 0        |
| 01 01 01 02 (AC, END - Indirect research)            |                     | 0        | 0        | 0        | 0        |
| 01 01 01 12 (AC, END - Direct research)              |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify) - Heading 7             |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify) - Outside Heading 7     |                     | 0        | 0        | 0        | 0        |
| <b>TOTAL</b>   |                     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

#### 3.2.4.2. *Financed from external assigned revenues*

| <b>EXTERNAL ASSIGNED REVENUES</b>                                  | <b>Year<br/>2024</b> | <b>Year<br/>2025</b> | <b>Year<br/>2026</b> | <b>Year<br/>2027</b> |
|--|----------------------|----------------------|----------------------|----------------------|
| <b>Establishment plan posts (officials and temporary staff)</b>    |                      |                      |                      |                      |
| 20 01 02 01 (Headquarters and Commission's Representation Offices) | 0                    | 0                    | 0                    | 0                    |
| 20 01 02 03 (EU Delegations)                                       | 0                    | 0                    | 0                    | 0                    |
| 01 01 01 01 (Indirect research)                                    | 0                    | 0                    | 0                    | 0                    |

|   |                     |          |          |          |          |
|---|---------------------|----------|----------|----------|----------|
| 01 01 01 11 (Direct research)                         |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify)                          |                     | 0        | 0        | 0        | 0        |
| <b>External staff (in full time equivalent units)</b> |                     |          |          |          |          |
| 20 02 01 (AC, END from the global envelope)           |                     | 0        | 0        | 0        | 0        |
| 20 02 03 (AC, AL, END and JPD in the EU Delegations)  |                     | 0        | 0        | 0        | 0        |
| Admin. support line<br>[XX.01.YY.YY]                  | - at Headquarters   | 0        | 0        | 0        | 0        |
|   | - in EU Delegations | 0        | 0        | 0        | 0        |
| 01 01 01 02 (AC, END - Indirect research)             |                     | 0        | 0        | 0        | 0        |
| 01 01 01 12 (AC, END - Direct research)               |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify) - Heading 7              |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify) - Outside Heading 7      |                     | 0        | 0        | 0        | 0        |
| <b>TOTAL</b>  |                     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

3.2.4.3. *Total requirements of human resources*

| <b>TOTAL<br/>VOTED APPROPRIATIONS<br/>+<br/>EXTERNAL ASSIGNED REVENUES</b> | <b>Year<br/>2024</b> | <b>Year<br/>2025</b> | <b>Year<br/>2026</b> | <b>Year<br/>2027</b> |
|--|----------------------|----------------------|----------------------|----------------------|
| <b>Establishment plan posts (officials and temporary staff)</b>            |                      |                      |                      |                      |

|  |                     |          |          |          |          |
|--|---------------------|----------|----------|----------|----------|
| 20 01 02 01 (Headquarters and Commission's Representation Offices) |                     | 0        | 0        | 0        | 0        |
| 20 01 02 03 (EU Delegations)                                       |                     | 0        | 0        | 0        | 0        |
| 01 01 01 01 (Indirect research)                                    |                     | 0        | 0        | 0        | 0        |
| 01 01 01 11 (Direct research)                                      |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify)                                       |                     | 0        | 0        | 0        | 0        |
| <b>External staff (in full time equivalent units)</b>              |                     |          |          |          |          |
| 20 02 01 (AC, END from the global envelope)                        |                     | 0        | 0        | 0        | 0        |
| 20 02 03 (AC, AL, END and JPD in the EU Delegations)               |                     | 0        | 0        | 0        | 0        |
| Admin. support<br>line<br>[XX.01.YY.YY]                            | - at Headquarters   | 0        | 0        | 0        | 0        |
|  | - in EU Delegations | 0        | 0        | 0        | 0        |
| 01 01 01 02 (AC, END - Indirect research)                          |                     | 0        | 0        | 0        | 0        |
| 01 01 01 12 (AC, END - Direct research)                            |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify) - Heading 7                           |                     | 0        | 0        | 0        | 0        |
| Other budget lines (specify) - Outside Heading 7                   |                     | 0        | 0        | 0        | 0        |
| <b>TOTAL</b>   |                     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

The staff required to implement the proposal (in FTEs):

|  |   |                                      |
|--|---|--------------------------------------|
|  | <b>To be covered by<br/>current staff</b> | <b>Exceptional additional staff*</b> |
|--|---|--------------------------------------|

|                                | available in the Commission services |  |                             |                          |
|--------------------------------|--------------------------------------|--|-----------------------------|--------------------------|
|                                |                                      | To be financed under Heading 7 or Research | To be financed from BA line | To be financed from fees |
| Establishment plan posts       |                                      |  | N/A                         |                          |
| External staff (CA, SNEs, INT) |                                      |  |                             |                          |

Description of tasks to be carried out by:

|                               |  |
|-------------------------------|--|
| Officials and temporary staff |  |
| External staff                |  |

### 3.2.5. Overview of estimated impact on digital technology-related investments

Compulsory: the best estimate of the digital technology-related investments entailed by the proposal/initiative should be included in the table below.

Exceptionally, when required for the implementation of the proposal/initiative, the appropriations under Heading 7 should be presented in the designated line.

The appropriations under Headings 1-6 should be reflected as "Policy IT expenditure on operational programmes". This expenditure refers to the operational budget to be used to re-use/ buy/ develop IT platforms/ tools directly linked to the implementation of the initiative and their associated investments (e.g. licences, studies, data storage etc). The information provided in this table should be consistent with details presented under Section 4 "Digital dimensions".

| TOTAL Digital and IT | Year | Year | Year | Year | TOTAL |
|----------------------|------|------|------|------|-------|
|----------------------|------|------|------|------|-------|

| <b>appropriations</b>                           | <b>2024</b>  | <b>2025</b>  | <b>2026</b>  | <b>2027</b>  | <b>MF<br/>2021-2027</b> |
|---|--------------|--------------|--------------|--------------|-------------------------|
| <b>HEADING 7</b>                                |              |              |              |              |                         |
| IT expenditure (corporate)                      | 0.000        | 0.000        | 0.000        | 0.000        | <b>0.000</b>            |
| <b>Subtotal HEADING 7</b>                       | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>            |
| <b>Outside HEADING 7</b>                        |              |              |              |              |                         |
| Policy IT expenditure on operational programmes | 0.000        | 0.000        | 0.000        | 0.000        | <b>0.000</b>            |
| <b>Subtotal outside HEADING 7</b>               | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>            |
| <b>TOTAL</b>                                    |              |              |              |              |                         |
|   | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b> | <b>0.000</b>            |

### 3.2.6. *Compatibility with the current multiannual financial framework*

The proposal/initiative:

- can be fully financed through redeployment within the relevant heading of the multiannual financial framework (MFF).
- requires use of the unallocated margin under the relevant heading of the MFF and/or use of the special instruments as defined in the MFF Regulation.
- requires a revision of the MFF.

### 3.2.7. *Third-party contributions*

The proposal/initiative:

- does not provide for co-financing by third parties
- provides for the co-financing by third parties estimated below:

Appropriations in EUR million (to three decimal places)

|                                  | Year<br>2024 | Year<br>2025 | Year<br>2026 | Year<br>2027 | Total |
|----------------------------------|--------------|--------------|--------------|--------------|-------|
| Specify the co-financing body    |              |              |              |              |       |
| TOTAL appropriations co-financed |              |              |              |              |       |

### 3.3. **Estimated impact on revenue**

- The proposal/initiative has no financial impact on revenue.
- The proposal/initiative has the following financial impact:
- on own resources
  - on other revenue
  - please indicate, if the revenue is assigned to expenditure lines

EUR million (to three decimal places)

| Budget revenue line: | Appropriations<br>available for the<br>current financial year | Impact of the proposal/initiative <sup>(19)</sup> |              |              |              |
|----------------------|---|---|--------------|--------------|--------------|
|                      |   | Year<br>2024                                      | Year<br>2025 | Year<br>2026 | Year<br>2027 |
|                      |   |   |              |              |              |

<sup>(19)</sup> As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 20% for collection costs.

|               |  |  |  |  |  |
|---------------|--|--|--|--|--|
| Article ..... |  |  |  |  |  |
|---------------|--|--|--|--|--|

For assigned revenue, specify the budget expenditure line(s) affected.

[...]

Other remarks (e.g. method/formula used for calculating the impact on revenue or any other information).

[...]

#### 4. **DIGITAL DIMENSIONS**

##### 4.1. **Requirements of digital relevance**

If the policy initiative is assessed as having no requirement of digital relevance, provide an explanation as to why digital means are not used.

The proposal is using existing systems.

Otherwise, please list the requirements of digital relevance in the table below:

| <b>Reference to the requirement</b> | <b>Requirement description</b> | <b>Actor(s) affected or concerned by the requirement</b> | <b>High-level Processes</b> | <b>Categories</b> |
|-------------------------------------|--------------------------------|--|-----------------------------|-------------------|
| Article 1(2)                        | reporting obligations          | Member States  |                             | data              |
| Article 3(7)                        | electronic monitoring system   | Member States, fishing vessels                           |                             | data              |
| Article 3(5)(g-i)                   | reporting obligations          | Commission, EFCA, flag Member State, fishing vessel      |                             | data              |
| Article 5                           | satellite buoy                 |  |                             | digital solution  |
|                                     |                                |  |                             |                   |

|  |  |  |  |  |
|--|--|--|--|--|
|  |  |  |  |  |
|--|--|--|--|--|

4.2. **Data**

*High-level description of the data in scope and any related standards/specifications*

| Type of data    | Reference to the requirement(s) | Standard and/or specification (if applicable) |
|-----------------|---------------------------------|---|
| Type of data #1 |                                 |   |
| Type of data #2 |                                 |   |

***Alignment with the European Data Strategy***

*Explain how the requirement(s) are aligned with the European Data Strategy*

[...]

***Alignment with the once-only principle***

*Explain how the once-only principle has been considered and how the possibility to reuse existing data has been explored*

[...]

*Explain how newly created data is findable, accessible, interoperable and reusable, and meets high-quality standards*

[...]

**Data flows**

For each data flow, please fill the table below:

| Type of data    | Reference(s) to the requirement(s) | Actor who provides the data | Actor who receives the data | Trigger for the data exchange | Frequency (if applicable) |
|-----------------|------------------------------------|-----------------------------|-----------------------------|-------------------------------|---------------------------|
| Type of data #1 |                                    |                             |                             |                               |                           |

|                  |  |  |  |  |  |
|------------------|--|--|--|--|--|
| Type of data # 2 |  |  |  |  |  |
|                  |  |  |  |  |  |
|                  |  |  |  |  |  |
|                  |  |  |  |  |  |

4.3. **Digital solutions**

*For each digital solution, please provide the reference to the requirement(s) of digital relevance concerning it, a description of the digital solution's mandated functionality, the body that will be responsible for it, and other relevant aspects such as reusability and accessibility. Finally, explain whether the digital solution intends to make use of AI technologies.*

| <b>Digital solution</b> | <b>Reference(s) to the requirement(s)</b> | <b>Main mandated functionalities</b> | <b>Responsible body</b> | <b>How accessibility is catered for?</b> | <b>How reusability is considered?</b> | <b>Use of AI technologies (if applicable)</b> |
|-------------------------|---|--------------------------------------|-------------------------|--|---------------------------------------|---|
| Digital solution #1     |   |                                      |                         |  |                                       |   |
| Digital solution #2     |   |                                      |                         |  |                                       |   |
|                         |   |                                      |                         |  |                                       |   |
|                         |   |                                      |                         |  |                                       |   |
|                         |   |                                      |                         |  |                                       |   |
|                         |   |                                      |                         |  |                                       |   |

*For each digital solution, explain how the digital solution complies with the requirements and obligations of the EU cybersecurity framework, and other applicable digital policies and legislative enactments (such as eIDAS, Single Digital Gateway, etc.).*

*Digital solution #1*

| <b>Digital and/or sectorial policy<br/>(when these are applicable)</b> | <b>Explanation on how it aligns</b> |
|--|-------------------------------------|
| <i>AI Act</i>  |                                     |
| <i>EU Cybersecurity framework</i>                                      |                                     |
| <i>eIDAS</i>   |                                     |
| <i>Single Digital Gateway and IMI</i>                                  |                                     |
| <i>Others</i>  |                                     |

*Digital solution #2*

| <b>Digital and/or sectorial policy<br/>(when these are applicable)</b> | <b>Explanation on how it aligns</b> |
|--|-------------------------------------|
| <i>AI Act</i>  |                                     |
| <i>EU Cybersecurity framework</i>                                      |                                     |
| <i>eIDAS</i>   |                                     |
| <i>Single Digital Gateway and IMI</i>                                  |                                     |
| <i>Others</i>  |                                     |

4.4. **Interoperability assessment**

*Describe the digital public service(s) affected by the requirements*

| <b>Digital public service or category of digital public services</b>      | <b>Description</b> | <b>Reference(s) to the requirement(s)</b> | <b>Interoperable Europe Solution(s)(NOT APPLICABLE)</b> | <b>Other interoperability solution(s)</b> |
|---|--------------------|---|---|---|
| Digital public service #1   |                    |   |   |   |
| Category of digital public services according to COFOG <sup>(20)</sup> #1 |                    |   |   |   |

*Assess the impact of the requirement(s) on cross-border interoperability*

**Digital public service #1**

| <b>Assessment</b>   | <b>Measure(s)</b>  | <b>Potential remaining barriers (if applicable)</b> |
|---|--|---|
| <b>Alignment with existing digital and sectorial policies.</b> Please list the applicable digital and sectorial policies identified     | Digital or sectorial policy #1 Digital or sectorial policy #2 Digital or sectorial policy #3 | Barrier #1 Barrier #2 <i>Barrier #3</i>             |
| <b>Organisational measures for a smooth cross-border digital public services delivery.</b> Please list the governance measures foreseen | Governance measure #1 Governance measure #2 <i>Governance measure #3</i>                     | Barrier #1 Barrier #2 <i>Barrier #3</i>             |
| <b>Measures taken to ensure a shared understanding of the data.</b> Please list such measures   | Measure #1 Measure #2 <i>Measure #3</i>  | Barrier #1 Barrier #2 <i>Barrier #3</i>             |

<sup>(20)</sup> <https://op.europa.eu/en/web/eu-vocabularies/concept-scheme/-/resource?uri=http://data.europa.eu/7yx/cofog>

|  |   |   |
|--|---|---|
| <b>Use of commonly agreed open technical specifications and standards.</b> Please list such measures | Measure #1 Measure #2 <i>Measure #3</i> | Barrier #1 Barrier #2 <i>Barrier #3</i> |
|--|---|---|

4.5. **Measures to support digital implementation**

For each measure to support digital implementation, please fill in the table below

| <b>Description of the measure</b> | <b>Reference(s) to the requirement(s)</b> | <b>Commission role (if applicable)</b> | <b>Actors to be involved (if applicable)</b> | <b>Expected timeline (if applicable)</b> |
|-----------------------------------|---|--|--|--|
| Empty cell for description        | Empty cell for reference                  | Empty cell for commission role         | Empty cell for actors                        | Empty cell for timeline                  |

|            |  |  |  | i<br>c<br>a<br>b<br>l<br>e<br>) |
|------------|--|--|--|---------------------------------|
| Measure #1 |  |  |  |                                 |
| Measure #2 |  |  |  |                                 |
| Measure #3 |  |  |  |                                 |
|            |  |  |  |                                 |
|            |  |  |  |                                 |
|            |  |  |  |                                 |
|            |  |  |  |                                 |